

Agenda

AGENDA
MEETING OF THE
UTAH STATE UNIVERSITY BOARD OF TRUSTEES
UNIVERSITY INN
ALMA SONNE BOARD ROOM, ROOM 508
OCTOBER 20, 2006

- | | | |
|-----------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|
| 8:30 a.m. | BREAKFAST BUFFET | University Inn
Fifth Floor |
| 9:00 a.m. | EXECUTIVE SESSION | University Inn
Sonne Board Room,508 |
| 9:30 a.m. | REGULAR MEETING <ul style="list-style-type: none">• Introductory Items• Chairman's Report• President's Report• Consent Agenda• Action Agenda | University Inn
Sonne Board Room, 508 |

ADJOURN

(Note: The Workshop is scheduled to begin at 11:00 a.m. at the Student Living/Learning Center)

Chairman

**AGENDA
CHAIRMAN'S REPORT
OCTOBER 20, 2006**

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-- Resolutions of Appreciation and Commendation to:	
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1. Date of the Next Regular Meeting to be Held as a Telephone Conference Call, December 1, 2006	
2. Trustee Committee Reports (see Committee Agendas Behind TAB "Committees")	
a. Athletics Committee	
b. Audit Committee	
c. Enrollment Committee	
C. <u>Other</u>	



RICHARD L. SHIPLEY
 Chairman
 R. BRENT NYMAN
 Vice Chairman
 DAVID P. COOK
 ROBERT L. FOLEY
 DOUGLAS S. FOXLEY

DAVID JOHNSON III
 RICHARD L. NELSON
 SUZANNE PIERCE-MOORE
 NOAH A. RILEY
 KELLIE SCHULTZ WOOD

LEE H. BURKE
 Secretary

UTAH STATE UNIVERSITY
 BOARD OF TRUSTEES

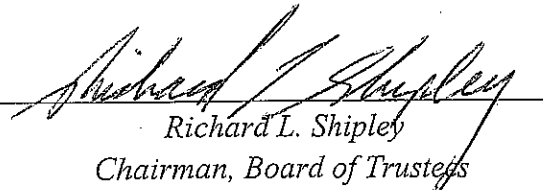
RESOLUTION OF APPRECIATION AND COMMENDATION
 TO

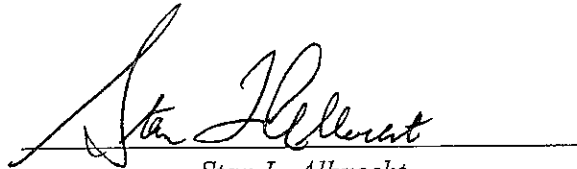
Douglas D. Anderson

Douglas D. Anderson, although your time as a member of the Utah State University Board of Trustees was all too brief, your contributions to the deliberations of the Board were significant and were characterized by your sincere intention to do what was best for Utah State University. You were a vibrant, energetic, questioning member of the Board; and in your various positions on the Board, including Vice Chairman, you were always interested in the University's activities and achievements

We are indebted to you for your public service and the unselfish commitment of time you provided to this institution. You always regarded your position on the University's governing board as a public trust; and whenever the Board debated policy, your contribution ensured that the interests of the University remained the primary concern at all times. The hallmark of your service on the Board has been your understanding and consideration of complex issues. You have combined feeling with strength, and incisiveness with dedication.

We are fortunate to have had someone as capable and distinguished as you on our governing board, and we express our heartfelt appreciation for your effective and able service and extend to you our best wishes as you continue your involvement with higher education and USU as the dean of the College of Business.


 Richard L. Shipley
 Chairman, Board of Trustees


 Stan L. Albrecht
 President

October 20, 2006

Date



RICHARD L. SHIPLEY
Chairman
R. BRENT NYMAN
Vice Chairman
DAVID P. COOK
ROBERT L. FOLEY
DOUGLAS S. FOXLEY

DAVID JOHNSON III
RICHARD L. NELSON
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UTAH STATE UNIVERSITY
BOARD OF TRUSTEES

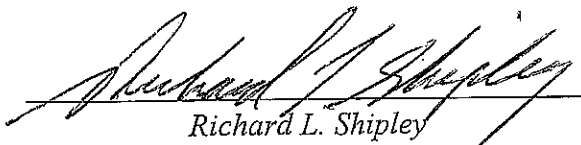
RESOLUTION OF APPRECIATION AND COMMENDATION
TO


Lyndon J. Godfrey

L.J. Godfrey, Utah State University has been well served by your clear, steady, and effective voice as a member and Vice Chairman of the Board of Trustees. Your qualities of intelligence, judgment, character, and leadership have made a significant contribution to the advancement of Utah State University. Its notable gains during your service as a member of the Board of Trustees have been a credit to your abilities. A wealth of experience and problem-solving knowledge has highlighted your tenure and will continue to shape the destiny and institutional progress of the University in the years to come. You always considered what was best for Utah State University in all your decisions.

The unique blend of pragmatism, imagination, and thoroughness which you have brought to this voluntary service has influenced the course of Utah State University's future. You have approached your assignments on the University's governing board with a clear understanding of the issues, winning the esteem of your colleagues through your insightful analysis of the problems facing a modern and growing University.

Utah State University is very fortunate to have had such a person of character and competence to assist in guiding its progress. We sincerely appreciate your effective and dedicated service.


Richard L. Shipley
Chairman, Board of Trustees


Stan L. Albrecht
President

October 20, 2006

Date



RICHARD L. SHIPLEY
Chairman
R. BRENT NYMAN
Vice Chairman
DAVID P. COOK
ROBERT L. FOLEY
DOUGLAS S. FOXLEY

DAVID JOHNSON III
RICHARD L. NELSON
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NOAH A. RILEY
KELLIE SCHULTZ WOOD

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Secretary

UTAH STATE UNIVERSITY
BOARD OF TRUSTEES

RESOLUTION OF APPRECIATION AND COMMENDATION
TO


Lynnette T. Hansen

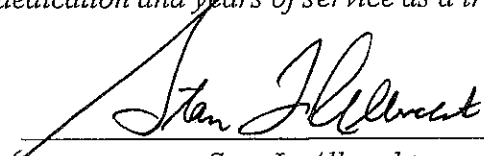
Lynnette T. Hansen, Utah State University has been well served by your steady and effective voice as a trustee. Your intelligence, character, experience, and good judgment have significantly advanced Utah State University. Your personal sacrifices to fulfill your trustee duties have proven your allegiance and dedication to Utah State University, even though there were many other demands for your time.

We are indebted to you for your unselfish public service. You have regarded your position on the University's governing board as a public trust; whenever the Board considered policy issues, your contribution ensured that the interests of the University remained the primary concern. Your understanding of, and experience with, business development and finance, and your sensitivity to the needs of students have added immensely to the successful deliberations of the Board. You have combined your talents and experience with dedication to this assignment.

Utah State University has been the recipient of your many generous contributions, which are a significant and long-lasting benefit to the University's mission and success, and will be your legacy to the University.

Utah State University is very fortunate to have had such a person of character and competence to assist in guiding its progress. For your unstinting dedication and years of service as a trustee, we extend to you our sincere appreciation.


Richard L. Shipley
Chairman, Board of Trustees


Stan L. Albrecht
President

October 20, 2006

Date

President

**AGENDA
PRESIDENT'S REPORT
OCTOBER 20, 2006**

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4. Regional Campus/Distance Education	
• Land and Building Construction at Uintah Basin	
5. College Department Meetings	
6. Open Meetings Laws	
<u>B. Recent Events</u>	
1. Old Main Society Weekend (September 14-16, 2006)	
2. Sunrise Sessions (September 28, 2006)	
3. South Farm Dedication (September 29, 2006)	
4. Washington, D.C. Trip (October 1-3, 2006)	
5. Homecoming Activities (October 6-7, 2006)	
6. Aggie-to-Aggie Business Connections, Salt Lake City (October 13, 2006)	
7. Open Houses	
• Star Valley/Evanston (October 11, 2006)	
• Boise (October 17, 2006)	
• Idaho Falls (October 18, 2006)	
• Pocatello (October 19, 2006)	
<u>C. Upcoming Events</u>	
1. Roads Scholar Tours/Open Houses	
• Cache Valley (November 2, 2006)	
• Davis County (November 8, 2006)	
• Provo (November 14, 2006)	
• Salt Lake City (November 21, 2006)	
• St. George (November 29, 2006)	
• Las Vegas (November 30, 2006)	
2. Utah Philanthropy Day (November 9, 2006)	
3. Sunrise Sessions (January 12, 2007)	
<u>D. Other</u>	

**Utah State University Board of Trustees
Campaign Update**

August – September, 2006

1. For the first two months of the fiscal year we have receipted \$2,740,058, a 64% decrease for the same period last year. This decrease is not unexpected due to the significant Lillywhite gift received in the first quarter of the last fiscal year.
2. The reconstituted Utah State University Foundation Board of Trustees held a very successful and productive first meeting September 15-16 on campus. Several members of the university's board of trustees participated in the weekend's meetings. The foundation board of trustees is now approaching 25 members and the board has determined to add an additional 10-15 members by its spring meeting in April, 2007.
3. In order to support key initiatives of President Albrecht the foundation board of trustees created, at its September meeting, the *President's Council* donor recognition society. The President's Council recognizes those donors (individuals, corporations, foundations) who make annual gifts of \$10,000 to an unrestricted fund for use by the president. The foundation board set a goal of securing ten *President's Council* members by its spring, 2007 meeting and having 25 members by December, 2007 thus creating an annual unrestricted fund of \$250,000 for the president. Five foundation members made pledges at the meeting and we are working with foundation chairman Jonathan Bullen to identify a foundation trustee to take on the leadership of this continued effort.
4. Key solicitations continue during this quiet phase of the campaign with the potential of several key gift announcements in early 2007. Work is underway for the public announcement of Utah State University's first comprehensive campaign in April, 2007.
5. As discussed in past reports, we made an effort this year to significantly increase the visibility and participation in the annual Old Main Society dinner by creating the Old Main Weekend, held September 15th and 16th on campus. The Old Main Weekend was a tremendous success by all measurements. In addition to welcoming 65 new members into the Old Main Society we also met our primary goal in revamping the event by significantly increasing participation from Old Main Society members from Cache Valley, along the Wasatch Front and from outside the state. We experienced a 46% increase in attendance at the Old Main Society Dinner and involved a total of 596 over the two days of activities. The response from attendees has been overwhelmingly positive. This will be important for the campaign since the Old Main Society will be a key recognition vehicle for donors.

6. We have continued our very successful "Sunrise Sessions" breakfast series which were developed to showcase outstanding USU researchers to the Wasatch Front corporate and foundation community, university donors and media and government representatives. We held our first lecture of the school year on September 28th with a presentation from Dr. Karl White who is conducting important research on early intervention of hearing problems in children. This first breakfast was sponsored by Regence. Our next breakfast will occur in early January, just prior to the opening session of the Utah State Legislature, and will be followed by a program in the spring. This series has been an important collaborative effort between the advancement office and the research office of the university.

7. We are continuing to roll out our new annual giving donor recognition society, the University Club. This club recognizes donors who give a minimum of \$2,500 annually. As a way to encourage increased giving from our young alumni who have graduated in the past ten years, membership is offered for a minimum of \$1,000. We anticipate this will be an important tool during the campaign as it will allow us to identify and develop new donors who have the capability of making significant gifts during the campaign.

Reported by:

M. Scott Mietchen
Vice President for University Advancement

Consent Agenda

**CONSENT AGENDA
OCTOBER 20, 2006**

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4. Capital Improvement Priority List for 2007-2008	33
5. Contract Grant Proposals and Awards for August 2006	39
6. Acceptance of Written Reports	(Behind Tabs)
• Academic/Provost	
• Faculty and Staff Activities and Achievements	
• Business and Finance	
• Business and Finance Performance Dashboard, October 2006	
• Extension/Continuing Education	
• Information Technology	
• Research	
• Strategic Ventures and Economic Development	
• Research and Strategic Ventures and Development Performance Dashboard, FY 2006	
• Technology Management Indicators	
• USU Innovation Campus	
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• Undergraduate Research Report	
• Student Services	
• University Advancement	
• Development Fund Report for FY 2005-06	
• Alumni Association	
• 2006 Summer Chapter Events	
• Tailgate Information	
• ASUSU	
• Student Involvement and Leadership Performance Dashboard 2005-2006	

- Athletics
- Public Relations and Marketing
 - Public Relations and Marketing Performance Dashboard, October 5, 2006
- Faculty Senate
- Professional Employees Association
- Classified Employee Association

8. Executive Session, December 1, 2006

REGULAR MEETING
TELEPHONE CONFERENCE CALL
UTAH STATE UNIVERSITY BOARD OF TRUSTEES
SEPTEMBER 8, 2006

Minutes of the Regular Meeting of the Utah State University Board of Trustees held as a telephone conference call in the Champ Hall Conference Room of Old Main, Room 136, at 10:00 a.m.

MEMBERS PRESENT

Richard L. Shipley	Chairman
R. Brent Nyman	Vice Chairman
David P. Cook	(By telephone)
Robert L. Foley	(By telephone)
Richard L. Nelson	(By telephone)
Suzanne Pierce-Moore	(By telephone)
Noah A. Riley	
Kellie S. Wood	(By telephone)

MEMBERS EXCUSED

Douglas S. Foxley
David Johnson III

UNIVERSITY REPRESENTATIVES PRESENT

Stan L. Albrecht	President (By telephone)
Raymond T. Coward	Executive Vice President and Provost
W. Glenn Ford	Vice President for Business and Finance
Gary A. Chambers	Interim Vice President for Student Services
Glenn W. Ford	Vice President for Business and Finance
M. Kay Jeppesen	Vice President and CIO for Information Technology
M. Scott Mietchen	Vice President for University Advancement (By telephone)
Brent C. Miller	Vice President for Research
Ned M. Weinshenker	Vice President for Strategic Ventures and Economic Development
David T. Cowley	Associate Vice President for Financial Services/Controller
Sydney M. Peterson	Chief of Staff
John DeVilbiss	Executive Director of Public Relations and Marketing
Jodi Bailey	Chief Audit Executive
John M. Kras	President of Faculty Senate
Jill J. Ballard	President of the Classified Employees Association

Lee H. Burke
Mira G. Thatcher

Assistant to the President and Board of Trustees Secretary
Secretary

OTHERS PRESENT

Stephen Beierlein Vice President, Smith Barney Inc.

Chairman Shipley conducted the meeting and welcomed those present.

I. Chairman's Report

A. Date of the Next Board of Trustees Meetings and Workshop

The next Board of Trustees meeting and the Trustees Workshop will be held on Friday, October 20, 2006. Chairman Shipley indicated that there will be a dinner for the Trustees on October 19, and the meetings on October 20 will be held until 3:00 p.m.

B. Dates of the Next Committee Meetings

The Enrollment Committee will meet Thursday evening, October 19.

The Audit Committee will meet on Friday, October 20, at 7:00 a.m.

C. Board of Trustees Committee Assignments for 2006-2007(Draft)

Trustees were given a draft copy of Board of Trustees Committee Assignments for 2006-2007 for their review (Appendix A).

Chairman Shipley asked Trustees to review the revised committee assignments and contact him if they had questions or concerns.

II. President's Report

A. NCAA Certification

President Albrecht reported that Provost Coward is the Chair of the Steering Committee for NCAA certification and is providing strong leadership. Provost Coward said the Steering Committee held its first meeting on September 7, which

was an eight hour teleconference with people in Indianapolis. He said this is a long and arduous process which will not be completed until February 2008. Certification deals with: (1) rules compliance; (2) academic integrity; and (3) student-athlete welfare.

Trustees will receive information and will be asked questions during the process. The NCAA expects the Board of Trustees to be aware of and support the plans. Trustees will receive the final certification report.

Certification occurs once every ten years, and USU certified in 1999. The two areas of concern at that time were gender and minority issues. Provost Coward said USU has made a great deal of progress in the area of gender. He said less progress has been made in the area of minorities, however, every university has problems in that area. He said USU does well in rules compliance, and is among the best in the nation in academic integrity.

B. Enrollment Update

Provost Coward reported that next week is the final week of registration, and enrollment results for Fall Semester are overwhelmingly positive. He reported the following:

- Overall first year student enrollment at Logan increased 23 percent
- Instate first year student enrollment at Logan increased 19 percent
- Out-of-state first year student enrollment at Logan increased 41 percent
- Transfer enrollments at Logan increased 13 percent

Provost Coward stated that if seven more students enroll at Logan, the first year student class would be the largest in five years. This year's overall enrollment will be approximately the same as last year, halting a three-year decline.

Provost Coward reported that regional campus enrollment, as of September 8, is down approximately 800 FTE, which is a decrease of nearly 20 percent. He said by the time enrollment is complete, however, the decrease will be much smaller. Brigham City and Tooele will have modest declines or be approximately the same as last year. Roosevelt and Vernal show a decrease of 30 percent to 40 percent as of this date. The overall decline in FTEs for Logan and the regional campuses will be 5 percent or less. Provost Coward stated that last year at the regional campuses, more than 3,500 students registered the last week. If that occurs this year, there will not be a shortfall. The final numbers will not be available for another week.

Provost Coward said two reasons for the large decline in enrollment at Vernal and Roosevelt are economic problems and an insufficient range of programs offered.

Resources will be reallocated for additional recruitment efforts at the regional campuses. Vice Chairman Nyman stated that USU's role as the land-grant university makes it important to keep enrollment up at the regional campuses. Provost Coward feels that is where the growth will come.

President Albrecht said the progress in increasing enrollment is exciting. It is not just a one-year effort.

C. University Advancement Update

President Albrecht stated that the Lillywhite estate donated \$4.5 million to USU last year. They have recently donated another \$50,000 to the Department of Communicative Disorders and \$50,000 to the President's Scholarship Fund.

The College of Engineering received a gift of \$160,000 this week which will help to build an endowment.

Vice President Mietchen announced that there will be three Sunrise Sessions in Salt Lake City this year. The first will be held on September 28 at the Little America Hotel beginning at 7:30 a.m. Karl White will speak about hearing issues. Vice President Mietchen invited Trustees to attend and bring guests with them.

D. USTAR Update

USU and the UofU made their first presentations to the USTAR Governing Authority on September 7. Vice President Weinshenker reported that USU was well prepared for the presentation, and it was well received by the Governing Authority. USU asked for continuing funding for existing USTAR hires (Krishna Shenai and David York), plus funding for two new programs. He said large teams will be formed for the Biofuel Initiative and Directive Energy Sensors Technology programs. USU requested \$4.6 million for existing and new programs out of an unexpected \$6 million budget, and will request an additional \$1.4 million for other research proposals later this year.

Vice President Weinshenker said that the UofU requested \$9 million for eight major areas. They did not provide specific details about their projects. We worked with the UofU prior to the presentation, and knew we were using a different presentation strategy.

Approval for the funds requested was not given to USU or the UofU by the Governing Authority at this meeting. It is expected that approval for USTAR hires will be given at the October meeting after the Executive Director has been hired.

According to Vice President Weinshenker, USU also requested \$4.2 million for planning a Bio Innovations Research Institute to be built at the Innovation Campus. The USTAR bill allows for a \$70 million building with USU providing \$10 million and the state providing \$60 million. Groundbreaking cannot take place until USU has acquired the \$10 million, however, the bill allows for architectural work prior to obtaining the matching funds. The decision for that funding will also be made in October.

The USTAR bill also allows for \$4 million a year for technology outreach programs. Richard Kendell, Commissioner of Higher Education, made a presentation suggesting five areas in the state for outreach centers: Weber State University for northern Utah, UVSC for Utah County, SUU and Dixie working together for southern Utah, Salt Lake Community College for Salt Lake County, and the Vernal area for eastern Utah (probably at USU facilities). The USTAR Authority Board approved a resolution for five outreach centers. Scott Anderson, by telephone, offered three motions concerning the outreach program: (1) to approve the locations of the five centers (USU's presence at the Uintah Basin was specifically mentioned in Commissioner Kendell's presentation); (2) \$300,000 for software to develop an interactive database of technology at the universities to be utilized by the outreach centers; and (3) hiring a manager to run the outreach center activities (there is not enough money in the \$250,000 administrative costs for USTAR so it was recommended that it come out of the \$4 million outreach funds).

Vice President Weinshenker felt good that Commissioner Kendell's position included the Technology Commercialization Offices of USU and the UofU as vital links in commercialization outreach.

Vice President Miller added that representatives from DFCM attended the meeting and volunteered to prepare an RFP for USTAR facilities for the October Governing Authority meeting. They were authorized to prepare an RFP for two buildings. Former USU Trustee, Dinesh Patel, who was chairing the session, indicated the Authority Board wanted presentations from each of the universities in this session, but did not plan to allocate funds yet. Staff will be in place and funds can be allocated in October.

President Albrecht complimented Vice Presidents Miller and Weinshenker for their presentation to the USTAR Authority Board. He received feedback stating that USU's presentation was positively received, and he felt they took the right approach. He said the USTAR initiative has the potential to change the face of the University in a significant way.

E. Search Update – Human Resources

Vice President Ford reported that the national search for an Associate Vice President for Human Resources is moving forward. Dr. Christine Hult, Associate Dean of the College of HASS, is the chairperson of the search committee. The committee includes members from the Faculty Senate, Professional Employees Association, Classified Employees Association, and from the regional campuses. They interviewed two finalists from the private sector and two from higher education. Vice President Ford will receive the report from the search committee next week.

F. Old Main Society Weekend

President Albrecht said that Old Main Weekend 2006 will be held September 15-16. The Foundation Board will meet on September 15. He said this is an important event for the comprehensive campaign.

Vice President Mietchen added that the Old Main Society event will include an entire weekend of activities. Several college events will be held on Friday, September 15, as well as the Old Main Society reception and dinner. Saturday events are scheduled for the entire day and include tours, Aggie Family Day, the President's pre-game party, and the USU vs UofU football game. Vice President Mietchen expressed appreciation to Provost Coward for getting the colleges involved.

President Albrecht encouraged Trustees to join in the events.

G. Kermit Hall Memorial

A memorial to honor President Kermit Hall will be held at the University at Albany on September 18, 2006. Three representative from USU will travel with Dell Loy and Lynnette Hansen in their private airplane to New York. President Albrecht has been asked to make remarks at the service.

A resolution from the Utah State Board of Regents and a letter of condolence from the first presidency of the Church of Jesus Christ of Latter-day Saints, as well as letters from other individuals, will be delivered to Mrs. Hall in a private meeting. The Trustees will also send a Resolution with President Albrecht.

H. South Farm Groundbreaking

A ground breaking for new facilities at the south farm will be held on September 29, 2006, at 1:00 p.m. The preliminary work has been completed. Several legislators are expected to attend. President Albrecht invited the Trustees to attend also.

I. Washington, D.C. Trip

President Albrecht and others will be in Washington, D.C., on October 1-4, 2006. Trustee Foxley will join them for scheduled USU events.

J. Roads Scholar Tours/Open Houses

Interim Vice President Chambers said the first recruiting open house is scheduled for October 11. Ten are scheduled, and two of those will include faculty teaching in the high school classroom and meetings with community leaders. The others will be open houses in the evening with participation from all of the colleges.

He stated that areas added to the schedule this year include St. George and the Las Vegas, Nevada; Evanston, Wyoming; and Boise, Idaho. Visits will be made again to Davis County, Salt Lake, Provo, Idaho Falls, Pocatello, etc. He said recruiting will be very aggressive.

President Albrecht said that USU needs to recruit in Tooele and at the Uintah Basin so that students feel the excitement. Provost Coward said that Vice Provost Menlove is already considering recruiting events at all three regional campuses.

Interim Vice President Chambers said there is a long list of new things the recruiting team will do this year in addition to what was done last year. They hope to stay ahead of the competition.

Interim Vice President Chambers stated that he has a fantastic, energized, excited recruiting team. Through reallocation of resources, he has added extra staff in challenging areas including recruitment, admissions, registration, etc. The team is having great success, and Vice President Chambers is very pleased. Directors have been asked to review the challenges and problems students have – whether in recruiting or processing. An evaluation meeting will be scheduled after the third week of the semester to consider solutions. Interim Vice President Chambers said there has been a tremendous team effort. President Albrecht expressed appreciation to Interim Vice President Chambers and his team and said they are doing a great job.

III. Consent Agenda

Trustees were given the following consent agenda material for their consideration:

Minutes of the Executive Session Held on August 18, 2006;
Minutes of the Regular Meeting Held on August 18, 2006;
Resolution 06-9-1 Faculty and Staff Adjustments (Appendix B);
Resolution 06-9-2 Certificate of the Treasurer for the Period 1 July 2005 to 30 June 2006 (Appendix C);
Resolution 06-9-3 Preliminary Report of Investments for June 2006(Appendix D);
Resolution 06-9-4 Contract/Grant Proposals and Awards for July 2006 (Appendix E);
Executive Session, to be held on October 20, 2006, to discuss those items which are permitted by law to be discussed in Executive Session.

Action: Trustee Pierce-Moore moved approval of the Consent Agenda items, and Trustee Riley seconded the motion. The voting was unanimous in the affirmative.

IV. Utah State University Research Foundation Amended and Restated Articles of Incorporation (Correction/Clarification)

Trustees were given a Resolution to correct/clarify the Utah State University Research Foundation Amended and Restated Articles of Incorporation (Appendix F) for their consideration.

Dr. Burke explained that this resolution makes a necessary correction to the Articles of Incorporation which were approved by the Trustees at their August 18, 2006, meeting.

Action: Trustee Foley moved approval of Resolution to 06-9-5 to correct/clarity the Utah State University Research Foundation Amended and Restated Articles of Incorporation (Appendix F), and Trustee Nyman seconded the motion. The voting was unanimous in the affirmative.

V. Coach Stew Morrill Presentation

Vice Chairman Nyman said that Coach Morrill gave a motivational speech at a Zion's Bank luncheon. His speech was energetic, timely, and delightful. He did an outstanding job for the local community.

Action: Trustee Nyman moved that the meeting adjourn, and Trustee Riley seconded the motion. The voting was unanimous in the affirmative.

The Regular Meeting adjourned at 10:50 a.m.

Richard L. Shipley, Chairman

Lee H. Burke, Secretary
(Minutes Taken by Mira G. Thatcher)

Date Approved

20 October 2006

ITEM FOR ACTION

RE: Certificate of Treasurer for the Period 1 July 2006 to 31 July 2006

The attached Certificate of Treasurer for the period 1 July 2006 to 31 July 2006 is submitted for the Trustees consideration. It has received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

The State Appropriated Funds budget at 31 July 2006 totaled \$228,737,717, up \$5,171,090 (2.31%) over the same 2005-2006 period. The year-to-date state appropriated funds expenditures totaled \$13,816,719, down \$2,620,853 (16%) over the same 2005-2006 period and represented 6% of the total budget. The percent of budget expended, 6%, was 2% less than would be expected to be spent on a strict time of budget year expired basis. Total expenditures for all funds totaled \$30,287,171, down \$595,959 (2%) from the same 2005-2006 period.

RECOMMENDATION

The President and Vice President for Business and Finance recommend that the Board of Trustees approve the Certificate of Treasurer for the period 1 July 2006 to 31 July 2006.

RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES

WHEREAS, Authorized invoices and supporting papers have been filed pertaining to those expenditures listed on the attached Certificate of Treasurer, and

WHEREAS, Expenditures listed on the attached Certificate of Treasurer have been reviewed and approved for payment by the USU Controller's Office, Purchasing Department, and other departments, and

WHEREAS, The expenditures listed on the attached Certificate of Treasurer are in accordance with the laws and rules of Utah State University and the State of Utah, and

WHEREAS, The Chief Financial Officer for Utah State University, W. Glenn Ford, Vice President for Business and Finance, has certified to the best of his knowledge and belief all expenditures listed on the attached Certificate of Treasurer are legitimate claims against Utah State University and funds were available for payment of said claims, and

WHEREAS, Vice President Ford requests approval of the listed expenditures by fund for the period 1 July 2006 to 31 July 2006 on the attached Certificate of Treasurer, and

WHEREAS, The President of Utah State University has reviewed the attached Certificate of Treasurer and recommends its approval of those expenditures listed thereon by the Utah State University Board of Trustees, and

WHEREAS, The USU Board of Trustees has reviewed and given due consideration, review, and authorization of the expenditures listed on the attached Certificate of Treasurer.

NOW, THEREFORE, BE IT RESOLVED, That the USU Board of Trustees hereby approves the attached Certificate of Treasurer as presented and ratifies the expenditures listed on said Certificate of Treasurer for the period of 1 July 2006 to 31 July 2006.

=====

RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES:

Date

CERTIFICATE OF TREASURER

I, W. Glenn Ford, Vice President for Business and Finance, of Utah State University, do hereby certify as follows and request approval of the listed expenditures by fund for the period 1 July 2006 to 31 July 2006.

Authorization, invoices, and supporting papers have been filed pertaining to the following enumerated expenditures, which have been reviewed and processed for payment by the Controller's Office, Purchasing Department, and other departments, according to the laws and rules and regulations of Utah State University and State of Utah. To the best of my knowledge and belief, all are legitimate claims against Utah State University and funds were available for payment of said claims.

State Appropriated Funds	Board of Trustees Approved Budget 100%	Percent of Budget Expended (8% Fiscal Year Expired)	Year to Date		Prior Year to Date	Increase (Decrease) from Prior Year	Percent Increase (Decrease) from Prior Year
			01-Jul 31-Jul-06	Year to Date			
Education and General (Except Athletics)	\$166,576,890	6%	\$10,288,302	\$10,288,302	\$13,016,143	(\$2,727,841)	(21) %
Athletics	2,362,212	8%	195,160	195,160	181,265	13,895	8 %
Agricultural Experiment Station	16,137,227	5%	856,051	856,051	925,164	(69,113)	(7) %
UWRL Appropriation	2,292,231	7%	162,202	162,202	136,929	25,273	18 %
UWRL Apportionment	4,591,848	4%	197,586	197,586	188,176	9,410	5 %
Tooole - Cont. Ed. Center	6,963,452	5%	380,049	380,049	368,353	11,696	3 %
Educationally Disadvantaged	305,470	4%	11,496	11,496	14,791	(3,295)	(22) %
Southeastern Utah - Cont. Ed. Center	2,376,388	2%	50,663	50,663	70,598	(19,935)	(28) %
Uintah Basin - Cont. Ed. Center	6,033,071	6%	353,475	353,475	436,643	(83,168)	(19) %
Cooperative Extension	13,719,554	7%	1,014,458	1,014,458	913,600	100,858	11 %
Brigham City - Cont. Ed. Center	7,373,374	4%	297,523	297,523	185,910	111,613	60 %
USTAR	-	-	9,754	9,754	-	9,754	- %
Total State Appropriated Funds	\$228,731,717	6%	\$13,816,719	\$13,816,719	16,437,572	(\$2,620,853)	(16) %
Total State Appropriated Funds 2005-2006	\$223,560,627						
Increase from 2005-2006	\$5,171,090						
Percent Increased from 2005-2006	2.31%						

	01-Jul 31-Jul-06	Year to Date	Prior Year to Date	Increase (Decrease) from Prior Year	Percent Increase (Decrease) from Prior Year
<u>Other Unrestricted Funds</u>					
Overhead Reimbursement for R & D Designated	\$353,868	\$353,868	(\$3,629)	\$357,497	9,851 %
Service Departments	1,860,170	1,860,170	1,643,159	217,011	13 %
Auxiliary Enterprises (Except Athletics)	2,066,395	2,066,395	2,171,622	(105,227)	(5) %
Athletics	1,552,812	1,552,812	1,642,988	(90,176)	(5) %
	617,774	617,774	508,985	108,789	21 %
	6,451,019	6,451,019	5,963,125	487,894	8 %
<u>Other Restricted Funds</u>					
Instruction	1,740,455	1,740,455	1,866,827	(126,372)	(7) %
Research	2,473,718	2,473,718	2,946,324	(472,606)	(16) %
Public Service	1,569,046	1,569,046	1,554,199	14,847	1 %
Academic Support	190,502	190,502	187,219	3,283	2 %
Student Services	129,152	129,152	135,778	(6,626)	(5) %
Institutional Support	(211,007)	(211,007)	80,069	(291,076)	(364) %
Operation and Maintenance of Plant	1,507	1,507	1,835	(328)	(18) %
Scholarships and Fellowships	3,700,525	3,700,525	1,219,774	2,480,751	203 %
Service Departments	-	-	-	-	- %
Auxiliary Enterprises	18,484	18,484	6,570	11,914	181 %
	9,612,382	9,612,382	7,998,595	1,613,787	20 %
<u>Other Funds</u>					
Plant Funds	341,983	341,983	369,888	(27,905)	(8) %
Associated Students	63,887	63,887	47,894	15,993	33 %
Other Agency Funds	1,181	1,181	66,056	(64,875)	(98) %
	407,051	407,051	483,838	(76,787)	(16) %
Total All Funds	\$30,287,171	\$30,287,171	\$30,883,130	(\$595,959)	(2) %

10/4/2006

Date

W. 

W. Glenn Ford

ITEM FOR ACTION

RE: Report of Investments for July 2006

The attached Report of Investments for July 2006 is submitted for the Trustees consideration. It has received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

This set of investment reports presents investment activity for July 2006 and comparative year-to-date totals for FY 2006-2007 and FY 2005-2006.

CASH MANAGEMENT INVESTMENT POOL

The average daily fair value invested during July 2006 was \$150,352,321, up \$2,435,882 over June 2006. Total investment income was \$942,221, up \$55,742 over June 2006, reflecting the increase in the amount available for investing and an increase in total investment return. The annualized total investment return was 7.28%, up 4.14% over June 2006.

Year-to-date numbers show that the average daily fair value invested for FY 2006-2007 was \$150,352,321, up \$8,580,301 (6.05%) over FY 2005-2006. Total interest income for FY 2006-2007 amounted to \$536,517, up \$91,068 (20.44%) over FY 2005-2006, reflecting an increase in the amount available for investing and an increase in interest rates.

The total amount invested at 31 July 2006 was \$150,594,292, up \$6,972,651 (4.85%) over 31 July 2005.

ENDOWMENT POOL

The average daily fair value invested during July 2006 was \$49,906,631, down \$2,444,262 from June 2006. Interest and dividend income of \$77,262 plus net realized gains of \$3,292 totaled \$80,554 in realized income for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2006-2007 was \$49,906,631, up \$6,196,895 (14.18%) over FY 2005-2006. Total realized income for FY 2006-2007 was \$80,554, down \$3,687 (4.38%) from FY 2005-2006. This decrease resulted from \$3,818 less in interest and dividends and \$131 more net realized gains during FY 2006-2007.

The total amount invested at 31 July 2006 was \$49,777,244, up \$5,514,000 (12.46%) over 31 July 2005.

WELLS FARGO BANK - BALANCED FUND

The average daily fair value invested during July 2006 was \$9,438,279, up \$32,699 over June 2006. Interest and dividend income totaled \$11,182 for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2006-2007 was \$9,438,279, up \$2,240,867 (31.13%) over FY 2005-2006. Total realized income for FY 2006-2007 was \$11,182, down \$3,518 (23.93%) from FY 2005-2006. This decrease in realized income resulted from \$3,518 less in interest and dividends during FY 2006-2007.

The total amount invested at 31 July 2006 was \$9,453,809, up \$2,200,136 (30.33%) over 31 July 2005.

THE COMMONFUND - COMMINGLED INVESTMENT FUNDS

The average daily fair value invested during July 2006 was \$33,512,689, down \$238,057 from June 2006. Interest and dividend income of \$41,474 plus net realized gains of \$3,292 totaled \$44,766 in realized income for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2006-2007 was \$33,512,689, up \$2,326,390 (7.46%) over FY 2005-2006. Total realized income for FY 2006-2007 was \$44,766, down \$8,041 (15.23%) from FY 2005-2006. This decrease resulted from \$8,172 less in interest and dividends and \$131 more net realized gains during FY 2006-2007.

The total amount invested at 31 July 2006 was \$33,355,469, up \$1,767,192 (5.59%) over 31 July 2005.

OTHER INVESTMENTS

The average daily fair value invested during July 2006 was \$1,449,479, down \$366 from June 2006. Interest and dividend income was \$4,489 for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2006-2007 was \$1,449,479, up \$52,558 (3.76%) over FY 2005-2006. Total realized income for FY 2006-2007 was \$4,489, up \$2,134 (90.62%) over FY 2005-2006. This increase resulted from \$1,623 more in interest and dividend income and \$511 less net realized losses during FY 2005-2006.

The total amount invested at 31 July 2006 was \$1,451,794, up \$47,163 (3.36%) over 31 July 2005.

ENDOWMENT TRUSTS

The average daily fair value invested during July 2006 was \$5,980,897, up \$75,266 over June 2006. Interest and dividend income of \$23,883 less net realized losses of \$66 totaled \$23,817 in realized income for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2006-2007 was \$5,980,897, up \$237,845 (4.14%) over FY 2005-2006. Total realized income for FY 2006-2007 was \$23,817, down \$5,308 (18.22%) from FY 2005-2006. This decrease resulted from \$6,591 more interest and dividend income and \$11,899 less net realized gains during FY 2006-2007.

The total amount invested at 31 July 2006 was \$6,028,098, up \$293,381 (5.09%) over 31 July 2005.

PLANT FUND TRUSTS

The average daily fair value invested during July 2006 was \$23,904,006, down \$819,780 from June 2006. Interest income totaled \$102,729 for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2006-2007 was \$23,904,006, down \$20,258,394 (45.87%) from FY 2005-2006. Total realized income for FY 2006-2007 was \$102,729, down \$37,099 (26.53%) from FY 2005-2006. This decrease reflects the decreased amount available for investing and an increase in the rate of return.

The total amount invested at 31 July 2006 was \$23,956,646, down \$16,679,998 (41.05%) from 31 July 2005.

SUMMARY OF INVESTMENT TRANSACTIONS

This report summarizes all investment transactions for July 2006. The aggregate net realized gains for the month were \$3,226 and earnings were \$720,275.

RECOMMENDATION

The President and Vice President for Business and Finance recommend that the Board of Trustees approve the Report of Investments for July 2006.

RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES

WHEREAS, The attached Report of Investments containing authorized transactions, documentation, and supporting papers has been filed for review by the Board of Trustees pertaining to the investment activities, and

WHEREAS, The investment transactions listed on the attached Report of Investments have been approved by the USU Controller's Office, and

WHEREAS, The investment activities listed on the attached Report of Investments are in accordance with the Utah State Money Management Act, the rules of the Utah State Money Management Council, the Utah State Uniform Management of Institutional Funds Act, and the laws and rules of Utah State University and the State of Utah, and

WHEREAS, The Chief Financial Officer for Utah State University, W. Glenn Ford, Vice President for Business and Finance, has certified to the best of his knowledge and belief all investment transactions listed on the attached Report of Investments were made in accordance with the guidelines, rules, and laws, and

WHEREAS, Vice President Ford requests approval of the attached Report of Investments for the period 1 July to 31 July 2006 and comparative year-to-date totals for the periods 1 July 2005 to 31 July 2005 and 1 July 2006 to 31 July 2006, and

WHEREAS, The President of Utah State University has reviewed the attached report and recommends its approval by the Utah State University Board of Trustees, and

WHEREAS, The USU Board of Trustees has reviewed and given due consideration, review, and authorization of the investment transactions listed on the attached Report of Investments for the periods 1 July 2006 to 31 July 2006 and comparative year-to-date totals for the periods 1 July 2005 to 31 July 2005 and 1 July 2006 to 31 July 2006.

NOW, THEREFORE, BE IT RESOLVED, That the USU Board of Trustees hereby approves the attached Report of Investments as presented and ratifies the transactions listed on said Report of Investments for 1 July 2006 to 31 July 2006.

=====
RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES:

Date

OFFICE OF THE VICE PRESIDENT
FOR FINANCE AND BUSINESS
1445 Old Main Hill
Logan, UT 84322-1445
(435) 797-1146
FAX: (435) 797-0710

UTAH STATE UNIVERSITY
REPORT OF INVESTMENTS
JULY 2006

The following schedules (A through F) provide a report of the University's investments. To the best of my knowledge, Utah State University is in compliance with the Utah State Money Management Act and the rules of the Utah State Money Management Council and the Utah State Uniform Management Institutional Funds Act.



David T. Cowley
Associate Vice President for Financial Services/Controller

9/29/2006
Date



W. Glenn Ford
Vice President for Business and Finance

10/4/2006
Date

UTAH STATE UNIVERSITY
 CASH MANAGEMENT INVESTMENT POOL
 SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule A-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest Income	Less Service Charges	Net Interest Income
Jul 2006	\$146,237,242	\$116,037,157	\$112,085,811	\$405,704	\$150,594,292	\$150,352,321	\$536,517	\$5,005	\$531,512
Aug 2006									
Sep 2006									
Oct 2006									
Nov 2006									
Dec 2006									
Jan 2007									
Feb 2007									
Mar 2007									
Apr 2007									
May 2007									
Jun 2007									
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Comparative Totals:									
Year-to-date									
FY 2006-07	\$146,237,242	\$116,037,157	\$112,085,811	\$405,704	\$150,594,292	\$150,352,321	\$536,517	\$5,005	\$531,512
FY 2005-06	135,042,641	93,318,355	84,043,206	(696,149)	143,621,641	141,772,020	445,449	5,533	439,916
Amt Change					6,972,651	8,580,301	91,068	(528)	91,596
% Change					4.85%	6.05%	20.44%	-9.54%	20.82%

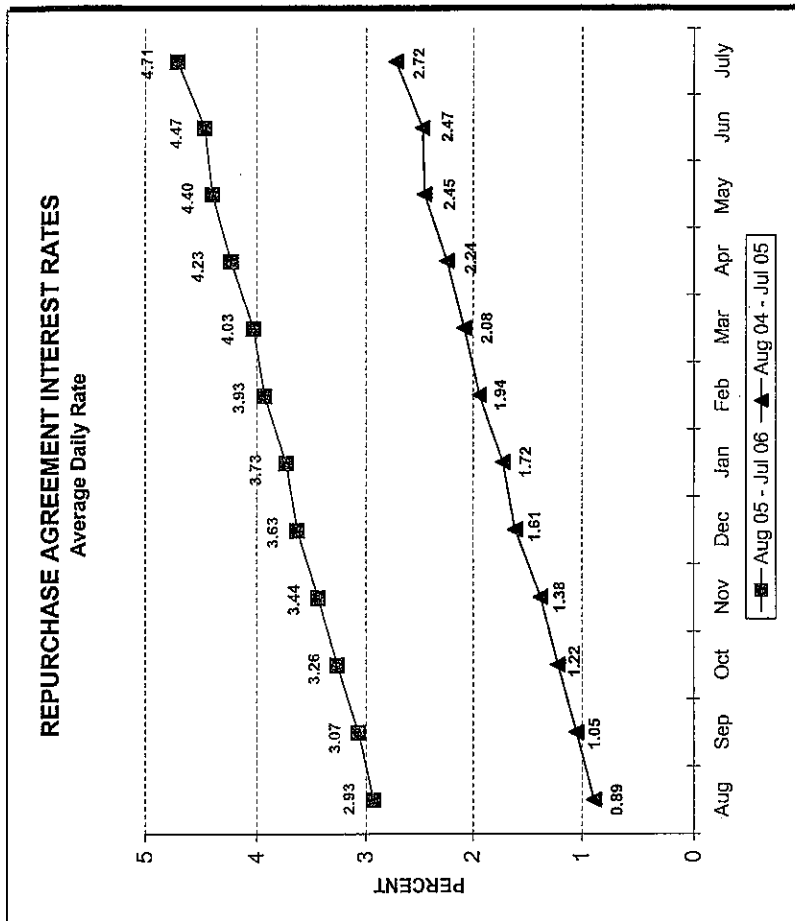
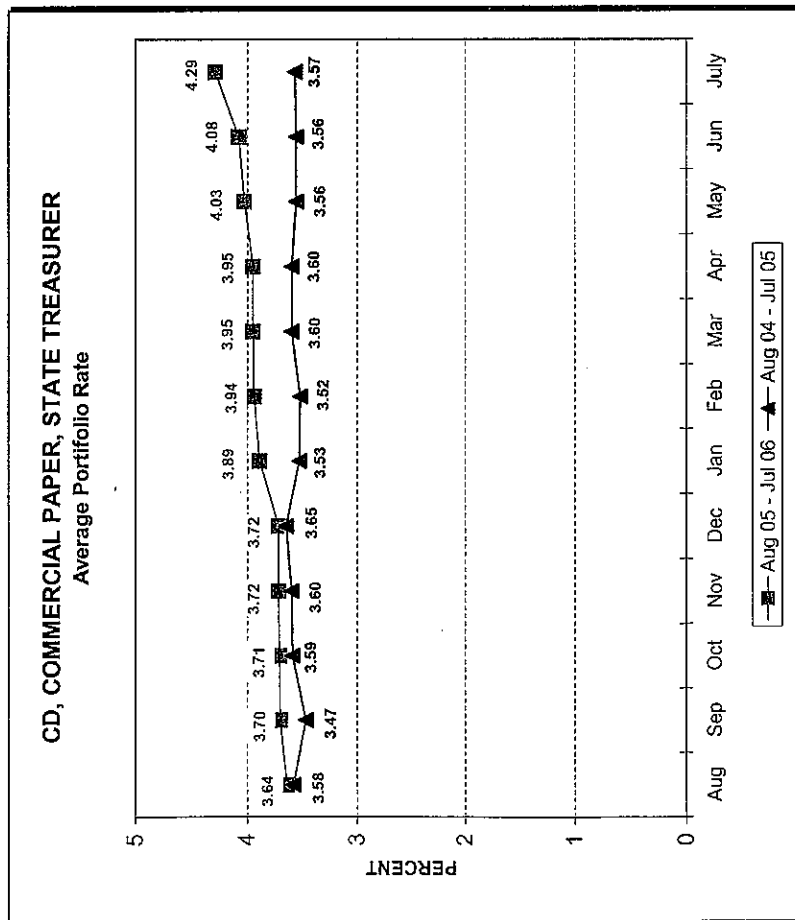
Note: The Cash Management Investment Pool includes cash of all funds over estimated daily operating requirements.

UTAH STATE UNIVERSITY
 CASH MANAGEMENT INVESTMENT POOL
 SUMMARY OF INVESTMENT TRANSACTIONS AND PERFORMANCE
 For the Month of July 2006

Schedule A-2

	Purchases	Sales		Earnings	Change in Fair Value	Total Investment Income	Average Daily Fair Value	Annualized Total Investment Return
		Cost	Receipts					
Repurchase Agreements	\$102,037,157	\$102,085,811	\$102,085,811	\$19,557	\$19,557	\$4,811,525	4.72%	
Time Certificates of Deposit		5,000,000	5,000,000	172,606	172,606	48,290,323	4.15%	
Utah Public Treasurers' Investment Fund	5,000,000			12,023	12,023	2,741,937	5.09%	
Obligations of U. S. Government	9,000,000	5,000,000	5,000,000	332,331	738,035	94,508,536	9.07%	
Total	\$116,037,157	\$112,085,811	\$112,085,811	\$536,517	\$942,221	\$150,352,321	7.28%	

UTAH STATE UNIVERSITY
CASH MANAGEMENT INVESTMENT POOL



UTAH STATE UNIVERSITY
SUMMARY OF INVESTMENT TRANSACTIONS
For the Month of July 2006

Schedule F
Page 2 of 2

	Purchases		Shares	Cost	Sales		Receipts	Gain/(Loss)	Earnings
	Shares	Cost			Shares	Cost			
Other Investments									
Corporate Bonds and Notes									\$60
U.S. West Communications									4,429
Utah Public Treasurers' Investment Fund		\$4,429							
Cash									
Smith Barney Receivable		57		\$5		\$5		\$0	
Total Other Investments		<u>4,486</u>		<u>90</u>		<u>95</u>		<u>0</u>	<u>4,489</u>
Endowment Trusts									
Obligations of U.S. Government Interest									146
Common and Preferred Stock									
Vodafone Group			250.000	954		954		0	
Vodafone Group PLC New Dividends	218.750	954							22,245
Mutual Funds									
Wells Fargo Strategic Inc #89 Interest				9,202		9,136		(66)	674
Money Market Funds									27
Achievement Treasury - FSB 68		22,545							434
Wells Fargo #250		6,300		5,901		5,901		0	24
Federated Treasury Obl FD WF #68		298		1		1		0	314
Wells Fargo Advantage #645		46		67		67		0	19
Wells Fargo Receivable				7,565		7,565		0	
Total Endowment Trusts		<u>30,143</u>		<u>23,690</u>		<u>23,624</u>		<u>(66)</u>	<u>23,883</u>
Plant Trusts									
Wells Fargo									3,704
Obligations of U.S. Government									
Utah Public Treasurers' Investment Fund		99,025		73,925		73,925		0	99,025
Total Plant Trusts		<u>99,025</u>		<u>73,925</u>		<u>73,925</u>		<u>0</u>	<u>102,729</u>
Total All Investments		<u>\$116,176,230</u>		<u>\$112,355,223</u>		<u>\$112,358,449</u>		<u>\$3,226</u>	<u>\$720,275</u>

UTAH STATE UNIVERSITY
ENDOWMENT POOL

SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule B-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest and Dividends	Realized Gain or (Loss)	Total Realized Income	Less Expenses	Net Realized Income/(Loss)
Jul 2006	\$49,798,229	\$267,812	\$174,994	(\$113,803)	\$49,777,244	\$49,906,631	\$77,262	\$3,292	\$80,554		\$80,554
Aug 2006											
Sep 2006											
Oct 2006											
Nov 2006											
Dec 2006											
Jan 2007											
Feb 2007											
Mar 2007											
Apr 2007											
May 2007											
Jun 2007											

Comparative Totals:

Year-to-date											
FY 2006-07	\$49,798,229	\$267,812	\$174,994	(\$113,803)	\$49,777,244	\$49,906,631	\$77,262	\$3,292	\$80,554		\$80,554
FY 2005-06	44,400,818	4,800,957	5,891,560	953,029	44,263,244	43,709,736	81,080	3,161	84,241		84,241
Amt Change					5,514,000	6,196,895	(3,818)	131	(3,687)		(3,687)
% Change					12.46%	14.18%	-4.71%	4.14%	-4.38%		-4.38%

Note: The Endowment Pool includes endowment funds designated for long-term investment. Included in this pool are endowment funds invested in the University's Cash Management Investment Pool (CMIP) consisting of \$6,705,572 principal beginning balance, a \$6,967,966 ending balance, and a \$6,955,663 average daily balance for the current month. Current month interest and dividends from the CMIP were \$24,606 bringing the total to \$24,606 year to date. These amounts have also been reported in schedules A-1 and A-2.

The July beginning fair value has been adjusted to reflect the amount distributed in excess of pool earnings.

UTAH STATE UNIVERSITY
WELLS FARGO BANK- BALANCED FUND
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule B-2

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest and Dividends	Realized Gain or (Loss)	Total Realized Income	Less Expenses	Net Realized Income/(Loss)
Jul-2006	\$9,422,748	\$5,419	\$23,305	\$48,947	\$9,453,809	\$9,438,279	\$11,182	\$0	\$11,182	\$0	\$11,182
Aug-2006											
Sep-2006											
Oct-2006											
Nov-2006											
Dec-2006											
Jan-2007											
Feb-2007											
Mar-2007											
Apr-2007											
May-2007											
Jun-2007											
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Comparative Totals:											
Year-lo-date	\$9,422,748	\$5,419	\$23,305	\$48,947	\$9,453,809	\$9,438,279	\$11,182	\$0	\$11,182	\$0	\$11,182
FY 2006-07	7,141,151	4,605,074	4,635,016	142,464	7,253,673	7,197,412	14,700	0	14,700	0	14,700
FY 2005-06					2,200,136	2,240,867	(3,518)		(3,518)		(3,518)
Amt Change					30.33%	31.13%	-23.93%		-23.93%		-23.93%
% Change											

Note: The Wells Fargo Bank - Balanced Fund includes endowment funds designated for long-term investment.

UTAH STATE UNIVERSITY
COMMONFUND - COMMINGLED INVESTMENT FUNDS
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule B-3

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest and Dividends	Realized Gain or (Loss)	Total Realized Income
Jul 2006	\$33,669,909	\$0	\$151,689	(\$162,751)	\$33,355,469	\$33,512,689	\$41,474	\$3,292	\$44,766
Aug 2006									
Sep 2006									
Oct 2006									
Nov 2006									
Dec 2006									
Jan 2007									
Feb 2007									
Mar 2007									
Apr 2007									
May 2007									
Jun 2007									

Comparative Totals:

Year-to-date									
FY 2006-07	\$33,669,909	\$0	\$151,689	(\$162,751)	\$33,355,469	\$33,512,689	\$41,474	\$3,292	\$44,766
FY 2005-06	30,784,321	0	6,610	810,566	31,588,277	31,186,299	49,646	3,161	52,807
Amt Change					1,767,192	2,326,390	(8,172)	131	(8,041)
% Change					5.59%	7.46%	-16.46%	4.14%	-15.23%

Note: Commonfund - Commingled Investment Funds includes endowment funds designated for long-term investment.

UTAH STATE UNIVERSITY
OTHER INVESTMENTS
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule C-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest and Dividends	Realized Gain or (Loss)	Total Realized Income
Jul 2006	\$1,447,164	\$4,486	\$95	\$239	\$1,451,794	\$1,449,479	\$4,489		\$4,489
Aug 2006									
Sep 2006									
Oct 2006									
Nov 2006									
Dec 2006									
Jan 2007									
Feb 2007									
Mar 2007									
Apr 2007									
May 2007									
Jun 2007									

Comparative Totals:

Year-to-date									
FY 2006-07	\$1,447,164	\$4,486	\$95	\$239	\$1,451,794	\$1,449,479	\$4,489	\$0	\$4,489
FY 2005-06	1,389,211	112,305	109,127	12,242	1,404,631	1,396,921	2,866	(511)	2,355
Amt Change					47,163	52,558	1,623	511	2,134
% Change					3.36%	3.76%	56.63%	-100.00%	90.62%

Note: Other Investments include donor designated and other specified investments.

UTAH STATE UNIVERSITY
 ENDOWMENT TRUSTS
 SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule D-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest and Dividends	Realized Gain or (Loss)	Total Realized Income	Less Expenses	Net Realized Income/(Loss)
Jul 2006	\$5,899,695	\$30,143	\$23,624	\$155,884	\$6,062,098	\$5,980,897	\$23,883	(\$66)	\$23,817	\$567	\$23,250
Aug 2006											
Sep 2006											
Oct 2006											
Nov 2006											
Dec 2006											
Jan 2007											
Feb 2007											
Mar 2007											
Apr 2007											
May 2007											
Jun 2007											
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Comparative Totals:											
Year-to-date											
FY 2006-07	\$5,899,695	\$30,143	\$23,624	\$155,884	\$6,062,098	\$5,980,897	\$23,883	(\$66)	\$23,817	\$567	\$23,250
FY 2005-06	5,717,386	55,159	48,813	44,985	5,768,717	5,743,052	17,292	11,833	29,125	568	28,557
Amt Change					293,381	237,845	6,591	(11,899)	(5,308)	(1)	(5,307)
% Change					5.09%	4.14%	38.12%	-100.56%	-18.22%	-0.18%	-18.58%

Note: Endowment Trusts include externally managed endowment trusts.

UTAH STATE UNIVERSITY
PLANT FUND TRUSTS
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule E-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest Income	Realized Gain or (Loss)	Total Realized Income	Less Expenses	Net Realized Income/(Loss)
Jul 2006	\$23,929,357	\$99,025	\$73,925	\$2,189	\$23,956,646	\$23,904,006	\$102,729		\$102,729		\$102,729
Aug 2006											
Sep 2006											
Oct 2006											
Nov 2006											
Dec 2006											
Jan 2007											
Feb 2007											
Mar 2007											
Apr 2007											
May 2007											
Jun 2007											
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Comparative Totals:											
Year-to-date											
FY 2006-07	\$23,929,357	\$99,025	\$73,925	\$2,189	\$23,956,646	\$23,904,006	\$102,729	\$0	\$102,729	\$0	\$102,729
FY 2005-06	43,394,639	136,405	2,907,502	13,102	40,636,644	44,162,400	139,828	0	139,828	0	139,828
Amt Change					(16,679,998)	(20,258,394)	(37,099)		(37,099)		(37,099)
% Change					-41.05%	-45.87%	-26.53%		-26.53%		-26.53%

Note: Plant Fund Trusts include all debt service reserve accounts in compliance with bond issue covenants and the construction funds for the Roosevelt and Innovation Campuses.

UTAH STATE UNIVERSITY
SUMMARY OF INVESTMENT TRANSACTIONS
For the Month of July 2006

Schedule F
Page 1 of 2

	Purchases		Sales		Receipts	Gain/(Loss)	Earnings
	Shares	Cost	Shares	Cost			
Cash Management Investment Pool							
Repurchase Agreements		\$102,037,157		\$102,085,811	\$102,085,811	\$0	\$19,557
Time Certificates of Deposit				5,000,000	5,000,000	0	172,607
Utah Public Treasurers' Investment Fund		5,000,000		5,000,000	5,000,000	0	12,023
Obligations of U. S. Government		9,000,000				0	332,331
Total Cash Management Investment Pool		<u>116,037,157</u>		<u>112,085,811</u>	<u>112,085,811</u>	<u>0</u>	<u>536,518</u>
Endowment Pool - Transactions of External Managers							
Wells Fargo Bank - Balanced Fund			49,210	49	49	0	4,211
Obligations of U.S. Government							3,803
GNMA Interest							468
Corporate Bonds and Notes							2,700
Bond Interest							
Money Market Funds							
Fidelity instl. Cash #59		448					
Wells Fargo Advantage Cash #250		4,971					
Wells Fargo Receivable							
Total Wells Fargo Bank - Balanced Fund		<u>5,419</u>		<u>23,256</u>	<u>23,305</u>	<u>0</u>	<u>11,182</u>
Commonfund - Commingled Investment Funds							
Mutual Funds							
Commonfund-Multi-Strategy Equity	25,843			1,451	4,445	2,994	22,473
Commonfund-Multi-Strategy Bond	68,457			793	895	102	12,063
Commonfund-Growth Equity Fund	53,695			1,256	1,452	196	6,938
Commonfund-Receiveable				144,897	144,897	0	
Total Commonfund - Commingled Investment Funds		<u>0</u>		<u>148,397</u>	<u>151,689</u>	<u>3,292</u>	<u>41,474</u>
Total Endowment Pool - Transactions of External Managers							
		<u>5,419</u>		<u>171,702</u>	<u>174,994</u>	<u>3,292</u>	<u>52,656</u>

20 October 2006

ITEM FOR ACTION

RE: Capital Improvement Priority List for 2007-2008

The attached Capital Improvement Priority List is submitted to the Board of Trustee's consideration. It has received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

The Capital Improvement Priority List emphasizes critical repairs, renovations and infrastructure projects. The list has been reviewed and approved by the University Physical Resources Planning Committee (UPRPC).

RECOMMENDATION

The President and Vice President for Business & Finance recommend that the Board of Trustees approve the 2007-2008 Capital Improvement Priority List.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, The Utah Legislature appropriates state funds for the purpose of capital facilities improvement, and

WHEREAS, Utah State University is required to submit its prioritized recommendation for such projects to the Board of Regents after approval by the Utah State University Board of Trustees, and

WHEREAS, The University Physical Resources Planning Committee has recommended approval of the attached prioritized 2007-2008 Capital Improvement Priority List, and

WHEREAS, The President of Utah State University has reviewed the 2007-2008 Capital Facilities Improvement List and recommends its approval to the Utah State University Board of Trustees, and

WHEREAS, The Utah State University Board of Trustees has reviewed and given due consideration to the 2007-2008 Capital Improvement Priority List;

NOW, THEREFORE, BE IT RESOLVED, That the Utah State University Board of Trustees hereby approves the 2007-2008 Capital Improvement Priority List as presented.

RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES

Date

2008 Capital Improvement List

Priority	Item	Description	Estimated Cost:	Cumulative Total:
1	Campus-wide Health, Life Safety, and Code Compliance Projects	Funding to correct deficiencies identified by Facilities, Using Agencies, and staff.	\$150,000	\$150,000
2	Engineering Lab Emergency Lighting Upgrades	Install generator and emergency lighting in hallways.	\$205,000	\$355,000
3	Engineering Lab Fire Alarm	Upgrade fire alarm.	\$250,000	\$605,000
4	Miscellaneous Fume hoods	Upgrade existing laboratory fume hood systems and related make-up air systems to correct deficiencies in '95 campus-wide fume hood study.	\$500,000	\$1,105,000
5	Campus-wide Medium Voltage Upgrades	Cable & switch replacement.	\$400,000	\$1,505,000
6	Campus-wide Fire Alarm Upgrades	Biology Natural Resources (BNR) fire alarm upgrades.	\$300,000	\$1,805,000
7	Chillers at Central Energy Plant (CEP)	Add additional chiller capacity @ CEP or 1 million gallon underground storage tank.	\$1,500,000	\$3,305,000
8	Steam and Condensate Upgrade @ Maeser and Old Main Tunnels	Install approximately 300 ft. of direct buried steam and condensate piping between Maeser and Old Main tunnels. This will allow the steam to be back fed to 10-12 buildings along the Quad and Old Main Hill.	\$500,000	\$3,805,000
9	Access Control	Continue upgrading campus buildings to improve security.	\$200,000	\$4,005,000
10	Classroom Upgrades	Repair/upgrade classrooms and auditoriums in Business, BNR, Main).	\$200,000	\$4,205,000
11	Commissioning	Buildings being considered: Business, Health Physical Education and Recreation (HPER), Engineering Lab, Merrill-Cazier Library (Sci Tech), Eccles Conference Center.	\$225,000	\$4,430,000
12	Electrical Feed @ CEP	Redundant feed for CEP.	\$200,000	\$4,630,000
13	A/C & Controls Upgrade Campus-wide	Provide a/c to server rooms to shut-down central air handlers that run continually at: communication closets, Geology return air ducts, and miscellaneous campus-wide a/c problems.	\$450,000	\$5,080,000
14	Renovate Military Science	Upgrade ROTC space to accommodate a brigade.	\$80,000	\$5,160,000

15	Campus-wide ventilation	Provide adequate ventilation to areas identified by the safety office.	\$200,000	\$5,360,000
16	Tanner Fountain	Repair the fountain at Taggart Student Center (TSC) with new piping, pumps, filters & sump and a new Occupational Safety Health Act (OSHA) approved entry to the machine room.	\$325,000	\$5,685,000
17	Fire Extinguishers	Replace old out of date fire extinguishers.	\$20,000	\$5,705,000
18	800 East & 1400 North (DHIA) & Water Lab Gas Line Replacements	Replacing old steel gas lines with new D2513 Poly pipe. Existing lines are old, therefore, leakage is possible. Will eliminate the need for cathodic protection.	\$50,000	\$5,755,000
19	Business Motor Control Center (MCC)	Replace MCC.	\$85,000	\$5,840,000
20	SER Elevator Upgrades	Repair mechanical problems, upgrade equipment, replace outdated components.	\$100,000	\$5,940,000
21	Campus-wide Benches, Trash Receptacles, Urns	This project would replace old and damaged benches at Old Main, Widstoe Hall, Business, and Spectrum. It would also, provide for new benches, trash receptacles, and cigarette urns along major walkways on campus. (This assumes Facilities will do the work). This would be Phase 1 of a multi-phased project.	\$125,000	\$6,065,000
22	Natural Resrouces (NR) Siding	Repair weathered/stained exterior cedar. Repair exposed glue-lam beams.	\$75,000	\$6,140,000
23	Campus-wide Sidewalk Replacements	Continue completing projects on lengthy concrete repair/replace list.	\$50,000	\$6,190,000
24	Tunnel Security	Install electronic security system throughout tunnel network.	\$50,000	\$6,240,000
25	High Rise Soccer Field Fencing	The existing fence around the field is failing (rusting out). It was installed to provide protection to Edith Bowen School children waiting for pick-up from soccer play and to help contain the balls on the field. These concerns are still valid today (chain link-\$22K, wrought iron-\$67.5K).	\$22,000	\$6,262,000
26	Campus steam line insulation	Provide steam condensate, chilled water, hot water and pipe insulation.	\$100,000	\$6,362,000
27	Water Lab Fire Lane Access & Bridges	The fire at the Water Lab in April 2003 showed that the building has no fire access to 90% of the structure. Since the building is a multi-story structure a ladder truck would be required in the event of another fire. This presents the need for a new entry & exit for this type of truck.	\$800,000	\$7,162,000

28	Site Lighting Upgrades	Miscellaneous lighting upgrade & repairs.	\$150,000	\$7,312,000
29	Campus-wide wireless - Phase 2	Exterior wiring infrastructure for Americans with Disability Act (ADA) compliance.	\$100,000	\$7,412,000
30	Nutrition and Food Science(NFS) Mechanical Upgrade	Existing ventilation system has low airflow problems due to low duct static. Replace existing air handler, flexible ductwork and mixing boxes. Controls upgrade.	\$500,000	\$7,912,000
31	Campus-wide Paving Projects	This item encompasses several small paving projects that are maintenance concerns around campus. See attached for itemized list. Cost for total projects is noted.	\$130,000	\$8,042,000
32	Steam Line Upgrades	Bullen Hall/NFS - fire hydrant and steam line.	300,000	\$8,342,000
33	Lath House	The existing lath house is structurally inadequate and poses a threat to life safety, especially during winter time.	\$150,000	\$8,492,000
34	Business Cladding	Repair peeling exterior finish.	\$300,000	\$8,792,000
35	Widstoe Air Handlers	Repair Air Handling Units (AHU) to stop leaks in labs.	\$100,000	\$8,892,000
36	HPER Field Irrigation Upgrade	The existing irrigation system on the HPER Field was designed and installed over forty years ago. The irrigation heads it was designed for have been unavailable for many years. Currently produced heads can not match the spacing of the old heads leaving areas that receive less water. Consequentially, for the field to be playable it has to be over-watered extensively. The Upgrade would replace the asbestos transite main line pipe, provide current industry heads at a much closer spacing that would allow for head changes, and reduce the use of water to keep the field playable and healthy (\$303K project - \$40K top-dressed; total rebuild of field, add \$350K to the project).	\$343,000	\$9,235,000
37	Paving Stones @ ECC	Changes in use and other construction projects have created the need to reconfigure the walks in this area. Landscaped areas are dirt and mud because of high traffic. Pavers in low use areas are no longer able to accommodate the uses placed upon them. This project would reconfigure the pedestrian walkways to match use patterns, provide improved access to ECC, and provide protection for a damaged landscape.	\$157,000	\$9,392,000

38	Old Main Northwest Corner Landscape Improvements	The landscape area in the northwest corner of Old Main was heavily compacted during the remodeling of the building, making it difficult to maintain healthy plant materials. The area needs to be excavated, the hard pan broken-up, new topsoil added, replace the irrigation system, and plant new trees and turf.	\$40,000	\$9,432,000
39	Tennis Courts	North 2 doubles courts have structural & surface cracking on 25% of the playing surface. Both courts need to be totally resurfaced.	\$13,000	\$9,445,000
40	Education Atrium	Replace clear glass portions of existing skylight with insulated translucent panels.	\$250,000	\$9,695,000
41	Campus-wide Irrigation & Landscape Upgrades	See attached for itemized breakdown and areas.	\$146,500	\$9,841,500
42	Fine Arts Kent Concert Hall	Project to include ceiling repairs and paint, lighting, carpet replacement and Heating Ventilation and Air Conditioning (HVAC) repairs.	\$300,000	\$10,141,500

20 October, 2006

ITEM FOR ACTION

RE: Contract/Grant Proposals and Awards (August, 2006)

The summary of the Status of Sponsored Program Awards, prepared by our Sponsored Programs Office for August, 2006, is submitted for the Trustees' consideration. They have received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

The awards for the month of August, 2006 amounted to \$16,518,722 versus \$12,505,299 for August, 2005. The current year's July awards figure was 32% higher than the July, 2005 figure.

The comparative graph, "Utah State University Sponsored Program Awards" indicates that August, 2006 cumulative awards were 23% higher than last year for the same time period. Scholarships, fellowships, and state appropriations for research are not included in either figure.

The value of proposals submitted by faculty decreased from \$30,028,319 in August, 2005 to \$23,062,388 during August, 2006. (-23%) The number of current year proposals (263) is greater than FY2006 (233).

RECOMMENDATION

The President and Vice President for Research recommend that the Board of Trustees approve the contract and grant status report for August, 2006.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, The attached lists of contract/grant proposals and awards (August, 2006) are recommended by the President and the Vice President for Research to the Board of Trustees:

NOW THEREFORE, BE IT NOW RESOLVED, That the USU Board of Trustees hereby approves the recommendation of the President and the Vice President for Research.

RESOLUTION APPROVED BY BOARD OF TRUSTEES:

Date

UTAH STATE UNIVERSITY
 SPONSORED PROGRAMS OFFICE
 AWARDS BY COLLEGE
 FOR PERIOD : August 2006

FY 2006/2007

COLLEGE	CURRENT MONTH					CUMULATIVE						
	AWARDS FY 2005/2006	AWARDS FY 2006/2007	TOTAL CHANGE \$	#s 05/06	#s 06/07	TOT CHG	AWARDS FY 2005/2006	AWARDS FY 2006/2007	TOTAL CHANGE \$	#s 05/06	#s 06/07	TOT CHG
AGRICULTURE	\$ 849,389.00	\$ 2,495,642.00	\$ 1,646,253.00	7	12	5	\$ 4,960,158.00	\$ 5,378,547.00	\$ 418,389.00	19	23	4
BUSINESS	\$ 981,819.00	-	(981,819.00)	2	0	(2)	\$ 981,819.00	\$ 501,104.00	(480,715.00)	2	2	0
EDUCATION	\$ 2,519,302.89	\$ 2,785,455.82	\$ 266,152.93	20	27	7	\$ 4,580,437.75	\$ 5,160,951.82	\$ 580,414.07	34	41	7
ENGINEERING	\$ 3,176,341.44	\$ 2,656,358.95	(519,982.49)	23	15	(8)	\$ 3,931,483.44	\$ 2,802,030.56	(1,129,452.88)	37	28	(9)
HASS	\$ 64,000.00	\$ 493,227.00	\$ 429,227.00	2	6	4	\$ 456,616.00	\$ 528,539.00	\$ 71,923.00	12	7	(5)
NAT. RESOURCES	\$ 844,727.00	\$ 2,383,079.00	\$ 1,538,352.00	17	26	9	\$ 2,122,925.00	\$ 3,611,737.00	\$ 1,488,812.00	32	40	8
SCIENCE	\$ 480,372.00	\$ 985,494.00	\$ 505,122.00	11	10	(1)	\$ 1,600,637.00	\$ 1,607,516.00	\$ 6,879.00	19	20	1
USURF	\$ 2,939,211.00	\$ 3,860,904.00	\$ 941,693.00	24	13	(11)	\$ 4,499,392.00	\$ 8,829,271.00	\$ 4,329,879.00	39	27	(12)
MISCELLANEOUS	\$ 650,137.00	\$ 838,561.00	\$ 188,424.00	8	22	14	\$ 2,042,590.00	\$ 2,594,669.00	\$ 552,079.00	32	33	1
JT. ADMIN. PROG. ADJUSTMENT	\$ -	\$ -	\$ -	0	0	0	\$ -	\$ (6,104.00)	(6,104.00)	0	(1)	(1)
GRAND TOTAL	\$ 12,505,299.33	\$ 16,518,721.77	\$ 4,013,422.44	114	131	17	\$ 25,176,058.19	\$ 31,008,161.38	\$ 5,832,103.19	226	220	(6)
PERCENTAGE CHANGE:	DOLLARS		NUMBERS		TOTAL DOLLARS		TOTAL NUMBERS					
	AUG 2005 to 2006		AUG 2005 to 2006		FY 05/06 to FY 06/07		FY 05/06 to FY 06/07					
	32.09%		14.91%		23.17%		-2.65%					

Notes : This report no longer includes Scholarship, Fellowship, State Legislative Research, or IOT/FIOT funds.
 : The College of Family Life and The College of Natural Resources were re-organized in FY2001/2002
 : Beginning in FY 2004/2005 the USURF Projects have all been removed from the individual college's and have been placed in the USURF line on this report.

List of Awards Over \$1,000,000 from 08-01-2006 to 08-31-2006

* FILTER(S) APPLIED: DBA: SDL; DBA: FSP; DBA: USURF; DBA: SDL/TRL; DBA: URI; DBA: NONE; DBA: USU; DBA: BSL; DBA: WDL; DBA: TCO

Award # 1: Augmentation

Control Number	00-S010	Agency	1215755.00
Funding Agency	NASA LANGLEY RESEARCH CENTER	USU	0.00
Department	PLANTS, SOILS & BIOMETEOROLOGY	Total	1215755.00
College	COLLEGE OF AGRICULTURE		
Admin. Center	USU RESEARCH FOUNDATION		
Type of Proposal	RESEARCH-APPLIED		
Principal Investigator	GAIL BINGHAM		
Co-PI(s)			
Period of Performance	02-14-2000 to 12-15-2007		
Award Date	08-09-2006		
Program Name	GEOSTATIONARY IMAGING FOURIER TRANSFORM SPECTROMETER (GIFTS)		
Statement	[No Statement Entered]		

Award # 2: Augmentation

Control Number	01-4029	Agency	2134465.00
Funding Agency	DEPARTMENT OF HEALTH & HUMAN SERVICES	USU	0.00
Department	ANIMAL, DAIRY & VETERINARY SCIENCES	Total	2134465.00
College	COLLEGE OF AGRICULTURE		
Admin. Center	AGRIC. EXPERIMENT STATION		
Type of Proposal	RESEARCH-BASIC		
Principal Investigator	ROBERT SIDWELL		
Co-PI(s)	DALE BARNARD, JOHN MORREY, DONALD SMEE		
Period of Performance	09-14-2001 to 09-13-2007		
Award Date	08-07-2006		
Program Name	ANIMAL MODELS OF HUMAN VIRAL INFECTIONS FOR EVALUATION OF EXPERIMENTAL THERAPIES: INFLUENZA AND ORTHOPOX VIRUSES		
Statement	THIS RESEARCH IS ORIENTED TOWARDS DISCOVERY AND DEVELOPMENT OF DRUGS TO TREAT VIRUS DISEASE OF EMERGING AND BIODEFENSE IMPORTANCE.		

Award # 3: Augmentation

Control Number	04-1447	Agency	1999948.00
Funding Agency	NATIONAL SCIENCE FOUNDATION	USU	199996.00
Department	DEANS OFFICE-ENGINEERING	Total	2199944.00
College	COLLEGE OF ENGINEERING		
Admin. Center	UNIVERSITY RESEARCH & TRAINING		
Type of Proposal	RESEARCH-BASIC		
Principal Investigator	CHRISTINE HAILEY		
Co-PI(s)	KURT BECKER, MAURICE THOMAS		
Period of Performance	09-15-2004 to 08-31-2007		

Award Date 08-15-2006

Program Name NATIONAL CENTER FOR ENGINEERING AND TECHNOLOGY EDUCATION
Statement ALLOWING FOUR DOCTORAL STUDENTS TO ATTEND AN INTERNATIONAL CONFERENCE ON ENGINEERING EDUCATION.

Award # 4: New

Control Number 05S090008 Agency 1100503.00
Funding Agency USDOD MISSILE DEFENSE AGENCY USU 0.00
Department ELECTRICAL & COMPUTER ENGINEERING Total 1100503.00
College COLLEGE OF ENGINEERING
Admin Center USU RESEARCH FOUNDATION
Type of Proposal RESEARCH-APPLIED
Principal Investigator DEAN WADA
Co-PI(s)

Period of Performance 07-01-2006 to 09-30-2009

Award Date 08-01-2006

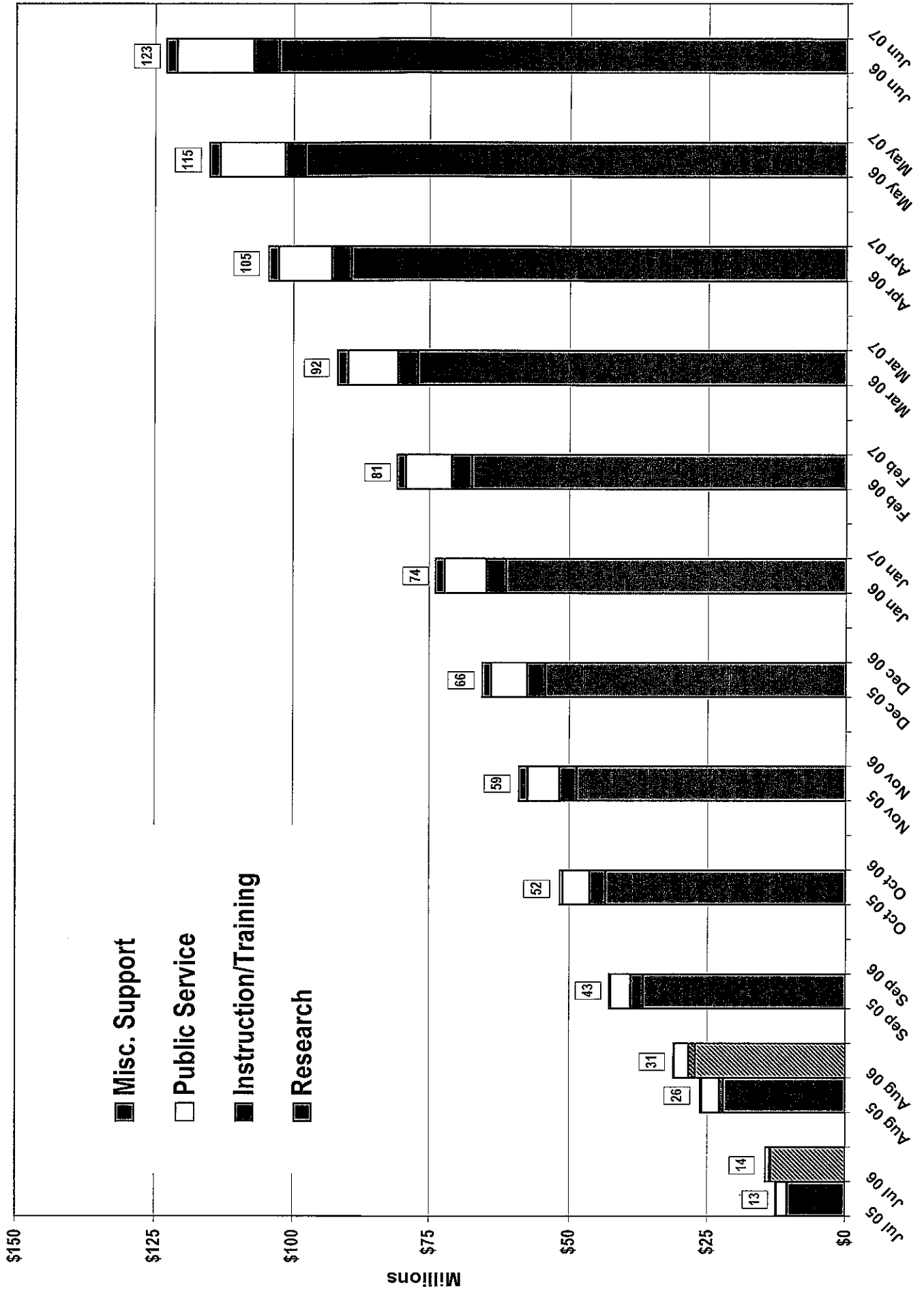
Program Name MICROSAT PHASE III FABRICATION, TEST & ASSEMBLY

Statement THE USURF/SDL PROPOSAL IS TO CONTINUE THE DESIGN AND DEVELOPMENT EFFORT FOR THE DISTRIBUTED SENSING EXPERIMENT - SENSOR DEVELOPMENT EFFORT BASED ON THE DIRECTION GIVEN BY THE MISSILE DEFENSE AGENCY DURING THE DETAILED DESIGN (CRITICAL DESIGN) PHASE. SDL WILL PERFORM A BEST EFFORT TOWARDS FABRICATION, INTEGRATION AND TEST OF THE SENSOR PAYLOAD, INCLUDING HARDWARE AND SOFTWARE, SUPPORT FOR THE ANNUAL MICROSAT DISTRIBUTED SENSING EXPERIMENT KNOWLEDGE POINT REVIEWS, SUPPORT FOR THE PRE- ENVIRONMENTAL REVIEW, AND SUPPORT FOR THE LAUNCH READINESS REVIEW.

Agency Total 6,450,671
USU Total 199,996
Grand Total 6,650,667

* Only awards from the listed filters are included in this report. If you believe that you should have access to information about additional departments, colleges, or research centers, please submit a support request on the Electronic-Office website or email Laurie Littledike: Laurie.Littledike@usurf.usu.edu.

Utah State University Sponsored Program Awards FY 2006, FY 2007



UTAH STATE UNIVERSITY
 SPONSORED PROGRAMS OFFICE
 PROPOSALS BY COLLEGE
 FOR PERIOD : August 2006

FY 2006/2007

COLLEGE	CURRENT MONTH					CUMULATIVE					
	PROPOSALS FY 2005/2006	PROPOSALS FY 2006/2007	TOTAL CHANGE \$	#s 05/06	TOT #s 06/07 CHG	PROPOSALS FY 2005/2006	PROPOSALS FY 2006/2007	TOTAL CHANGE \$	#s 05/06	#s 06/07 TOT CHG	
AGRICULTURE	\$ 2,873,868.00	\$ 4,228,988.61	\$ 1,355,120.61	12	17	\$ 6,139,864.00	\$ 6,361,160.21	\$ 221,296.21	22	30	8
BUSINESS	\$ 1,963,370.00	\$ 14,963.00	\$ (1,948,407.00)	2	3	\$ 1,963,370.00	\$ 14,963.00	\$ (1,948,407.00)	2	3	1
EDUCATION	\$ 4,069,766.00	\$ 4,974,590.80	\$ 904,824.80	17	27	\$ 26,171,158.00	\$ 14,467,409.36	\$ (11,703,748.64)	37	50	13
ENGINEERING	\$ 4,680,599.24	\$ 2,135,245.99	\$ (2,545,353.25)	39	27	\$ 10,565,490.93	\$ 8,978,409.47	\$ (1,587,081.46)	65	64	(1)
HASS	\$ 61,375.00	\$ 134,100.00	\$ 72,725.00	2	4	\$ 81,002.00	\$ 347,006.00	\$ 266,004.00	4	5	1
NAT. RESOURCES	\$ 998,022.00	\$ 2,800,380.37	\$ 1,802,358.37	17	20	\$ 3,753,292.00	\$ 4,698,629.08	\$ 885,337.08	36	34	(2)
SCIENCE	\$ 1,993,257.00	\$ 3,020,701.12	\$ 1,027,444.12	10	12	\$ 5,295,834.00	\$ 12,461,846.33	\$ 7,166,012.33	23	34	11
USURF	\$ 15,076,280.80	\$ 5,152,784.83	\$ (9,923,495.97)	19	13	\$ 18,106,765.72	\$ 56,185,605.37	\$ 38,078,839.65	30	26	(4)
MISCELLANEOUS	\$ 275,151.00	\$ 600,632.98	\$ 325,481.98	9	6	\$ 1,699,195.00	\$ 3,005,852.98	\$ 1,306,657.98	16	19	3
JT. ADMIN. PROG. ADJUSTMENT	\$ (1,963,370.00)	\$ -	\$ 1,963,370.00	(2)	0	\$ (1,963,370.00)	\$ -	\$ 1,963,370.00	(2)	(2)	0
GRAND TOTAL	\$ 30,028,319.04	\$ 23,062,387.70	\$ (6,965,931.34)	125	136	\$ 71,812,601.65	\$ 106,460,881.80	\$ 34,648,280.15	233	263	30
PERCENTAGE CHANGE:		DOLLARS AUG 2005 to 2006 -23.20%		NUMBERS AUG 2005 to 2006 8.80%		TOTAL DOLLARS FY 05/06 to FY 06/07 48.25%		TOTAL NUMBERS FY 05/06 to FY 06/07 12.88%			

Notes : This report no longer includes Scholarship, Fellowship, State Legislative Research, or IOT/FIOT funds.
 : The College of Family Life and The College of Natural Resources were re-organized in FY2001/2002
 : Beginning in FY 2004/2005 the USURF Projects have all been removed from the individual college's and have been placed in the USURF line on this report.

Selected List of Proposals Over \$1,000,000 from 08-01-2006 to 08-31-2006

* FILTER(S) APPLIED: DBA: SDL; DBA: FSP; DBA: USURF; DBA: SDL/TRL; DBA: URI; DBA: NONE; DBA: USU; DBA: BSL; DBA: WDL; DBA: TCO

Proposal # 1: Continuation

Control Number	01-4029	Agency	\$2,134,465.00
Funding Agency	DEPARTMENT OF HEALTH & HUMAN SERVICES	USU	\$.00
Department	ANIMAL, DAIRY & VETERINARY SCIENCES	Other	\$.00
College	COLLEGE OF AGRICULTURE	Total	\$2,134,465.00
Research Center	AGRIC. EXPERIMENT STATION		
Type of Proposal	RESEARCH-BASIC		
Principal Investigator	ROBERT SIDWELL		
Period of Performance	09-14-2006 to 09-13-2007		
Proposal Date	08-07-2006		
Program Name	ANIMAL MODELS OF HUMAN VIRAL INFECTIONS FOR EVALUATION OF EXPERIMENTAL THERAPIES: INFLUENZA AND ORTHOPOX VIRUSES		
Statement	THIS RESEARCH IS ORIENTED TOWARDS DISCOVERY AND DEVELOPMENT OF DRUGS TO TREAT VIRUS DISEASE OF EMERGING AND BIODEFENSE IMPORTANCE.		

Proposal # 2: Augmentation

Control Number	01-4029	Agency	\$1,386,654.00
Funding Agency	DEPARTMENT OF HEALTH & HUMAN SERVICES	USU	\$.00
Department	ANIMAL, DAIRY & VETERINARY SCIENCES	Other	\$.00
College	COLLEGE OF AGRICULTURE	Total	\$1,386,654.00
Research Center	AGRIC. EXPERIMENT STATION		
Type of Proposal	RESEARCH-BASIC		
Principal Investigator	ROBERT SIDWELL		
Period of Performance	09-14-2006 to 04-30-2010		
Proposal Date	08-31-2006		
Program Name	ANIMAL MODELS OF HUMAN VIRAL INFECTIONS FOR EVALUATION OF EXPERIMENTAL THERAPIES: INFLUENZA AND ORTHOPOX VIRUSES		
Statement	THIS RESEARCH IS ORIENTED TOWARDS DISCOVERY AND DEVELOPMENT OF DRUGS TO TREAT VIRUS DISEASE OF EMERGING AND BIODEFENSE IMPORTANCE.		

Proposal # 3: New

Control Number	05S090010	Agency	\$1,104,476.16
Funding Agency	USDOD MISSILE DEFENSE AGENCY	USU	\$.00
Department	ELECTRICAL & COMPUTER ENGINEERING	Other	\$.00
College	COLLEGE OF ENGINEERING	Total	\$1,104,476.16
Research Center	USU RESEARCH FOUNDATION		
Type of Proposal	RESEARCH-APPLIED		
Principal Investigator	THOMAS HUMPHERYS		
Period of Performance	09-01-2006 to 09-30-2007		

Proposal Date	08-25-2006
Program Name	MULTIPLE KILL VEHICLES PAYLOAD DEVELOPMENT, INDEPENDENT TESTING AND EVALUATION
Statement	THE SPACE DYNAMICS LABORATORY WILL CONDUCT ENGINEERING STUDIES AND PROVIDE TECHNICAL RECOMMENDATIONS TO THE MISSILE DEFENSE AGENCY MULTIPLE KILL VEHICLE PROGRAM OFFICE.

Proposal # 4: New

Control Number	070088	Agency	\$1,344,770.00
Funding Agency	US DEPARTMENT OF EDUCATION	USU	\$0.00
Department	ELEMENTARY EDUCATION	Other	\$0.00
College	COLLEGE OF EDUCATION AND HUMAN SERVICES	Total	\$1,344,770.00
Research Center	UNIVERSITY RESEARCH & TRAINING		
Type of Proposal	INSTRUCTION AND TRAINING		
Principal Investigator	JAMES DORWARD		
Period of Performance	07-01-2007 to 06-30-2008		
Proposal Date	08-01-2006		
Program Name	IMPROVING COMPREHENSION: SELF-EXPLANATION IN MATHEMATICS AND SCIENCE (SEMS)		
Statement	EXAMINE THE EFFECTS OF TEACHING MIDDLE LEVEL STUDENTS GO GENERATE SELF-EXPLANATION (SE) QUESTIONS AND TO CREATE EXPLANATORY ANSWERS TO IMPROVE THEIR COMPREHENSION OF MATHEMATICS AND SCIENCE CONTENT.		

Proposal # 5: New

Control Number	070128	Agency	\$1,800,755.00
Funding Agency	US OFFICE OF NAVAL RESEARCH	USU	\$0.00
Department	COMPUTER SCIENCE	Other	\$0.00
College	COLLEGE OF SCIENCE	Total	\$1,800,755.00
Research Center	UNIVERSITY RESEARCH & TRAINING		
Type of Proposal	RESEARCH-BASIC		
Principal Investigator	SUPRATIK MUKHOPADHYAY		
Period of Performance	05-01-2007 to 04-30-2010		
Proposal Date	08-18-2006		
Program Name	TRUST AND TAINT PROPAGATION IN SERVICE-BASED SYSTEMS		
Statement	BY SECURING SERVICE- BASED SYSTEMS IN WHICH MUTUALLY DISTRUSTFUL SERVICES AND CLIENTS ARE DEPLOYED.		

Proposal # 6: New

Control Number	07S017	Agency	\$1,586,602.00
Funding Agency	US NAVAL RESEARCH LABORATORY	USU	\$0.00
Department	ELECTRICAL & COMPUTER ENGINEERING	Other	\$0.00
College	COLLEGE OF ENGINEERING	Total	\$1,586,602.00
Research Center	USU RESEARCH FOUNDATION		
Type of Proposal	RESEARCH-APPLIED		
Principal Investigator	DEAN WADA		
Period of Performance	12-01-2006 to 11-30-2007		

Proposal Date	08-15-2006
Program Name	TACTICALLY RESPONSIVE IMAGING SPECTROMETER
Statement	THE SPACE DYNAMICS LABORATORY PROPOSES TO DEVELOP A HYPER SPECTRAL IMAGING INSTRUMENT THAT IS OPERATIONALLY RESPONSIVE FOR TACTICAL DEPLOYMENT IN SPACE

Agency Total	\$9,357,722.16
USU Total	\$.00
Other Total	\$.00
Grand Total	\$9,357,722.16

* Only proposals from the listed filters are included in this report. If you believe that you should have access to information about additional departments, colleges, or research centers, please submit a support request on the Electronic-Office website or email Laurie Littledike: Laurie.Littledike@usurf.usu.edu.

Action Agenda

**ACTION AGENDA
OCTOBER 20, 2006**

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2. Proposal to Amend the Utah State University Policy Manual, Section 105.2.1. (2) Athletic Council	21
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ITEM FOR ACTION

RE: Revised Investment Policies and Guidelines

The proposed revised investment policies and guidelines for Utah State University included herein are presented to the Board of Trustees for action. The revised policies and guidelines have received appropriate administrative approval.

EXECUTIVE SUMMARY

The current investment policies and procedures were last amended and approved by the Board of Trustees on 11 February 2005. The revised policies and guidelines include the following key changes.

1. The composition of the Utah State University Investment Advisory Committee has been changed to include the following members:
 - Two Board of Trustees member appointees (Douglas Foxley and Brent Nyman)
 - Three Presidential appointees (David Moore, Stanley Norton, and Philip Swensen)
 - Vice President for University Advancement
 - Public Treasurer (Vice President for Business and Finance), chair
 - Associate Vice President for Financial Services, vice-chair
2. A provision has been added to allow a management fee of up to 2% to be assessed on quasi-endowment and reserve funds invested in the Cash Management Investment Pool.
3. Asset allocation ranges have been established as follows:

<u>Asset Class</u>	<u>Strategic Allocation</u>	<u>Allocation Range</u>
Equities	50%	25% - 70%
Fixed Income	25%	20% - 45%
Alternatives	25%	0% - 30%

4. The allowable advancement/management fee has been reduced from 3 1/2% to 2% for funds invested in the Endowment Pool.
5. Diversification guidelines for alternative investment funds have been established.

These revised policies and guidelines have been reviewed and unanimously approved by the Utah State University Investment Advisory Committee, USU Foundation Board, and the University's investment advisor.

RECOMMENDATION

The President and Vice President for Business and Finance recommend the approval by the Utah State University Board of Trustees of the revised investment policies and guidelines.

RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES

WHEREAS, The current investment policies and procedures were last amended and approved by the Board of Trustees on 11 February 2005, and

WHEREAS, Revised investment policies and guidelines have been developed, and

WHEREAS, The revised policies and guidelines have been approved by the Utah State University Investment Advisory Committee and the USU Foundation Board, and

WHEREAS, The revised policies and guidelines have received appropriate administrative review, and

WHEREAS, The President and Vice President for Business and Finance recommend approval of the revised investment policies and guidelines;

NOW, THEREFORE, BE IT RESOLVED, That the Utah State Board of Trustees hereby approves the revised Utah State University investment policies and guidelines.

RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES:

Date

Utah State University Investment Policies

I. PURPOSE

To establish University policies and procedures related to the investment of public funds and gifts and the reporting of such investments in accordance with applicable statutes or rules.

II. REFERENCES

- A. Utah State Board of Regents Investment Policy R-541.
- B. State Money Management Act, Section 51, Chapter 7, Utah Code Annotated, 1953.
- C. Rules of the State Money Management Council.
- D. Uniform Management of Institutional Funds Act (UMIFA), Section 13, Chapter 29, Utah Code Annotated 2005.
- E. Utah State University Conflicts of Interest Policy (Policy 307).

III. DEFINITIONS

- A. State Money – Monies from legislative appropriations for the support and operation of the University.
- B. Public Funds – State money and all other funds, regardless of source, which are owned, held, or administered by the University.
- C. Donated Funds – Funds acquired by the University by gift, devise or bequest.
- D. Public Treasurer – A Utah State University employee who has been designated by the Board of Trustees as the University’s Public Treasurer as defined in the State Money Management Act and who has the responsibility for the safekeeping and investment of public funds.
- E. Investments – As used in this policy, “investments” refers to all funds held by the University under provisions of the State Money Management Act or UMIFA.

IV. GENERAL PROVISIONS

- A. The investment of public funds by the University shall comply with applicable statutory provisions, including the State Money Management Act, the rules of the State Money Management Council, the Uniform Management of Institutional Funds Act, and with policies of the State Board of Regents and the Board of Trustees.
- B. The President of the University shall recommend for appointment by the Board of Trustees, an employee of the University to serve as the University's Public Treasurer and employees to serve as the Treasurer's designees. These nominees shall possess demonstrated skills and capabilities in the management of institutional cash and investments.
- C. All gifts of securities shall be sold as soon as is prudent and proceeds of the sale shall be deposited into the University account for which the gift is intended unless otherwise directed by donors.
- D. Investment Objectives

The University shall manage its cash flow in a manner that will maximize cash available for investment. Available funds shall be invested in accordance with the following objectives.

- 1. Protection and safety of the principal.
 - 2. Liquidity and term of investment which will provide funds for anticipated expenditures in a timely manner.
 - 3. Investment of funds recognizing the needs of both operating accounts and endowed funds.
 - 4. Conform to state law, pertinent bond resolutions or indentures, or other pertinent legal restrictions.
 - 5. Maximize the rate of return consistent with the foregoing objectives.
- E. Investments Administered on an Individual Basis
 - 1. The following types of funds and investments shall be administered on an individual basis in accordance with their terms, restrictions, and/or governing policies.
 - a. Funds held in trust by others, the income of which alone accrues to the University.

- b. Investments of the State Land Board and any other state agencies administered on behalf of the University.
- c. Investments of funds restricted by donor agreements.
- d. Bond reserve investments in compliance with bond agreements.
- e. Funds approved for individual investment by the Investment Advisory Committee.

F. Delegation of Responsibility

1. Board of Trustees

- a. The State Board of Regents has delegated to each institutional Board of Trustees, full responsibility to manage and report institutional investments in compliance with Regents Policy R-541.
- b. The Board of Trustees shall adopt institutional policy and procedure regarding investments, designate a Public Treasurer, and approve the format of reports submitted for its review.
- c. The Board of Trustees shall review and approve monthly reports of portfolio activity and quarterly performance reports of the institution's portfolio.
- d. The Board of Trustees shall require institutional compliance with the State Money Management Act, Rules of the Money Management Council, and UMIFA.
- e. The Board of Trustees shall approve external professional investment advisor(s) and or manager(s) for the University.

2. President of the University

- a. Recommend University investment policies, including changes or modifications, to the Board of Trustees for approval.
- b. Review and evaluate the University's investment performance.

- c. Make recommendations to the Board of Trustees regarding external professional investment advisor(s) and or manager(s) for the University.
 - d. Recommend to the Board of Trustees an employee to serve as Public Treasurer and other employees to serve as his designees.
 - e. Appoint three individuals to serve on the Investment Advisory Committee.
3. Public Treasurer (Vice President for Business and Finance)
- a. The Public Treasurer shall be bonded in accordance with Rule Four of the Utah State Money Management Council and shall perform the following supervisory responsibilities:
 - 1. Chair the Investment Advisory Committee.
 - 2. Develop and submit investment policies, operating procedures, and asset allocation changes to the Investment Advisory Committee for review and recommend investment policies, including changes or modifications, to the President for approval.
 - 3. Review and evaluate the University's investment performance.
 - 4. Approve the distribution of investment earnings.
 - 5. Make recommendations to the President regarding external professional investment advisor(s) and or manager(s) for the University.
 - 6. Approve and/or ratify University investment actions.
 - 7. Sign Public Treasurer assertion on reports.
4. Associate Vice President for Financial Services
- a. The Associate Vice President for Financial Services shall be bonded in an amount determined in accordance with Rule Four of the Utah State Money Management Council and shall perform the following responsibilities:
 - 1. Vice Chair the Investment Advisory Committee.
 - 2. Develop and submit investment policies and guidelines to the Public Treasurer.
 - 3. Supervise the daily investment program operation.

4. Approve University investments except as delegated to external investment managers.
5. Prepare an annual presentation of Investment Performance for the Board of Trustees.
6. Meet quarterly with the President's VP Council to review investment performance.
7. Prepare transmittal letter for the President's signature transmitting applicable reports to the State Board of Regents.
8. Recommend for approval quasi-endowment account requests to the Public Treasurer.

5. Investment Advisory Committee

- a. The Public Treasurer shall be assisted by an Investment Advisory Committee which shall include:

1. Vice President for University Advancement.
2. Associate Vice President for Financial Services.
3. Two Board of Trustees member appointees.
4. Three Presidential appointees.

- b. The Investment Advisory Committee shall:

1. Monitor performance of internal and external investment managers.
2. Review the University's current investment portfolio and investment results.
3. Review the University's current investment strategy and recommend investment strategy to be employed for the future.
4. Recommend appropriate revisions to investment policies and guidelines.
5. Recommend to the Public Treasurer the engagement, termination or continuation of external managers and investment advisors.
6. Forward committee recommendations for investment actions to the Public Treasurer for approval or disapproval.
7. Consider all other items referred to the committee by the Public Treasurer.
8. Meet at least quarterly or as often as may be necessary to fulfill its function.

V. POLICY

A. Authority to Manage Public Funds

The authority to manage the University's public funds, subject to investment transaction approvals required by paragraph V.B., is delegated to the University's Public Treasurer. If specifically approved by the Board of Trustees, the authority to invest public funds may also be delegated to independent investment manager(s).

B. Approval of Investment Decisions

1. Entering into repurchase agreements, the purchase of money market funds, redemption of securities, and the sale of securities donated to the university, in accordance with paragraph IV.C, must be approved by one of the following University officials:

President

Public Treasurer

Associate Vice President for Financial Services

All other investment purchases must be approved by two of the above listed University officials.

2. No person may engage in an investment transaction on behalf of Utah State University except as provided under the terms of this policy.

C. Investment Pools

1. Ordinarily, funds will be pooled for investment purposes.
2. Specific investment pools or portfolios may be established to:
 - a. Ensure compliance with specific provisions of the State Money Management Act and rules of the State Money Management Council or UMIFA.
 - b. Ensure compliance with any legal restrictions, such as bond covenants or trust agreements.
 - c. Ensure compliance with donor instruments relating to gifts or bequests.

- d. Meet investment objectives of groups of similar accounts.
 - e. Provide liquidity to meet operating needs of the University.
3. Investment pools may be established only upon approval of the President or the Public Treasurer after consultation with the Investment Advisory Committee and the Chair of the Board of Trustees.
 4. Guidelines for the operation and management of each investment pool will be periodically reviewed by the Investment Advisory Committee see Paragraph IV.F.5.

D. Distribution of Pooled Investment Income

Investment income will be distributed to all eligible accounts that provide funds for investment. Income distribution rates will be recommended by the Investment Advisory Committee and approved by the Public Treasurer. Eligible accounts include endowment, quasi-endowment, or reserve accounts as approved by the President or Public Treasurer. Investment income attributable to other types of accounts shall be credited to the President's discretionary earnings account.

E. Reporting Requirements

1. The Public Treasurer shall submit investments reports of the Cash Management Investment Pool, funds managed by external managers, funds separately invested, endowment trusts, and bond reserve funds monthly to the Board of Trustees.
2. All reports shall include the Public Treasurer's assertion that, to the best of the Treasurer's knowledge, the institution is in compliance with the Utah State Money Management Act and the rules of the Money Management Council and UMIFA.
3. The Public Treasurer shall submit monthly reports to the Secretary of the Board of Trustees for inclusion in the agenda of the next Board of Trustees' meeting.
4. The Public Treasurer shall submit quarterly reports of investment portfolios to the Secretary of the Board of Trustees for inclusion in the agenda of the next Board of Trustees meeting.
5. The Secretary will place the reports on the agenda of the next regular Board of Trustees meeting.

6. The University shall submit to the Board of Regents a copy of the reports submitted to its Board of Trustees within seven days of the Board of Trustees' approval. Reports submitted to the Board of Regents shall be accompanied by a transmittal letter to the Commissioner of the Utah System of Higher Education indicating that the President has reviewed the reports.
7. Annually, the University shall submit, in the format determined by the Office of the Commissioner of Higher Education, a summary report of its money management activities for the prior fiscal year. The report shall include the University internal auditor's opinion regarding: (1) the fairness of the report in accordance with generally accepted accounting principles; and (2) compliance with applicable state statutes, in particular; the State Money Management Act, rules of the Money Management Council, the Uniform Management of Institutional Funds Act, or policies of the Board of Trustees and the State Board of Regents.

F. Internal Controls

The University shall establish a system of internal controls which shall be evaluated by University internal auditors and independent external auditors annually. The controls shall be designed to prevent losses of public funds arising from fraud, employee error, misrepresentation by third parties, or imprudent actions by University employees and officers.

VI. INDEPENDENT INVESTMENT ADVISORS

The University shall use the services of a qualified investment advisor for investment consultation and performance review. The qualified advisor will be required to submit quarterly performance review reports to the Public Treasurer and Investment Advisory Committee. The qualified advisor must possess knowledge of the Utah State Money Management Act, the Rules of the Money Management Council, and the Uniform Management of Institutional Funds Act.

VII. INDEPENDENT INVESTMENT MANAGERS

External qualified investment managers may be retained by the University to manage one or more investment pools. Investment managers will be chosen on the basis of investment performance and investment reputation in the financial community as well as cost of services. Such appointments shall be subject to annual review.

VIII. RELATIONSHIPS WITH FINANCIAL INSTITUTIONS

Qualified depositories as defined by the State Money Management Act shall be selected and retained in accordance with Regents Policy R-543.

IX. ETHICS AND CONFLICTS OF INTEREST

The Public Treasurer, University officials, and Investment Advisory Committee members involved in the investment process shall refrain from personal business activity that could conflict with proper execution of the investment program, or which might impair their ability to make impartial investment decisions. Investment officials shall disclose confidentially to the University President and to the University Counsel, any material financial interests in financial institutions that conduct business with the University, and any large personal financial/investment positions that may affect performance of investment duties or the performance of the University's portfolio.

Utah State University
Investment Guidelines and Investment Groupings

I. PURPOSE

To establish University guidelines and groupings related to the investment of all funds within the control of the University.

II. REFERENCES

- A. Utah State Board of Regents Investment Policy R-541.
- B. State Money Management Act, Section 51, Chapter 7, Utah Code Annotated, 1953.
- C. Rules of the State Money Management Council.
- D. Uniform Management of Institutional Funds Act (UMIFA), Section 13, Chapter 29, Utah Code Annotated 2005.

III. DEFINITIONS

- A. Cash funds – All available cash from all University and agency funds.
- B. Reserve funds – Cash in excess of funds needed for operations and in excess of \$5,000 that is not needed for at least one year and that the Public Treasurer has authorized to be invested and earn a return on investment.
- C. Endowment funds – As used in these guidelines, “endowment funds” include true endowment funds, term endowment funds, and quasi-endowment funds.
- D. True endowment funds – As used in these guidelines, “true endowment funds” are institutional funds with respect to which a donor has stipulated, as a condition of the gift, that the gift is to be maintained inviolate and in perpetuity. True endowment funds are to be invested for the purpose of producing present or future income that may, also by donor stipulation, be expended or reinvested with the original gift. The principal, or corpus of the true endowment, must be maintained intact. Income that may be expended according to the donor’s stipulation may be restricted or unrestricted as to the purpose for which it is expended, the time it may be expended, or both. Income that may not be expended, but rather added to the principal or corpus in accordance with the donor’s stipulation, assumes or takes on the same restrictions as the original gift.

- E. Term endowment funds – Term endowment funds are similar to true endowments, except that, upon passage of a stated period (or time) or the occurrence of a particular event, all or part of the donation may be expended. True and term endowments are commonly referred to as donor restricted endowments.
- F. Quasi-endowment funds – Quasi-endowment funds are gifts or bequests that are retained and managed like an endowment. Principal and interest of these funds may be utilized in accordance with the terms of the quasi-endowment agreement.

IV. INVESTMENT GROUPINGS AND INVESTMENT POOL PROCEDURES

A. Cash Management Investment Pool

- 1. Consists of available cash in excess of estimated daily operating requirements from all University and agency funds.
- 2. Funds in this pool may only be invested in investments that meet the criteria of Section 51-7-11 and 51-7-17 of the Utah State Money Management Act.
- 3. This pool will not be managed on a unit (market value) method.
- 4. Net earnings (realized gains/losses, dividends, and interest [net of expenses]) will be distributed to the fund groups, sub-fund groups, and to specific restricted fund accounts (when required by contractual agreement) that participate in the pool. The method of allocation will be based on the average daily cash balance. Net earnings distributed to the Endowment and Loan groups will be distributed annually to the individual funds participating in the pool.
- 5. A management fee may be assessed up to 2% using the average daily cash balance method of allocation on all quasi-endowment and reserve funds invested in the pool.
- 6. This pool will be managed by University personnel.

B. Endowment Pool

- 1. The Endowment Pool consists of endowment funds that are not restricted by donors as to the type of investment.
- 2. Investments of these funds are invested under the total return concept of the Uniform Management of Institutional Funds Act, whereby “net appreciation, realized and unrealized, in the fair value of the assets of an endowment fund” may be appropriated for expenditure. UMIFA was adopted into state law under Utah Code 13-29 and is governing regulation for the University’s endowment funds.

3. This pool will be operated on a unit (market value) method.
4. The investment return objective of this pool will be to achieve a total rate of return, over a ten-year period, which exceeds the spending allocation rate plus the advancement/management fee by 2% per year on average.
5. The risk objective of this pool will be to construct an investment program that offers a high probability of achieving the stated investment return objective while keeping the frequency and magnitude of temporary declines at acceptable levels. A strategic asset allocation program will facilitate controlling downside volatility within acceptable ranges over a period of time.
6. The following asset allocation targets will guide the long-term investment activities for this pool:

<u>Asset Class</u>	<u>Strategic Allocation</u>	<u>Allocation Range</u>
Equities	50%	25% - 70%
Fixed Income	25%	20% - 45%
Alternatives	25%	0% - 30%

The Public Treasurer and the Associate Vice President for Financial Services, with the advice of the Investment Advisory Committee, will manage the asset allocation mix within the above Strategic Allocation targets and Allocation Ranges. It is expected that the asset allocation mix will be diversified among asset classes and designed to meet the rate return and risk objectives.

7. Rebalancing:
 - a. Asset allocation ranges will be reviewed on a semi-annual basis.
 - b. The actual percentage allocations may vary as much as +/- 5% before rebalancing to the Allocation Ranges is required.
 - c. The Public Treasurer and the Associate Vice President for Financial Services, with the advice of the Investment Advisory Committee, shall determine initial weighting and rebalancing parameters for allocation to the asset classes and within the asset class. The Public Treasurer may also accept advice from the University's investment advisor regarding asset allocation strategies.

8. The funds in this pool will be managed and invested by:
 - a. Qualified external managers.
 - b. Professional managers of commingled investment funds or mutual funds.
 - c. University personnel managing the Cash Management Investment Pool.

9. In order to ensure a stable and predictable level of spending from year to year, fluctuations in annual investments will be “smoothed” by using a 12-quarter moving average of an endowment’s market unit value for the three preceding fiscal years. More specifically, each endowment will be allocated an amount of spending up to 5 percent of the average of endowment account balances over the most recent three year period. In the case of new endowments, the most recent one, two, or three year periods will be used. It is possible, particularly in the case of new endowment accounts, for the annual return to be less than the spending allocation in the short-term. Should any endowment account market value fall below its corpus value, spending on said endowment may be suspended and/or reduced to the amount of actual earnings.

Taking actual investment returns, inflation, and the advancement/management fee into consideration, the actual spending rate will be determined one year prior to the start of the fiscal year in which funds are to be expended. Portfolio returns in excess of the annual spending allocation (including realized/unrealized gains or losses, interest and dividends) shall be retained by the endowment in order to protect its value in real terms vs. inflation, and to grow the endowment’s historical value over time.

The spending allocation amount will be distributed to the individual accounts using the unit method.

10. The University may withdraw an advancement/management fee from the endowment pool. This fee will be determined based upon a budget approved by the President and will not exceed 2 percent of the 12-quarter moving average of the portfolio fair market unit value for the three preceding fiscal years, with a budget lead time of one year.

11. Cash inflows and outflows will be allocated in accordance with the Asset Allocation Guidelines.

12. Special investment opportunities may necessitate an amendment to the Investment Guidelines and Investment Groupings.

C. Separately Invested Funds

1. These are separate investments (non-pooled) generally consisting of donor restricted investments, investments under annuity agreements with donors, investments of agency funds, endowment and other trusts, or other separate investments recommended by the Investment Advisory Committee and approved by the Public Treasurer. Dividends, interest, gains or losses, and any associated expenses are recorded directly upon receipt or payment to the individual fund.

D. Bond Trust Funds

1. These funds are separate investments consisting of monies managed by an external trustee as part of a bond funding agreement. Investments are made pursuant to the bond funding agreement.
2. Investment of Bond Trust Funds are reviewed and discussed by the external trustee, the Public Treasurer, and the Associate Vice President for Financial Services to assure that the maximum possible earnings are attained.

V. ELIGIBLE ENDOWMENT POOL INVESTMENTS

The following guidelines shall define eligible Endowment Pool investments and their parameters. These guidelines shall be subject to review on an ongoing basis.

A. Equities

1. The equity segment may be diversified across a spectrum of market capitalizations by allowing investments in small, medium, and large-capitalization stocks.
2. The equity segment may be diversified across multiple regions, including the United States, developed foreign markets, and emerging markets.
3. Equity holdings should be readily marketable and diversified by issue, industry, and sector.
4. Equity managers may invest in short-term commercial paper, money-market mutual funds, other money market investments, and short-term bond investments as a surrogate for cash reserves on occasion. The intent is to have the investment manager remain fully invested.

B. Fixed Income

1. Bond Holdings should be diversified by country, issue, sector, coupon and quality and should be readily marketable.
2. Bond portfolios must have a minimum quality of A or better.
3. Non-investment grade securities shall be limited to 15% of a manager's portfolio unless otherwise stated in the Investment Manager's guidelines.
4. Foreign securities shall be limited to 15% of a manager's portfolio, unless otherwise stated in the Investment Manager's guidelines
5. Average portfolio duration should not exceed +/- 35% of the stated benchmark index, unless otherwise stated in the Investment Manager's guidelines.

C. Alternative Investments

1. In addition to the aforementioned investments, the University may invest in alternative investment funds that derive returns primarily from high yield and distressed debt (hedged or non-hedged), private capital (including venture capital, private equity, both domestic and international), natural resources, commodities, private real estate assets or absolute return and long/short hedge funds (each an "Alternative Investment Fund").
2. The University may invest up to 30% of available Endowment Pool funds in Alternative Investments.
3. The alternative investment segment of the portfolio should be diversified among types of investments. The following shall govern the investment of Alternative Investment Funds:

<u>Investment</u>	<u>Range</u>
High Yield and Distressed Debt	0% - 10%
Private Capital, Natural Resources, Commodities and Private Real Estate	0% - 10%
Absolute Return and Long/Short Hedge Funds	0% - 20%

The above percentages are subject to prudent rebalancing practices.

D. Unique Situations

1. The Public Treasurer, Associate Vice President for Financial Services, and the Investment Advisory Committee may execute alternative investment strategies to take advantage of one-time or unique situations.

VI. RISK TOLERANCE

The following guiding principles and measures shall be followed to control undue portfolio volatility in any Investment Grouping:

1. The University recognizes that the primary fiduciary obligation regarding the Investment Groupings is to prudently invest the portfolio to meet the investment objectives that will fulfill the purpose of the Investment Grouping.
2. The University fully recognizes the likelihood of periodic market declines and is willing to accept the possibility of some short-term declines in market value in order to achieve potentially higher long-term investment returns.
3. Assets of each Investment Grouping are to be diversified to protect against large investment losses and to reduce the probability of excessive performance volatility.
4. Diversification of assets is to be achieved by:
 - a. Allocating monies to various asset classes and investment styles within asset classes, and
 - b. Retaining investment management firm(s) with complementary investment philosophies, styles, and approaches.
5. Efforts should be made to preserve the principal value of Investment Groupings, but preservation shall not be imposed as a condition on each investment transaction.

VII. PERFORMANCE EVALUATION AND REVIEW PROCESS

A. The Public Treasurer, Associate Vice President for Financial Services, and the Investment Advisory Committee will evaluate the investment performance on a periodic basis, including but not limited to the following:

1. The overall performance of each Investment Grouping.
2. The overall performance of each investment manager's performance to the objectives of the Investment Grouping.
3. The Investment Groupings asset allocation mix to its Investment Guidelines and capital markets outlook.
4. The extent to how each investment manager has managed his or her portfolio consistent within that manager's stated investment philosophy and style.
5. The extent to how the investment manager adhered to these guidelines.
6. The risk and return profiles of each investment grouping and each investment manager to determine whether the Investment Grouping's goals and objectives are being met.
7. A reasonable time horizon for evaluating the Investment Grouping's investment performance relative to the selected benchmark on a long-term basis (five to ten years).

VIII. REVIEW OF INVESTMENT GUIDELINES AND INVESTMENT GROUPINGS

The Public Treasurer, Associate Vice President for Financial Services, and the Investment Advisory Committee will review the Investment Guidelines and Investment Groupings periodically to determine that it continues to serve the investment needs of the University and is appropriate in view of changes with State and Federal regulations, the University, the Investment Groupings, and the capital markets.

ITEM FOR ACTION

RE: A proposed amendment to the Utah State University Policy Manual, Section 105.2.1 (2) Athletic Council.

EXECUTIVE SUMMARY

Proposed changes to the Utah State University Policy Manual, Section 105.2.1(2) Athletic Council were forwarded by the Athletic Council and approved by the Executive Committee on September 27, 2006. The purpose of this code change is to make compositional changes to the Athletic Council membership to comply with NCAA certification plans. The proposed change creates two new membership positions specifically for male and female minority student athletes.

The above recommendations were made by the Athletic Council and approved by the Executive Committee (see attached).

RECOMMENDATION

Based on the above proposal and approvals as indicated, the President and Provost recommend that the Board of Trustees approve the proposed amendment to the Utah State University Policy Manual, Section 105.2.1(2) Athletic Council.

RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES

WHEREAS, The proposal to amend the Utah State University Policy Manual, Section 105.2.1(2) Athletic Council, and

WHEREAS, There is a need to make compositional changes to the Athletic Council membership to comply with NCAA certification plans, and

WHEREAS, The proposed change creates two new membership positions specifically for male and female minority student athletes, and

WHEREAS, The proposal has the support of the Athletic Council, and

WHEREAS, The proposal has been approved by the Executive Committee, and

WHEREAS, The proposal has the approval of the President and Provost of Utah State University;

NOW THEREFORE BE IT RESOLVED, That the Utah State University Board of Trustees hereby approve the proposed amendment to the Utah State University Policy Manual, Section 105.2.1(2) Athletic Council.

RESOLUTION APPROVED BY THE BOARD OF TRUSTEES

DATE

105.2 UNIVERSITY COUNCILS, UNIVERSITY COMMITTEES, FACULTY SENATE COMMITTEES, AND ADVISORY BOARDS, COUNCILS AND COMMITTEES

2.1 University Councils

(2) Athletic Council.

The Athletic Council advises the President with respect to the athletic program. The duties of the council are to: (1) help maintain an athletic program compatible with the best academic interests of the University; (2) assure compliance with the rules of the appropriate conferences, the National Collegiate Athletic Association (NCAA), and the University athletic code; (3) review and recommend to the President and the Board of Trustees all intercollegiate athletic budgets; and (4) recommend policies and procedures for all aspects of the intercollegiate program.

(a) Membership of the council. The Athletic Council is composed of: (1) the President, as a nonvoting member; (2) the Vice President for Administrative Services Business and Finance; (3) the Vice President for Student Services; (4) the Provost; (5) the Director of Athletics and one Associate Director, selected so that both the men's and women's athletic programs are represented; (6) the head of the Department of Health, Physical Education, and Recreation; (7) a representative of the Alumni Council; (8) the ASUSU President; (9) the ASUSU Athletic Vice President; (10) two student athletes, one man and one woman, nominated by the ASUSU President and ratified by the ASUSU Executive Council; (11) two minority student athletes, one man and one woman, nominated by the Director of Athletics in consultation with the Student Athlete Advisory Council and ratified by the ASUSU Executive Council; (12) six faculty members, three men and three women, to be elected by the faculty senate for terms of three years, renewable once, the terms to be staggered so that two retire each year; (123) the NCAA representative; and (134) when appropriate, the NCAA representative-elect.

(b) Chair of the council. The Athletic Council is chaired by one of the six elected faculty members of the council or by the NCAA representative. The chair is elected or reelected annually by a simple majority of the entire council. The vice chair is also chosen from the six elected faculty members or the NCAA representative and is elected or reelected annually by a simple majority of the entire council. In decisions of the council, the chair exercises a vote only in the event of a tie.

(c) NCAA representative. The NCAA representative is a tenured or tenure-eligible faculty member and serves a four-year term, renewable ~~once~~; renewals are by the same process as initial appointment. He or she is nominated by a committee composed of the President, the six elected faculty members, and the ~~four~~ six student members of the council, and is ratified by the Faculty Senate. Unless the office is vacated prematurely, the NCAA representative is ratified one year in advance of taking office. If the office is vacated prematurely, the nomination process begins again.

ITEM FOR ACTION

RE: A proposed amendment to the Utah State University Policy Manual, Section 403.4 Procedures for Alternative Course Requirements Due to Conflicts with Sincerely Held Core Beliefs.

EXECUTIVE SUMMARY

Proposed changes to the Utah State University Policy Manual, Section 403.4 Procedures for Alternative Course Requirements to Conflicts with Sincerely Held Core Beliefs were forwarded by the Professional Responsibilities and Procedures Committee (PRPC) and approved by the Faculty Senate. The purpose of this code change is to add the language "alternative requirement" in several places to provide clarification to the policy.

The above recommendations were made by the Professional Responsibilities and Procedures Committee (PRPC) and approved by the Faculty Senate (see attached).

RECOMMENDATION

Based on the above proposal and approvals as indicated, the President and Provost recommend that the Board of Trustees approve the proposed amendment to the Utah State University Policy Manual, Section 403.4 Procedures for Alternative Course Requirements Due to Conflicts with Sincerely Held Core Beliefs.

RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES

WHEREAS, The proposal to amend the Utah State University Policy Manual, Section 403.4 Procedures for Alternative Course Requirements Due to Conflicts with Sincerely Held Core Beliefs, and

WHEREAS, There is a need to add the language "alternative requirement" in several places to provide clarification to the policy, and

WHEREAS, The proposal has the support of the Professional Responsibilities and Procedures Committee (PRPC), and

WHEREAS, The proposal has been approved by the Faculty Senate, and

WHEREAS, The proposal has the approval of the President and Provost of Utah State University;

NOW THEREFORE BE IT RESOLVED, That the Utah State University Board of Trustees hereby approve the proposed amendment to the Utah State University Policy Manual, Section 403.4 Procedures for Alternative Course Requirements Due to Conflicts with Sincerely Held Core Beliefs.

RESOLUTION APPROVED BY THE BOARD OF TRUSTEES

DATE

Attachment 1
Alternative Course Requirements Policy

USU ACADEMIC POLICIES AND PROCEDURES MANUAL

403.4 PROCEDURES FOR ALTERNATIVE COURSE REQUIREMENTS DUE TO CONFLICTS WITH SINCERELY HELD CORE BELIEFS

It is the student's obligation to determine, before the last day to submit a petition for late course drop without penalty, when course requirements conflict with the student's sincerely held core beliefs and the class should be dropped if a conflict exists. A student who finds this solution impractical may request an alternative requirement from the instructor. Though the University provides, through this policy, a process by which a student may make such a request, the policy does not oblige the instructor to grant the request, except in those cases when a denial would be arbitrary and capricious or illegal. A request for an alternative requirement must be made to the instructor in writing, and the student must deliver a copy of the request to the office of the department head. The request must articulate the burden the requirement would place on the student's sincerely held core beliefs.

The instructor must respond to any request for an alternative requirement within two school days of receiving it. The response must be made in writing and a copy must be delivered to the office of the department head. In the event that the class does not meet on the day by which the instructor must respond, the student must make arrangements to receive the response in a timely manner. *Instructors are not required to provide an alternative requirement, as long as the original course requirement has a reasonable relationship to a legitimate pedagogical goal, but they* They may do so, only if a reasonable alternative means of satisfying the curricular course requirement is available and only if that alternative is fully appropriate for meeting the academic objectives of the course, after considering (i) the fundamental importance of the particular requirement to the legitimate pedagogical requirements of the course; (ii) the burden on the student's sincerely held core beliefs; and (iii) the difficulty of administering the alternative requirement.

In considering whether or not to provide an alternative requirement, the instructor may evaluate the sincerity but not the validity of the student's beliefs. If an instructor in a course provides an alternative requirement, the instructor must similarly consider all other requests made during the same semester for the same course for alternative requirements to address all students' sincerely held core beliefs. Requests will be individually evaluated in relation to the same considerations; however, the granting of one such request will not guarantee that all requests will be granted. Because the criteria and requirements for granting requests will apply differently to each instructor and to each section of each course, decisions made by an instructor in one course will not affect decisions by the same instructor in other courses or by other instructors in the same or other courses.

If an instructor does not grant a request for an alternative requirement, the student may appeal that denial in writing to the dean of the college. If the dean is the instructor of the course, the student may appeal the denial to the office of the provost. The dean (or designee) will, in consultation with the faculty member and the department head (or designee), act within two school days. The dean (or designee) will uphold the denial unless she or he finds that the denial was arbitrary and capricious or illegal. The dean's determination shall be final as it pertains to the

Attachment 1
Alternative Course Requirements Policy

specific request for an alternative requirement. Faculty challenges to the appropriateness of this decision should follow established grievance procedures. The student may but is not required to participate in these further reviews.

If the faculty instructor disagrees with the dean's decision that the instructor's denial of the student's request was arbitrary and capricious or illegal, the faculty instructor may not be compelled against his/her professional judgment to administer the requested alternative requirement for the student. If the faculty instructor declines to administer the alternative requirement, it will be the responsibility of the dean in consultation with the department head/ chair to design and administer the alternative academic requirement for the student in order to satisfy the student's request. The dean (or dean's appropriate designee) will determine the student's grade on that specific alternative requirement and will report that grade to the course instructor, who will incorporate that grade for the requirement into the total grade for the course. The final grade in the course will be determined by the faculty instructor and will be calculated in the same way as the final grade is determined for all other students in the course.

If a student in good standing may determine, after the last day to submit a petition for late course drop courses without penalty, that a course requirements may conflict with the student's sincerely held core beliefs, and if the instructor has denied the student's written request for an alternative requirement, the student may seek permission in writing from the dean to withdraw without receiving a W on his/her transcript and to receive a refund of tuition for that class. It is the student's responsibility to determine any effect withdrawing from the course may have on the student's financial aid. In making this request the student must demonstrate that he or she could not have made this determination prior to the last day to drop courses without penalty, or that the request was made prior to the last day to drop a course and a decision was made after the drop date. The dean's determination shall be final.

Decisions on requests for alternative requirements shall not be considered adversely to a faculty member in retention, promotion and tenure, or other proceedings as long as those decisions are made in good faith. Faculty shall not take adverse academic action against students requesting alternative requirements. The dean or department head shall not take any adverse action against an instructor based on his/her decision to provide or not to provide an alternative requirement for a student.

Committees

AGENDA
AUDIT COMMITTEE
OCTOBER 20, 2006
ROOM 510, UNIVERSITY INN
7:00 A.M. - 8:30 A.M.

I. Information Items

- A. Research Foundation External Audits
(Jones Simkins PC – Michael Kidman)
 - Annual Financial Statements, FY 2006
 - Management Letter – Status, FY 2006

- B. SBR Audit Committee Report (Jodi Bailey)
 - Letter – Items to be Included
 - Meeting – January 12, 2007, to be Confirmed

- C. Status of Internal Audit Plan Presented April 6, 2006 (Jodi Bailey)

- D. Findings for Discussion (Jodi Bailey)

- E. Management Update (Glenn Ford)

- F. Pcard – Improvements in Monitoring and Strengthening Controls (David Cowley)

- G. Audit/Reporting Process Discussion (Jodi Bailey)

- H. University Financial External Audit
(Hansen, Barnett and Maxwell, CPAs – Ross L. Youngberg – Michael F. Michelsen)
 - Annual Financial Statements – Status, FY 2006
 - Management Letter – Status, FY2006
 - OMB Circular A-133, FY 2006
 - Bond Covenant Compliance, FY 2006
 - Athletics Department Agreed-Upon Procedures

Audit Committee Members:

David P. Cook, Chairman
Robert L. Foley, Vice Chairman
Richard L. Nelson
Richard L. Shipley

AGENDA
ENROLLMENT COMMITTEE
OCTOBER 19, 2006
ALMA SONNE BOARD ROOM 508, UNIVERSITY INN
8:00 P.M.

- I. Analysis of Fall Enrollment (Raymond Coward)

- II. New organizational Chart for Admissions (Gary Chambers)

- III. Recruitment Activities for 2006-2007 (Gary Chambers)

- IV. Fall 2007 New Freshman Enrollment Goal (Raymond Coward)

- V. Other

Enrollment Committee Members:

Suzanne Pierce-Moore, Chairman
Richard L. Shipley, Vice Chairman
David P. Cook
Robert L. Foley
David Johnson III
Noah A. Riley

Written Reports

Academic Services

**AGENDA
ACADEMIC/PROVOST
OCTOBER 20, 2006**

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2. Faculty and Staff Activities and Achievements	3

Office of the Provost

Raymond T. Coward, Executive VP and Provost
435-797-1167 • Raymond.Coward@usu.edu

October 2006

Events, Actions, or Issues since the Last Trustee's Meeting

Faculty and Student Achievements

From Old Main Hill to Capitol Hill: USU history professor to study in the Library of Congress

Assistant Professor James Sanders has recently been awarded a Kluge Center Fellowship at the Library of Congress. This prestigious award will allow Dr. Saunders to work in the library's collection from January to June 2007 on his project titled, "Democracy in the New World: Imagining Politics, the West and the Nineteenth-Century Atlantic World".

Planning Ahead: Faculty and students in the College of Natural Resources explore Cache Valley's future

Professor Richard Toth and graduate student Ellie Leydsman recently received a Merit Award from the American Planning Association for their report "Cache Valley 2030 – The Future Explored." Ms. Leydsman will be presenting the work, done in collaboration with students in the Bioregional Planning Program, at the 2006 Utah Bioneers conference on October 20.

Quality Attracts Quality: Top Intel Science Talent Search finalist chooses Utah State

The Science Talent Search competition, in existence since 1942 and now sponsored by Intel, is the Nation's oldest and most prestigious pre-college science contest. More than 1500 college seniors apply for the honor, and only ten finalists are chosen. Of those ten, only one receives the top honor and \$100,000 prize. As one might imagine, the first place winner of the Intel Science Talent Search is courted by top colleges from across the country. Last year's winner, Shannon Babb, chose to attend Utah State, attracted by the quality of the Watershed Sciences Department and the opportunity to work with her long-time mentor Associate Professor and Associate Dean Nancy Mesner. Shannon received the Science Talent Search award for her longitudinal study of the Spanish Fork River System, and she is looking forward to continuing her studies as a USU Aggie.

Faculty and Student Achievements Continued

Music Heard 'Round the World: USU orchestra students perform in Italian festival

Seven orchestra students from Utah State University participated in the Pergine Open Festival in Northern Italy this past summer. The organizer of the festival, Maestro Julian Lombana became aware of the musicians through USU Assistant Professor Sergio Bernal, a friend and mentee of Lombana's. This year's participants were: Aubrey Rasmussen (junior, viola performance and music education), Dave Sweeney (senior, trombone performance), Ashley Wilkinson (junior, biology), Alissa Kirk (junior, percussion performance), Tyler Whittaker (junior, music education), Dave McCollum (graduate, music) and Brittany Ross (graduate, music). This is the second year USU students have participated in the festival, which has helped increase the presence of USU on the international music scene. Professor Bernal expects future involvement in the festival will not only include continued student travel to Italy, but also the possibility of international performers coming to perform at USU.

Recent Utah State University Press Book Awards:

At its recent annual meeting, the Utah State Historical Society conferred its annual Best Utah Documentary History Book Award on USU's *The Mormon Vanguard Brigade of 1847: Norton Jacob's Record*, by Ronald Barney. Norton Jacob, a member of the first Mormon pioneer party to travel to the Great Basin, wrote one of the best trail journals of the early Mormon migration. His vivid journal gives the perspective of a rank-and-file member during a crucial period in Mormon history. Ronald Barney's excellent introduction and notes place this document in the context of its time and place.

The State Historical Society also honored Patricia Lyn Scott and Linda Thatcher's *Women in Utah History: Paradigm or Paradox?*, as a finalist for the Best Utah History Book Award. This volume examines the spectrum of significant social and cultural topics in the state's history that particularly have involved or affected women.

The John Whitmer Historical Association granted its annual Best Book Award to *Polygamy on the Pedernales: Lyman Wight's Mormon Villages in Antebellum Texas, 1845-1858*, by Melvin C. Johnson. Johnson narrates the story of Lyman Wight, maverick Mormon apostle who established a splinter group of LDS believers in Texas.

20 October 2006

ITEM FOR INFORMATION

RE: Faculty and Staff Activities and Achievements

The attached report of faculty and staff activities and achievements are submitted to the Trustees for information.

EXECUTIVE SUMMARY

Faculty and staff receive invitations to participate in a variety of conferences, workshops, seminars, performances, and competitions at regional national, and international levels. Formal presentations are made in most cases. Faculty have submitted proposals and received grants from many private foundations and public agencies. The results of their research have been published in scholarly journals, proceedings and collections. Particularly noteworthy contributions and awards or published books are presented to the Trustees for information. More complete compilations of faculty and staff activities and achievements are available from the Office of the Provost.

B-1. Faculty and Staff Activities and Achievements

AWARDS & HONORS

1. Effective 1 August 2006, S. K. Morgan Ernest, assumed the directorship of the NSF LTREB: Experimental Manipulation and Monitoring of an Arid Ecosystem at Portal, Arizona.
2. Joe Li was recognized and received the 2006 USU/ECEA Friend of Regional Campuses and Distance Education Award on 10 August 2006 at the annual USU-Uintah Basin campus conference.
3. Robert W. Schunk was elected to the International Academy of Astronautics at its meeting in Beijing, China, 16 July 2006.
4. DeeVon Bailey, Honorable Mention, Outstanding Journal Article in 2005, *Canadian Journal of Agricultural Economics*, awarded 2006.
5. Chris Fawson, appointed College of Business Associate Dean for International Affairs, beginning September 2006.
6. Stacey Hills and Haiyan Hu received WGRI grants for their project "Understanding and Improving the Teaching Experiences of Foreign Born Faculty," September 2006.
7. Keri Shoemaker and N. Dee Hadfield, Vernon Parent, Larry Stephenson received "Search for Excellence in Teen Programming - Team Award" for planning and conducting Utah Construction Career Days for more than 10,000 youth in 2005 and 2006.
8. Department of Aerospace Studies, College of Humanities, Arts, & Social Sciences, Detachment 860 received the 2006 "Right of Line" Award Winner for Small Detachment Category in the AFROTC Northwest Region of the United States. The Right of Line award recognizes the top detachment in the NW region for each of three categories, small, medium, and large. Of the 12 small detachments in the NW region, USU AFROTC Detachment 860 is #1. Next, we will compete against the other 3 region winners to determine who is the #1 small AFRTOC detachment in the nation. The winner will be announced at our national convention in October 2006.
9. Lawrence Culver, Department of History, College of Humanities, Arts, & Social Sciences, awarded a \$300 Haynes Foundation Research Award from the Historical Society of Southern California, August 1, 2006.

CONTRACTS AND GRANTS

1. Daryll B. DeWald, University of Utah, 1 April 2006 to 31 March 2007—\$22,581; "Phosphoinositide and Inositol Polyphosphate Probes."
2. Jay Karren, Utah Department of Agriculture and Food, 1 January 2006 to 31 December 2006—\$10,335; "Silver Y Moth and Egyptian Cottonworm Survey."
3. Mark P. Miller, Whirling Disease Foundation, Inc., 1 July 2006 to 31 December 2007—\$15,000; "QTL Analyses of Rainbow Trout that are Resistant and Susceptible to Whirling Disease."
4. Keith A. Mott, United States Department of Agriculture / Cooperative State Research Education & Extension Service / National Research Initiative, 15 August 2006 to 14 August 2007—\$9,500; "Conference: The Biology of Transpiration: From Guard Cells to Globe."
5. Kristina J. Watson, National Institutes of Health / National Institute on Deafness & Other Communication Disorders, 1 September 2006 to 31 August 2007—\$52,048; "The Role of Aquaporins in Taste."
6. Lisa M. Berreau, Herman Frasch Foundation, 1 July 2006 to 30 June 2007—\$40,000; "Investigation of Chemical Pathway of Fungal Resistance in Plants: Manganese-Mediated Oxalate Catabolism."
7. Alvan C. Hengge, National Institutes of Health, 1 September 2006 to 31 August 2007—\$230,747; "Mechanisms of Acyl, Phosphoryl, and Sulfuryl Transfer."
8. Scott R. Cannon, Science Applications International Corporation, 3 August 2006 to 31 December 2006—\$25,000; "Responsive Space Bus Demonstration Software."

9. Vladimir Kulyukin, AT Sciences, LLC, 15 June 2006 to 14 June 2007—\$6,605; “A Three-Sensor Walker for Alzheimer’s Elderly Patients.”
10. Stephen Clyde, National Scenic Byways, 1 May 2006 to 30 April 2007—\$216,617; “Information Systems Development and Support for the National Scenic Byways Program.”
11. Stephen Clyde, National Scenic Byways, 1 August 2006 to 31 July 2007—\$15,000; “Adding of the Bureau of Land Management (BLM) National Back Country Byways on the National Scenic Byways Website.”
12. Carol M. Dehler, United States Geological Survey, 1 July 2006 to 30 June 2007—\$8,500; “Jesse Ewing Canyon Formation, Uinta Mountain Group, Utah and Colorado.”
13. Thomas E. Lachmar, United States Department of Agriculture Forest Service, 5 June 2006 to 15 May 2007—\$50,925; “Marx Creek Ground Water Spawning Channel near Hyder, Alaska.”
14. Joel L. Pederson, National Science Foundation, 1 August 2004 to 31 July 2007—\$48,811; “Geomorphic and Geochronologic Study in and near the Grand Canyon.”
15. James S. Cangelosi, Utah State Office of Education, 31 May 2004 to 31 August 2007—\$162,042; “Technology-Enhanced Learning Communities.”
16. James A. Powell, USDA/Forest Service / Rocky Mountain Research Station, 18 July 2006 to 30 October 2006—\$11,200; “MATLAB Implementation of an Improved Southern Pine Beetle Phenology Model and Hypotheses of Spot Initiation and Success.”
17. Bela G. Fejer, National Aeronautics & Space Administration, 28 June 2006 to 27 July 2009—\$108,000; “Storm-Time Ionospheric Electric Fields.”
18. Eric D. Held, Department of Energy, 15 September 2006 to 14 September 2007—\$60,000; “Center for Extended Magnetohydrodynamic Modeling.”
19. Robert W. Schunk, National Science Foundation, 1 September 2006 to 31 August 2009—\$499,999; “Continuing Theoretical Studies of Dynamical Processes in the Ionosphere.”
20. Layne D. Coppock, DeeVon Bailey, and Claudia Radel. Improving Pastoral Risk Management on East African Rangelands. U.S. Agency for International Development, Global Livestock Collaborative Research Support Program (\$600,000). 2006-2008.
21. Keith, John E., Chris Fawson, Richard Krannich (Principal Investigators). Steven S. Vickner, DeeVon Bailey, and Bruce Godfrey (Collaborators). Baseline Socioeconomic Study of Public Land Linkages to the State of Utah and Local Utah Communities. (\$749,430) Grant awarded by the State of Utah. The grant will be managed from March 1, 2006 to December 31, 2007.
22. Patricia Lambert, Department of Sociology, Social Work & Anthropology, College of Humanities, Arts, & Social Sciences, NSF Grant 061555, "A Bioarchaeological Test of Warfare Causation Models in the Santa Barbara Channel Area, California: A Question of Chronology," \$49,025.00. P.I., notified June 26, 2006.
23. Colleen O'Neill, Department of History, College of Humanities, Arts, & Social Sciences, awarded the Mountain West Center Faculty Fellowship for 2007-08 in the amount of \$6,000, August 2, 2006.
24. Artemis Preeshl, Department of Theatre Arts, College of Humanities, Arts, & Social Sciences, received a \$410 scholarship from the Italian Cultural Institute to study intermediate Italian at A Door to Italy, a language school in Genoa.
25. Bonnie Pitblado, Department of Sociology, Social Work & Anthropology, College of Humanities, Arts, & Social Sciences, received \$35,300 from the Institute of Museum and Library Services “Museums for America” grant program, to digitize registration, accession and descriptive records for the 6,500 objects in the Utah State University Museum of Anthropology collections, notified July 21, 2006.

26. Charles Waugh, Department of English, College of Humanities, Arts, & Social Sciences, was awarded a Rockefeller Fellowship for the 2006-2007 academic year with Professor Nguyen Lien, in August 2006. Their project, "The Literature of Agent Orange," has been accepted as part of the William Joiner Center for the Study of War and Social Consequences's Rockefeller Foundation Fellowships in the Humanities project, "Culture, Art, Trauma, Survival, Development: Vietnamese Contexts."

PUBLICATIONS

1. Jake R. Goheen, Ethan P. White, S. K. Morgan Ernest, and James H. Brown. 2006. "Intra-Guild Compensation Regulates Species Richness in Desert Rodents: Reply." *Ecology* 87:2121-2125.
2. Erik M. Pilgrim and James P. Pitts. 2006. "A Molecular Method for Associating the Dimorphic Sexes of Velvet Ants (Hymenoptera: Mutillidae)." *Journal of the Kansas Entomological Society* 79:222-231.
3. Carmen Toro-Castillo, Ashish Thapliyal, Hector Gonzalez-Ochoa, Brett A. Adams, and Ulises Meza. 2006. "Muscarinic Modulation of CaV2.3 (R-type) Calcium Channels is Antagonized by RGS3 and RGS3T." *American Journal of Physiology and Cell Physiology* doi:10.1152/ajpcell.00219.2006.
4. Erin A. Clark, Bradley S. Davidson, Paul A. Wender, and Susan L. Mooberry. 2006. Lulimalide and Synthetic Lulimalide Analogs are Synergistic with Paclitaxel and 2-Methoxyestradiol. *Molecular Pharmacology* 3:457-467.
5. W. Wallace Cleland and Alvan C. Hengge. 2006. Enzymatic Mechanisms of Phosphate and Sulfate Transfer. *Chemical Reviews* 106(8): 3252-3278.
6. Kerensa Sorensen-Stowell and Alvan C. Hengge. 2006. Thermodynamic Origin of the Increased Rate of Hydrolysis of Phosphate and Phosphorothioate Esters in DMSO/Water Mixtures. *Journal of Organic Chemistry* 71(19): 7180-7179.
7. Anthony R. Lowry. 2006. Resonant Slow Fault Slip in Subduction Zones Forced by Climatic Load Stress. *Nature* 442:802-805.
8. John W. Shervais, David L. Kimbrough, Paul Renne, Benita Murchey, and Barry B. Hanan. 2006. Multi-stage Origin of the Coast Range Ophiolite, California and Oregon: Implications for the Life Cycle of Supra-subduction Zone Ophiolites. *Phase Relations, High Pressure Terranes, P-T-ometry, and Plate Pushing: A Tribute to W.G. Ernst* 9:570- 596.
9. John W. Shervais, Scott K. Vetter, and Barry B. Hanan. 2006. A Layered Mafic Sill Complex Beneath the Eastern Snake River Plain: Evidence From Cyclic Geochemical Variations in Basalt. *Geology* 34:365-368.
10. John W. Shervais. 2006. Significance of Subduction-related Accretionary Complexes in Early Earth Processes. *Early Earth Processes*, Geological Society of America, Special Paper 405:173-192.
11. Adele Cutler and John R. Stevens. Random Forests for Microarrays. *Methods in Enzymology* 411:422-43.
12. Richard D. Cutler 2006. Vitamin D Receptor Genotype and Risk of Osteoporotic Hip Fracture in Elderly Women of Utah: an Effect Modified by Parity. *Osteoporosis International* 17:1146-1153.
13. John Stevens and J. William Asher. The Effects of Explicit Reading Strategy Training on L2 Reading Comprehension: A Meta-Analysis. *Synthesizing Research on Language Learning and Teaching*, p. 213-244.
14. Aadland, David, and Arthur J. Caplan. Cheap Talk Reconsidered: New Evidence from CVM. *Journal of Economic Behavior and Organization* 60(4, 2006):562-578.
15. Aadland, David, and Arthur J. Caplan. Curbside Recycling: Waste Resource or Waste of Resources? *Journal of Policy Analysis and Management* 25(4, 2006): 855-874.
16. Bartkus, Kenneth R., Hills, Stacey, Zachary Ames "The Use of Job Titles for Automotive Salespeople: A Content Analysis and Survey of Consumer Impressions", *Journal of Business Inquiry* (Vol 5, Issue 1, pp. 20-27, 2006).

17. Callister, Ronda. Department of Management and Human Resources, has an article entitled, "The Impact of Department Climate on Job Satisfaction and Intention to Quit of Women Faculty in Science and Engineering" in a special issue of the *Journal of Technology Transfer on women in science and the ADVANCE grant*, 31(3). 2006.
18. Vijay Kannan, C.C. Hsu, G.K. Leong, K.C. Tan, 'Supplier Selection Construct: Instrument Development and Validation', *International Journal of Logistics Management*, Vol. 17, No.2, 2006
19. Austin Kwag, "An Examination of the Equity Market Response to the Gramm-Leach-Bliley Act Across Commercial Banking, Investment Banking, and Insurance Firms," *Journal of Business Finance and Accounting* (Vol 33, No. 9 &10, 2006)
20. McEvoy, Glenn. Department of Management and Human Resources, and James Hayton, co-edited an article entitled, "Developing and Assessing Professional and Managerial Competence" in a special issue of the *Journal of Human Resource Management*, 45(3). Fall 2006. (In that issue, these authors also published a "Guest Editor Note" and "Competencies in Practice: An Interview with Hanneke C. Frese.")
21. Victoria Grieve, Department of History, College of Humanities, Arts, & Social Sciences, "Art as History: Interpreting the Nora Eccles Harrison Museum of Art," *The Western Historical Quarterly* 37 (Autumn 2006): 351-357
22. Peter Mentzel, Department of History, College of Humanities, Arts, & Social Sciences, "Transportation Technology and Imperialism in the Ottoman Empire, 1800-1923," *American Historical Association/Society for the History of Technology*, 2006.
23. Maria Luisa Spicer-Escalante, Languages, Philosophy & Speech Communication, College of Humanities, Arts, & Social Sciences, "The Use of Verbal Forms in the Spanish and English Writing Discourse of Spanish Heritage Speakers in the United States: A Contrastive Linguistic Analysis", *Rio Bravo: A Journal of Borderlands*, Volume 2, Number 1. (Special edition on Spanish in the U.S.). pp 13-24
24. Charles Waugh, Department of English, College of Humanities, Arts, & Social Sciences, "Suppose Everything Lasted Like Electrolux: Hybridity and Resistance in Viet Nam." *Proteus: A Journal of Ideas* 23.1 (2006): 1-6.
25. Charles Waugh, Department of English, College of Humanities, Arts, & Social Sciences, "Ritualistic Bear Killing in American Fiction: A Rhizomic Ecocriticism." *Interdisciplinary Studies of Literature and the Environment* 13.1 (2006): 25-43.
26. Sarah Gordon, Department of Languages, Philosophy & Speech Communication, College of Humanities, Arts, & Social Sciences, Assistant Professor of French, *Culinary Comedy in Medieval French Literature*, (Purdue University Press, 2006).
27. John Seiter, Department of Languages, Philosophy & Speech Communication, College of Humanities, Arts, & Social Sciences, Professor of Speech Communication, *Persuasion, Social Influence, and Compliance Gaining* (3rd edition), Gass, R. H., & Seiter, J. S., Boston, MA (Allyn & Bacon 2007).
28. John Seiter, Department of Languages, Philosophy & Speech Communication, College of Humanities, Arts, & Social Sciences, Professor of Speech Communication, *Instructor's Manual to Persuasion, Social Influence, and Compliance Gaining* (3rd edition). Gass, R. H., & Seiter, J. S., Boston, MA: (Allyn & Bacon 2007).
29. Michael S. Sweeney, Department of Journalism and Communication, College of Humanities, Arts, & Social Sciences, *The Military and the Press: An Uneasy Truce* (Medill Visions of the American Press), *A History of Press-Military Relations in America*, Evanston, IL, (Northwestern University Press, Aug. 2, 2006).

Creative Activity

1. Jane Catlin, Department of Art, College of Humanities, Arts, & Social Sciences, "Painting Women Exhibition", Togonon Gallery, 77 Geary Street Second Floor, San Francisco CA 94108, September 7th through September 23rd, 2006.

Business and Finance

**AGENDA
BUSINESS AND FINANCE
OCTOBER 20, 2006**

	<u>Page</u>
<u>Information Items</u>	
1. Events, Actions, or Issues	1
2. Business and Finance Performance Dashboard, October 2006	3

Vice President for Business and Finance

Name: W. Glenn Ford
Telephone: 797-1146
e-mail: glenn.ford@usu.edu
Date: 20 October 2006

Events, Actions, or Issues since Last Trustee's Meeting:

Finance:

- The Certificate of Treasurer for July 2006 is included in the Trustees Consent Agenda. The State Appropriated Funds budget at 31 July 2006 totaled \$228,737,717, up \$5,171,090 (2.31%) over the same 2005-2006 period. The year-to-date state appropriated funds expenditures totaled \$13,816,719, down \$2,620.853 (16%) over the same 2005-2006 period and represented 6% of the total budget. The percent of budget expended, 6%, was 2% less than would be expected to be sent on a strict time of budget year expired basis. Total expenditures for all funds totaled \$30,287,171, down \$595,959 (2%) from the same 2005-2006 period.
- The Report of Investments for July 2006 is included in the Trustees Consent Agenda. Included in the Report of Investments are schedules reporting the investment activity for July 2006 and comparative year-to-date totals for FY2006-2007 and FY2005-2006. The schedules include the Cash Management Investment Pool, Endowment Pool, Wells Fargo Bank-Balanced Fund, The Commonfund-Commingled Investment Funds, Other Investments, Endowment Trusts, and Plant Fund Trusts. Also included is the Summary of Investment Transactions. The aggregate net realized losses for July were \$3,266 and earnings were \$720,275. The Cash Management Investment Pool fiscal year-to-date annualized rate of return, including market fluctuations, was 7.28% at July 31, 2006.

Facilities:

- Housing Parking – Students have moved into the first three buildings and the Parking Terrace is open. Scholarship money has been received from Parsons for the three buildings that did not get completed on schedule. These monies will be used for scholarships that reduce the cost of housing by \$1,000 for those students who will have to move twice and the remainder will be used to pay down the debt service.
- Ag Relocation – the ground breaking ceremony was held on September 29 on the South Farm site. Jacobsen Construction has been working closely with Jacoby Architects to finish the design and begin work. The utility package has been bid and is underway.

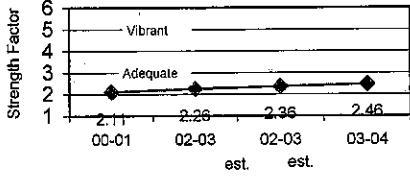
Upcoming Events, Actions or Issues:

- USTAR – DFCM is preparing a notice to advertise for a planning firm to develop the program for the new facility; they are basing the project on a construction budget of \$53M.
- Emma Eccles Jones Phase II – the College of Education has received planning funding for the next phase and a firm will be selected early in October. The planning firm will also present a proposal to include the Early Childhood Development function within this basic research facility.
- David G. Sant Engineering Innovation Building – the design is well underway, the asbestos abatement on the old Engineering Classroom building is scheduled to begin in January, with demolition over the winter and construction on the new facility in the spring.

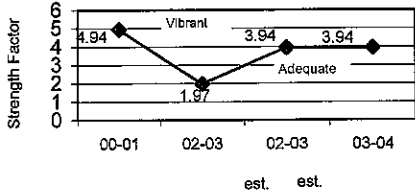
BUSINESS AND FINANCE PERFORMANCE DASHBOARD - OCTOBER 2006

FINANCIAL

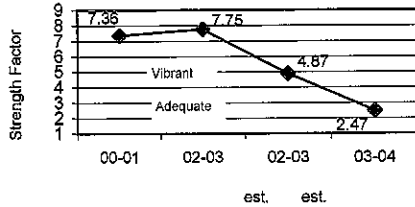
Primary Reserve ▲



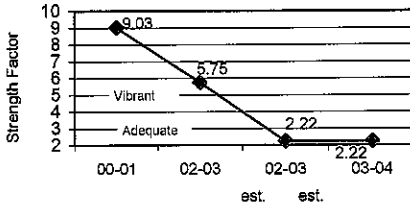
Net Income ●



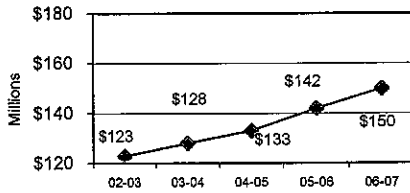
Viability ▼



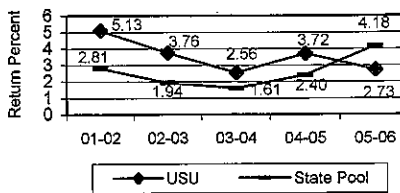
Return on Net Asset ▼



Average Daily Cash Balances (Jul) ▲

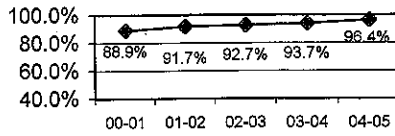


Cash Investment Yield ▼

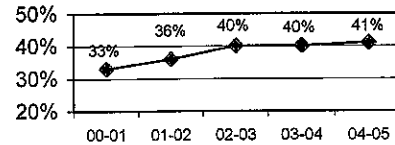


CUSTOMER SERVICE

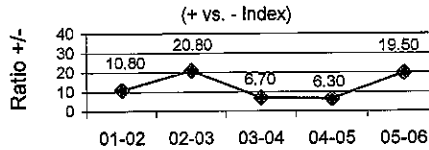
Books Available 1st Day Class (Fall Sem) ▲



Used Texts Available (Fall Sem) ▲

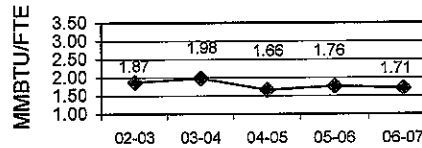


Facilities Customer Service Response (Jun) ▲



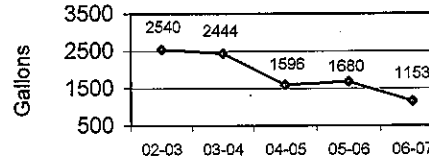
ENERGY CONSERVATION

Energy Consumption/FTE (Aug) ▼



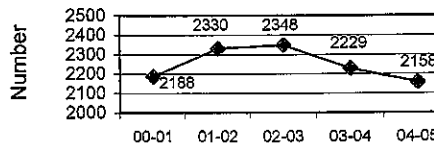
ENVIRONMENT

Culinary Water Usage/FTE (Aug) ●



SAFE AND SECURE CAMPUS

Police Service Responses ▼

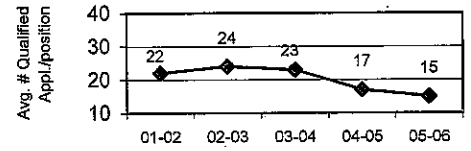


TREND:
 ▲ higher
 ▼ lower
 ● no change

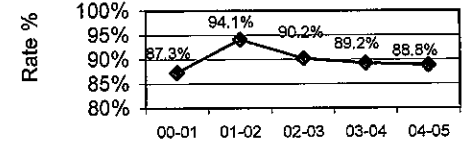
RESULTS:
 green = better
 red = worse
 black = neutral

HUMAN RESOURCE MODEL

Exempt/Non-Exempt Recruitment ●

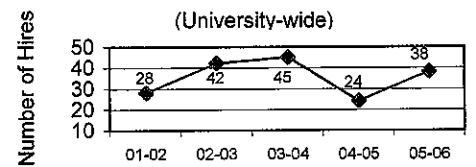


Employee Retention (4th Quarter) ●



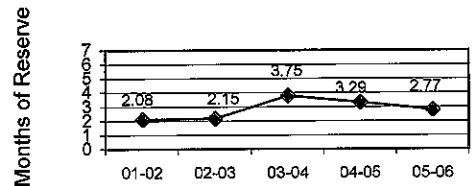
DIVERSITY

Minority New Hires ▲

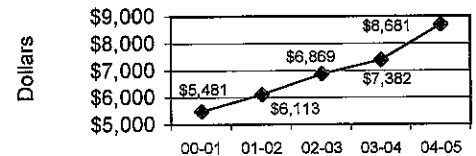


HEALTH CARE

Medical Claims Reserve-proj. year end ▼

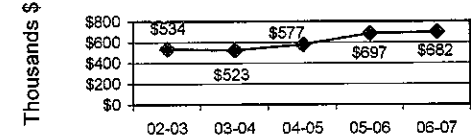


Health Care Cost/Enrollee (Jan) ▲



F&A Overhead

Fac./Adm. Overhead (Jul) ▲



Extension/ Cont Ed

UNIVERSITY EXTENSION

Noelle Cockett, Vice President for Extension and Agriculture, October 2006

Bill Varga received a new grant from the Utah Office of Museum Services to facilitate purchase and installation of lighting for the Utah House parking lot and landscape.

James Barnhill obtained a \$1,821 Western SARE grant to help vegetable grower, Enrique Santos, produce his sweet corn earlier. Additionally a soil analysis was completed and fertility levels were brought up to recommended levels. Santos also gained experience with a pre-plant herbicide, which greatly improved the weed control in his cornfields.

Beaver County had a major grasshopper infestation in the alfalfa fields. Mark Nelson met with the affected farmers and helped them get reimbursed 70% for the cost of spraying.

The US House of Representatives passed S.B. 203 which designates the Heritage Highway 89 and Boulder Loop as the Mormon Pioneer National Heritage Area. The bill authorizes up to \$1,000,000 per year for up to 10 years for projects and operation of the Heritage Area after a management plan is completed. Gary Anderson initiated the project.

Dannon awarded a grant of \$26,193 to Extension for a community nutrition program. The scope of the project is to teach youth leaders to teach younger 4-H participants good nutrition practices -- (TRY = Teens Reaching Youth), will teach younger children fun and appropriate nutrition. Donna Carter and Nedra Christiansen will administer the project.

September 23 about 50 volunteers from Davis, Weber, Salt Lake, Morgan and Utah counties helped sort and package 27,000 pounds of meat donated to the Utah Food Bank. The project was spearheaded by Kelly Maxfield, long-time 4-H leader and businessman in Davis County. This year, Maxfield, in partnership with Davis County 4-H, collected more than \$65,000 in corporate donations. All of these funds has been used to purchase animals either through floor buying or buying directly from 4-H youth at Jr. Livestock Auctions in Davis, Weber, Salt Lake, Morgan and Utah counties.

Information Technology

INFORMATION TECHNOLOGY (IT)

M. Kay Jeppesen
435-797-1134
m.k.jeppesen@usu.edu
October 4, 2006

Events, Actions or Issues since Last Trustee's Meeting

Information Technology (IT) has nearly completed the reorganization/realignment process within the parameters of the Strategic Plan. This restructuring has realigned all IT departments with functional assignments which cross beyond previous departmental responsibilities. As the process has developed weaknesses have been corrected and strengths expanded.

Associate Vice Presidents Stacie Gomm and Eric Hawley attended Information Technology Infrastructure Library (ITIL) Manager's Training sessions in June, July and August to prepare for implementation of the Enterprise Service Level Coordination (ELIC) process. This process governs IT's daily business procedures, routine tasks, and new projects. The Footprints software is being implemented to assist with the tracking. As these new procedures have been implemented, emphasis has been placed on opportunities to better serve students, faculty and staff by incorporating adequate mobility, flexibility, increased communication and collaboration and also conserve resources.

IT personnel have had the opportunity to receive training and attend the following conferences which have allowed them to improve their skills to better serve IT customers.

- Pinnacle
- EDUCAUSE
- Banner Large School Consortium, Pennsylvania

IT Technical staff members developed a solution for a serious problem in the Banner generic package which would have cost approximately \$350,000 to employ Sungard to fix the problem. This temporary creative fix should be sufficient until the next upgrade from Sungard which will address the problem and be free of cost. The estimated time for the upgrade is one year.

Kudos to all IT employees for their enthusiasm, patience, and willingness to develop new skills and talents as they receive new assignments and challenges within the IT organization.

Research

**AGENDA
RESEARCH AND
STRATEGIC VENTURES AND ECONOMIC DEVELOPMENT
OCTOBER 20, 2006**

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2. Vice President for Strategic Ventures and Economic Development -- Events, Actions, or Issues	3
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5. USU Innovation Campus	11
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7. Undergraduate Research Report	17

Vice President for Research

Name: Brent C. Miller
Telephone: 797-1180
E-Mail: brent.miller@usu.edu
Date: October 20, 2006

Events, Actions or Issues since last Trustee's Meeting:

Federal Relations - Continued discussions with USU's representatives in Washington and USU administrators regarding strategies to move congressional funding requests through Congress. Completed the Executive Summary for the Uintah Basin Energy Education & Research Center (UBEERC) proposal.

Association for the Accreditation of Human Research Protection Programs (AAHRPP) - Accepted appointment to serve on the AAHRPP Board of Directors. Discussed with Bernard A. Schwetz, Director, HHS Office for Human Research Protections, regarding participation as a panelist on the discussion of shared authority and responsibility as well as participate in all the discussion at the national IRB conference in Washington, DC in November.

National Association of State Universities and Land-Grant Colleges (NASULGC) - In cooperation with Ned Weinshenker, Vice President for Strategic Ventures and Economic Development, presenting paper, "Economic Development by Universities: How Do We Measure It? How Do We Promote It?" at NASULGC meeting in Houston this November.

Economic Development Corporation of Utah (EDCU) - Attended the EDCU Annual Meeting at the request of President Albrecht.

USTAR - Continued coordination with University of Utah and GOED administrators regarding USTAR Authority Board and procedures. In cooperation with Ned Weinshenker prepared a progress report of USU's USTAR activities and financial expenditures. Reviewed and ranked USU faculty proposals for development of programs under USTAR. Met with USU faculty to finalize proposals selected under USTAR. Attended USTAR Authority Board meetings and reported USU progress to the Executive Appropriations Committee meeting. Discussed with Rich Amon, Capital Facility Analyst for the Governor's Office, particulars related to facilities that will be constructed utilizing USTAR funding.

Governor's Office of Economic Development (GOED) - Attended Utah's Statewide Nanotechnology Dinner and Conference sponsored in part by the Governor's office held at the UofU. The conference brought together members of the business community, government representatives and academic researchers and educators involved and interested in the development and commercialization of micro and nanotechnology.

State Advisory Council (SAC) - Attended State Advisory Council on Science and Technology and discussed status report on Governor's Medal Program, continued development of the Science Advisory scorecard, reviewed Utah DARPA Challenge Initiative and the Monticello Science Center Resolution.

Advancement - Coordinated and attended the Sunrise Session Breakfast held in Salt Lake City in cooperation with Scott Mietchen, Vice President for University Advancement. Sunrise Session Breakfasts are held three times per year to showcase outstanding USU researchers to Salt Lake City business, government and political leaders.

Compliance Program - Continued development of Research Compliance Program at USU.

High Performance Computing (HPC) - Continued development of a national "High Performance Computing for Agriculture" symposium to be held at Utah State. Chaired HPC Advisory Board Meeting and reviewed personnel and infrastructure/storage associated with USDA/CSREES contract award; discussed progress of HPC at USU.

Provost's Office - Presented "Research and Utah State University" at the Provost's New Faculty Orientation.

USURF Board of Trustees - Attended USURF Business and Audit Council to receive update of finance and management review. Planned and attended USURF Board of Trustees Dinner. Attended USURF Board of Trustees meeting to review and discuss the USURF financial report from the Business and Audit Council, TCO Report, SDL Report, USTAR Report and strategic planning of resources and personnel.

Upcoming Events, Actions, or Issues:

October 23 - Tentative USU visit with Senator Hatch

October 27 - Utah Technology Council (UTC) Hall of Fame; Salt Lake City

November 2 - USTAR Authority Board Meeting; Salt Lake City

November 10 - National Campaign to Prevent Teen Pregnancy Board of Director's meeting;
Washington, D.C.

November 12 - NASULGC Annual Meeting; Houston, Texas

Vice President for Strategic Ventures & Economic Development

Name: Ned M. Weinshenker
Telephone: 797-9062
E-Mail: ned.weinshenker@usu.edu
Date: October 20, 2006

Events, Actions or Issues since last Trustee's Meeting:

USTAR - Coordinated with University of Utah and new Executive Director of USTAR Authority to define the way we go forward in USTAR Board meetings and plan for request for funds. We expect initial release of FY2007 funds to take place soon after the USTAR Board meeting on October 5th. In collaboration with Brent Miller, reviewed and ranked USU faculty proposals for development of programs under USTAR. Met with Deans of Science, Agriculture, and Engineering to identify potential USTAR technical groups at USU. Met with USU technical groups to finalize proposals under USTAR.

State Advisory Council (SAC) - Attended State Advisory Council on Science and Technology as alternate for Brent Miller and discussed nomination committee's recommendation for new members, reviewed status report and timeline on Governor's Medals Program, finalized the Science Advisory scorecard, reviewed draft of SAC annual report to Governor and Legislature, developed a communication plan for scorecard and annual report, reviewed National Centers of Excellence program and received an update on the Leonardo Project.

Ceramatec – USU made three presentations to this Utah based company with the hope of a potential partnership. The areas of presentation were BioFuels, DEST and Nanoparticle Fabrication. Some collaborative work has already started with the USU's BioFuels group.

Innovation Campus (IC) – Appointed Teresa McKnight as the Director (previously Interim Director) of the Innovation Campus. Presented at Utah Alliance's Fall meeting held at the Innovation Campus. Also presented at Cache Valley's First Economic Development Summit held at the Innovation Campus.

Technology Commercialization Office (TCO) – Steve Kubisen resigned as Director of the TCO to take a similar position at Johns Hopkins University. A restructure of the office is currently underway and new staff will be hired in the coming months.

College of Business Advisory Board – presented USTAR and Economic Development information.

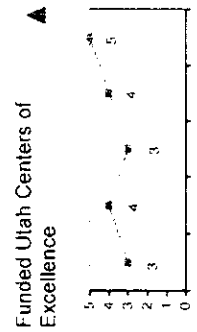
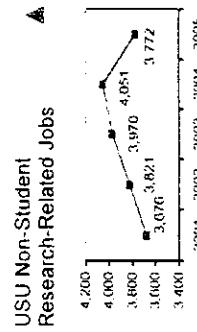
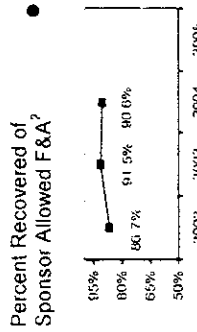
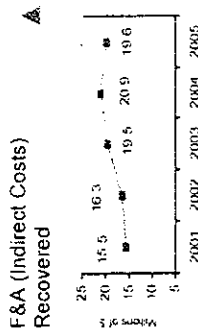
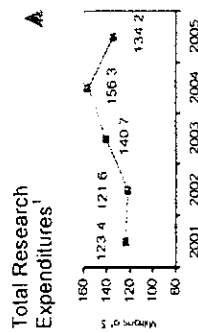
USU Research Foundation (USURF) Board of Trustees - Attended USURF Board of Trustees Meeting to present current information regarding USTAR, Economic Development and TCO activity for SDL IP.

Upcoming Events, Actions, or Issues:

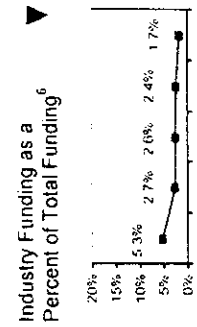
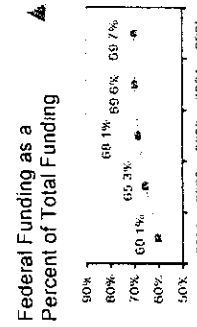
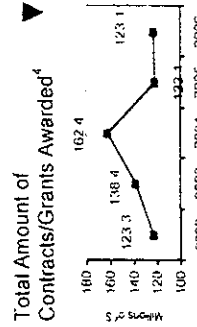
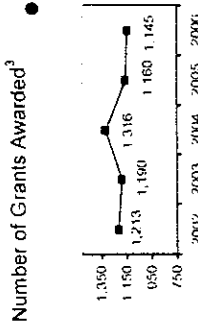
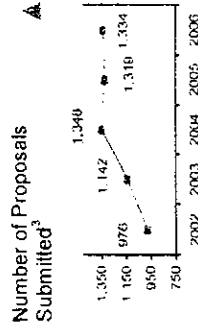
October 27 - UTC Dinner, Salt Lake City
November 2 - USTAR Governing Board Meeting, Salt Lake City
November 13 - NASULGC Annual Meeting, Houston, Texas
December 5/6 - Governor's Vision Conference on Personalized Medicine, SLC

USU RESEARCH PERFORMANCE DASHBOARD – FY 2006

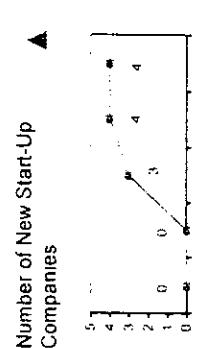
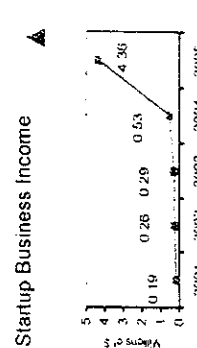
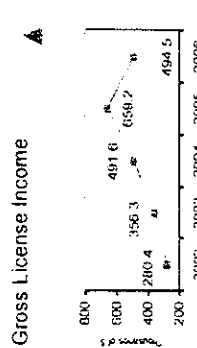
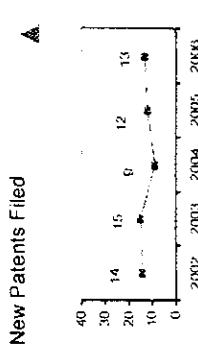
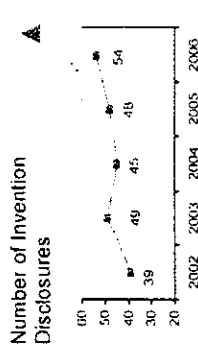
Research Funding



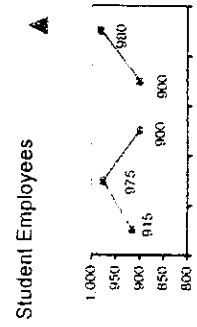
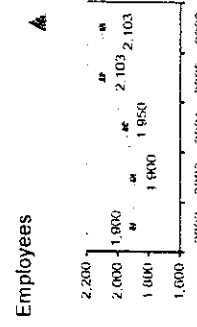
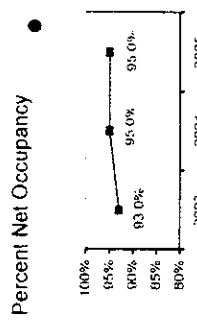
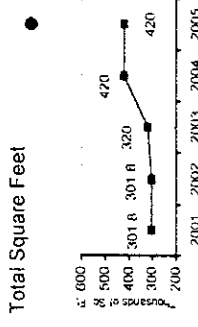
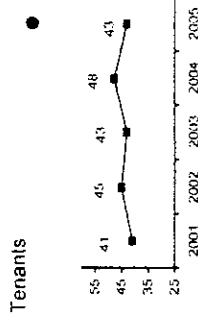
Sponsored Programs



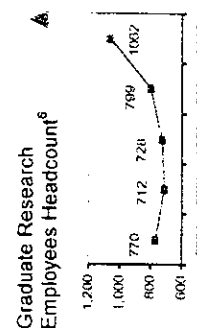
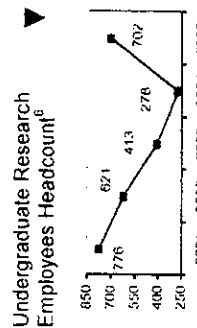
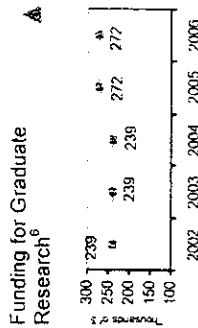
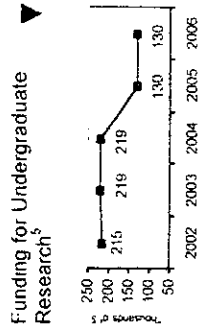
Tech. Commercialization



Innovation Campus



Student Research



TREND KEY:
 ▲ higher
 ▼ lower
 ● no change

FOOTNOTES:
 1 According to NSF Report
 2 Sponsor Allowed F&A. Some funding agencies by policy limit the recovery of f&a costs to less than the negotiated rate
 3 One proposal can be awarded in multiple years
 4 The largest SDI project, RAMOS, was canceled

5 USU funding for DR/USU Presidential Scholarship Program ended
 6 Graduate research funding includes fellowships, travel and graduate student recruitment

ITEM FOR INFORMATION

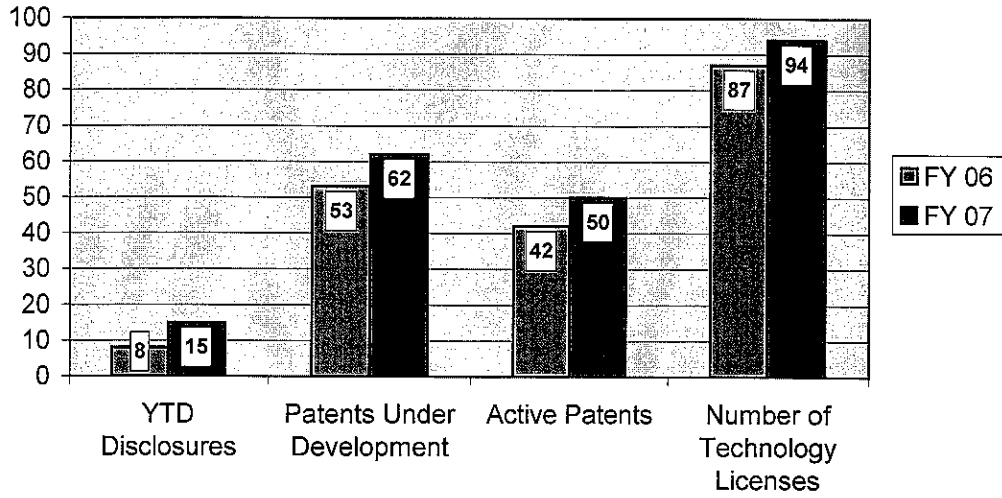
RE: TECHNOLOGY MANAGEMENT INDICATORS

The following graphs for 31 August, 2006 (Summary of USU Technology Commercialization Indicators and University-wide Licensing Income) are submitted to the Board of Trustees for their information.

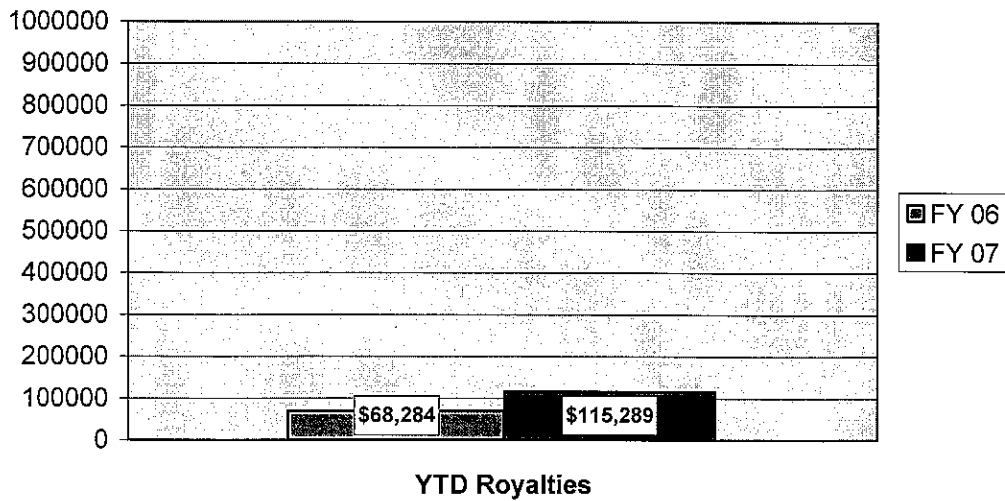
EXECUTIVE SUMMARY

The figure "USU's Technology Management Indicators" contains YTD information through August 31, 2006 from the Technology Commercialization Office. The number of YTD disclosures in the current year (15) is higher compared to the year before (8). Patents under development have increased 17%. The number of technologies licensed through August 2006 increased 8% compared to last year. Licensing income YTD was higher in August of this year (\$115,289) compared to the year before (\$68,284).

USU Technology Commercialization Indicators as of Aug 31, 2006



USU Licensing Income as of Aug 31, 2006



ITEM FOR INFORMATION

RE: USU INNOVATION CAMPUS

On September 21, 2006, Teresa McKnight was promoted from Interim Director to Director of USU's Innovation Campus. Teresa graduated from Utah State University in Management and Administration with a minor in Organizational Communication. She serves on the Association of University Research Parks (AURP) Board of Directors and the Cache Chamber of Commerce Board of Directors.

The Innovation Campus hosted the Utah Alliance Fall meeting on September 27, 2006. Guest speakers included, Senator Lyle Hillyard, Ned Weinshenker, USU Vice President of Strategic Ventures and Economic Development, Jason Perry, Director of the Governor's Office of Economic Development and Teresa McKnight. Fifty-five economic development professionals from across the State of Utah were in attendance.

The first annual *What's Goin' Down Up North* business and economic development summit was held at the Innovation Campus on September 28, 2006. Business and development activities in Box Elder, Cache, Franklin and Rich counties were showcased. The purpose of the summit was to provide an opportunity to educate oneself on local economic development happenings, infrastructure improvements and business activity in four counties.

Two fast track sessions featured valuable resources and local business success stories. Information regarding local and state economic development initiatives were also presented. Local businesses that are considered economic engines of northern Utah and southern Idaho shared their success stories, expansion plans, vendor opportunities, best practices and more.

Featured presenters included Jason Perry, Director of the Governor's Office of Economic Development; Ned Weinshenker; John Matthews, Northern Regional Economist, Department of Workforce Services; Jeff Edwards, Executive Director of EDCUtah and Leigh von der Esch, Director of the Utah Office of Tourism.

The event brought together 125 business, government, university and public participants and attendees.

RE: RESEARCH EXPENDITURES (June YTD)

The following bar graph and pie chart for research expenditures are submitted to the Board of Trustees for their information.

EXECUTIVE SUMMARY

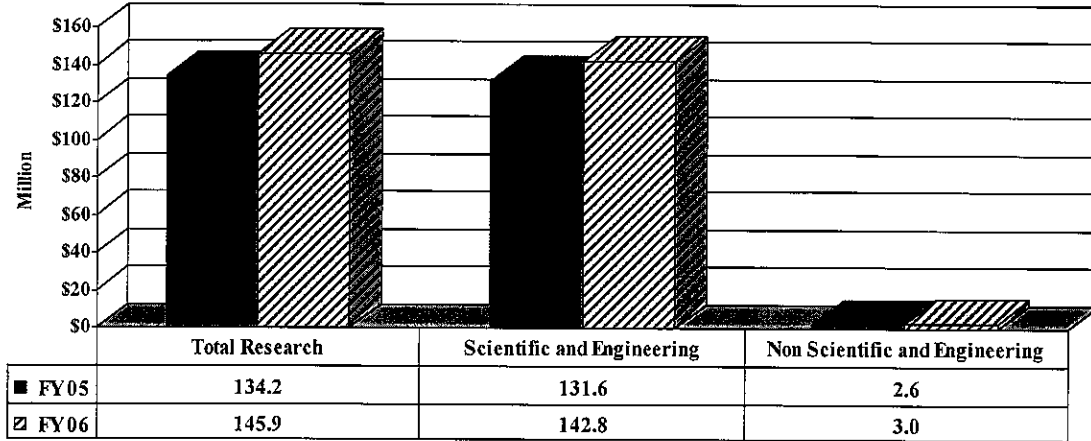
The bar graph titled "USU Total Research Expenditures, June, 2006 YTD" compares cumulative research expenditures for FY2004-2005 (solid) with FY2005-2006 (black/white striped). Expenditures based on NSF reporting guidelines through the month of June 30 were 8.7% more than the June, 2005 figure. An increase of 8.5% occurred within the Scientific and Engineering Research Expenditures category while the Non-Scientific and Engineering Research Expenditures increased by \$.4 M.

As shown in the pie chart labeled "Sources of Research Expenditures at USU, June, 2006 YTD", the federal share of research expenditures through June is 66.9%, followed by state and institutional sources at 12.24% and 14.5% respectively.

UTAH STATE UNIVERSITY NSF GRAPHS

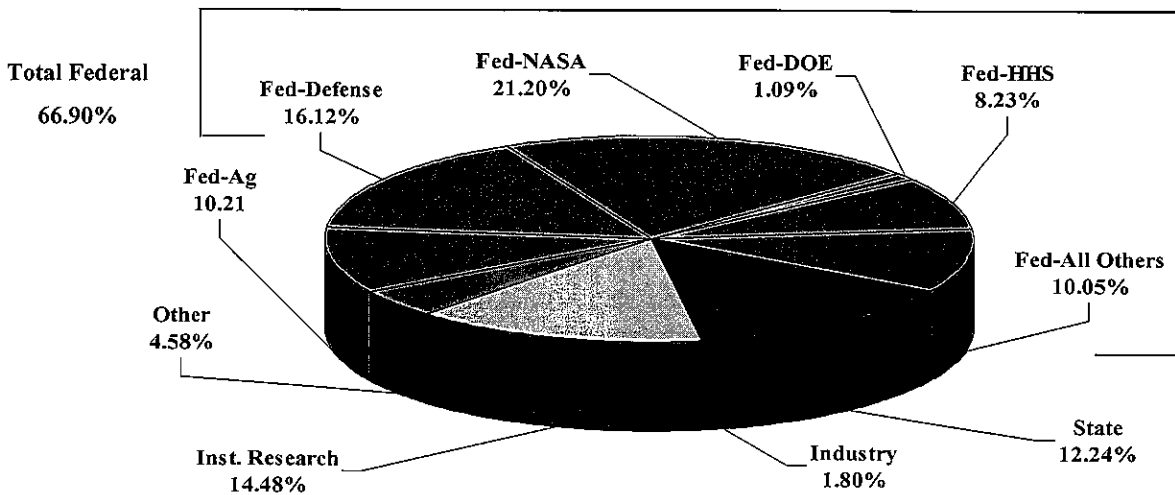
USU Total Research Expenditures, USU Scientific and Engineering Research Expenditures, and USU Non Scientific and Engineering Research Expenditures

June 2006 YTD



*Based on NSF reporting guidelines effective prior to fiscal year 2006.

Sources Of Research Expenditures At USU, June 2006 YTD Fiscal Year 2006



20 October 2006

RE: UNDERGRADUATE RESEARCH REPORT

EXECUTIVE SUMMARY

Utah State University welcomed 35 new University Undergraduate Research Fellows this fall, Presidential Scholars who competed for and won this past spring fellowships that enable them to engage in research, scholarship, or creative activity from day one of their undergraduate careers in concert with faculty mentors. The Fellows cluster in science and engineering but also include an opera singer. Also included is Shannon Babb, the 2006 Intel Scholar who brings a \$100,000 scholarship with her and who will represent junior scientists of the world at the Nobel Prize ceremony in Stockholm in December. The new fellows join 62 continuing fellows.

Student Services

STUDENT SERVICES

Name: Gary A. Chambers
Telephone: 797-1712
e-mail: gary.chambers@usu.edu
Date: October 4, 2006

Focus of Student Services

1. Organization Initiatives

- A. In an effort to help connect Utah State University's main campus with the Regional Campuses and Centers, a new outreach position was developed. This position, staffed by Jimmy Moore, previous Director of Admission, is intended to visit and network with the Distance Education program and identify needs wherein the main campus can assist. This coordinated effort will allow for resource sharing and, most importantly, help students throughout the state feel a part of Utah State University. While all identified areas of need cannot be addressed completely, due to resources, the effort will place a focus that has been lacking for a long time.
- B. A new Vice President's Student Advisory Council has been formed. There are 25 students on this council representing a wide range of students. The initial purpose of this council will focus on two main topics: (1) Is Utah State University an inclusive campus where all students feel welcome? (2) Are the processes that students are required to go through student-friendly? The first meeting of this group was held with President and Mrs. Albrecht at the President's home. Follow-up meetings will take place monthly and will focus on both specific problems and solutions.

2. Meeting the needs of disabled students

The Disability Resource Center (DRC) has been working on resources to help accommodate deaf students at USU. Since July of this year, they have hired two full-time certified sign language interpreters, and have purchased equipment to provide sign language interpretation via video conferencing. The DRC continues to recruit interpreters to meet the needs of an increasing number of deaf students at USU.

3. Up-coming recruiting events

Fall Semester of 2006 has shown a large increase in both the new first-time freshman class and transfer students. To maintain this momentum, several recruitment open-houses and tours have been planned for this Fall. The following will occur during October and November:

Open House

October 11	Star Valley/Evanston
October 17	Boise, Idaho
October 18	Idaho Falls, Idaho
October 19	Pocatello, Idaho
November 2	Cache Valley
November 14	Provo, Utah
November 29	St. George, Utah
November 30	Las Vegas, Nevada

Roads Tour & Open House

Nov 8	Davis County
Nov 21	Salt Lake

University Advancement

**AGENDA
UNIVERSITY ADVANCEMENT
OCTOBER 20, 2006**

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2. Monthly Gift Comparison, September 2006	5

UNIVERSITY ADVANCEMENT

M. Scott Mietchen, Vice President
435-797-1158
scott.mietchen@usu.edu
October 20, 2006

Significant Gifts Received

August - September 2006

- Delonne Anderson Family Foundation
 - To support the Big Blue Scholarship Fund in Athletics
- BASF Corporation
 - To support the Weeds Research and Wheat Breeding Program in the College of Agriculture
- Estate of Howard Blood
 - To support the Howard L. Blood Endowment in the College of Science
- Boeing Company
 - To support the Shingo Prize for Excellence in the College of Business
 - To support the Boeing Company Scholarship in the College of Engineering
- Michael J. Connelly
 - To support the Stadium Team Building in Athletics
- Lois Marie Hyer Cook
 - To support the Lois Hyer Cook Endowed Fund for Curriculum Enhancements in Arts Education
 - To support the Lois Hyer Cook Scholarship Endowment Fund in the Women's Center for Lifelong Learning
- Marriner S. Eccles Foundation
 - To support the Assistive Technology Program in the College of Education & Human Services
 - To support the Engineering Freshman Curriculum Development in the College of Engineering
 - To support the College of Business
- Electrical Wholesale Supply
 - To support the Electrical Wholesale Supply Scholarship in the College of Business

- Dell Loy & Lynnette Hansen
 - To support the Kermit L. & Phyllis A. Hall Inaugural Student Scholarship
 - To support the Dell Loy & Lynnette Hansen Scholarship

- Estate of Corinne G. Heggie
 - To support the Andrew L. & Corrine G. Heggie PSB Endowment in the College of Agriculture
 - To support the Andrew & Corinne Heggie Endowment in the College of Science

- ICON Health & Fitness, Inc.
 - To support the Athletic program.
 - To support the Big Blue Scholarship Fund in Athletics

- Intel Corporation
 - To support the College of Engineering

- ISC2
 - To support the College of Business

- Emma Eccles Jones Foundation
 - To support the Kathryn Caine Wanlass Manon Caine Russell Performance Hall in the College of Humanities, Arts, & Social Sciences
 - To support the Emma Eccles Jones Early Childhood Center in the College of Education & Human Services
 - To support the Emma Eccles Jones Art Program in the College of Education & Human Services

- David & Barbara Kragthorpe
 - To support the Stadium Team Building in Athletics

- Estate of Ray L. Lillywhite
 - To support the Joanne Lillywhite Christensen Endowment in the College of Education & Human Services
 - To support the Ray L. & Eloise H. Lillywhite Endowment

- Micron Technology Foundation, Inc.
 - To support the Micron Scholarship in the College of Engineering

- Larry W. & Lindi H. Miller
 - To support the Stadium Team Building in Athletics
 - To support general Scholarships

- Hattie D. Munk Family Living Trust
 - To support Special Collections & Archives in the Library

- Merlin & Susan Olsen
 - To support the Romney Stadium - Football Excellence Fund in Athletics

- Dr. John E. & Ruth Osguthorpe
 - To support the John E. & Ruth M. Osguthorpe Scholarship in the College of Agriculture

- Mike E. & Rhonda C. Parson
 - To support the Golf program in Athletics
 - To support the Basketball program in Athletics

- Darold E. & Leneve Petersen
 - To support the Leneve O. & Darold E. Petersen Endowment in the College of Agriculture

- Qwest Communications
 - To sponsor the Old Main Weekend

- Doyle Rees
 - To support the Russell Maughan Memorial

- Rohm & Haas Company
 - To support the PSB - Research in Crop Plants in the College of Agriculture

- Simmons Family Foundation
 - To support the Simmons Family Scholarship in the College of Education & Human Services

- Simucad
 - To support the College of Engineering

- The Sorenson Legacy Foundation
 - To support the College of Education & Human Services

- Paula M. Swaner
 - To support the LAEP program in the College of Humanities, Arts, & Social Sciences

- Estate of Leah M. Wright
 - To support the Estel L. & Leah M. Wright Women's Center Scholarship
 - To support the Estel L. & Leah M. Wright BISE Scholarship in the College of Business
 - To support the Estel L. & Leah M. Wright Communication Scholarship in the College of Humanities, Arts, & Social Sciences

**Monthly Gift Comparison - Trustee Report
Utah State University Advancement Office
(Summary of Private Support)**

September 2006

	September 2006		September 2005		This year to date:		Last year to date:		Increase (Decrease)
	9/1/2006	9/30/2006	9/1/2005	9/30/2005	9/30/2006	9/30/2005	9/30/2005	(Decrease)	
Donor Types:									
Alumni	\$280,469.55		\$516,795.28		\$1,337,390.19		\$5,407,584.12		(75.27%)
Other Individuals	\$58,373.21		\$72,218.97		\$145,958.45		\$259,439.31		(43.74%)
Corporations	\$443,453.79		\$168,949.77		\$800,317.35		\$455,037.95		75.88%
Corporate Foundations	\$5,455.00		\$17,295.00		\$38,821.25		\$64,662.00		(39.96%)
Utah Foundations	\$239,465.00		\$49,025.00		\$1,311,268.74		\$558,164.12		134.93%
National Foundations	\$0.00		\$1,200.00		\$90,604.00		\$1,584,272.98		(94.28%)
Trusts	\$58,480.00		\$11,575.00		\$58,929.32		\$11,996.01		391.24%
Associations/Other	\$8,821.50		\$741,217.25		\$51,287.30		\$902,954.06		(94.32%)
Total	\$1,094,518.05		\$1,578,276.27		\$3,834,576.60		\$9,244,110.55		(58.52%)
Gift Types:									
Cash	\$976,754.56		\$396,901.66		\$3,046,014.71		\$5,814,459.30		(47.61%)
Matching Gifts	\$2,980.00		\$548.00		\$9,060.92		\$13,419.25		(32.48%)
Securities	\$21,822.00		\$338,531.61		\$328,723.04		\$673,871.86		(51.22%)
Real Estate	\$0.00		\$0.00		\$0.00		\$0.00		0.00%
Tangible Property**	\$92,961.49		\$22,262.00		\$321,813.93		\$80,791.14		298.33%
Intangible Property***	\$0.00		\$0.00		\$0.00		\$0.00		0.00%
Grants****	\$0.00		\$820,033.00		\$128,964.00		\$2,661,569.00		(95.15%)
Total	\$1,094,518.05		\$1,578,276.27		\$3,834,576.60		\$9,244,110.55		(58.52%)

*Received Gifts - Pledges not included.

**Tangible Property is defined as those items usually called personal property. It includes personal collections of art, books, movies; cars, boats and aircraft; livestock; securities; equipment; software; printed materials; food used for hosting an event; oil and gas wells.

***Intangible Property is defined as an asset that has been produced through creativity and innovation. Examples include patents, copyrights and software under development.

****Grants from private sources for sponsored research are included in this schedule and also by the Office of Sponsored Programs. The current month figures will always be the month prior to the report date.

Alumni

**AGENDA
ALUMNI RELATIONS
OCTOBER 20, 2006**

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3. Tailgate Information/Photograph	5

Alumni Relations
Board of Trustees Report

Name: Wallace S. Odd II, Executive Director
Alumni Association
Kellie Wood, President
Alumni Association

Telephone: 435-797-2055
E-mail: alumni@cc.usu.edu
Web Site: www.usu.edu/alumni
Date: October 6, 2006

Alumni Association Events, Actions and or Issues:

Items of Note:

- **The following Alumni Working Groups are being developed:**
 - **Affinity Groups (some examples are Aggiettes, Greeks, Dorms, ASUSU student Leaders)**
 - **Alumni House Historical Association**
 - **Financial**
 - **4 H Alumni Working Group**
 - **International**
 - **Logan Business & Chamber of Commerce**
 - **Parents Alumni Association**
 - **Past Alumni Presidents**
 - **Student Alumni Association**
 - **Travel**
 - **Young Alumni**

- **The Alumni Survey was completed by the Office of Analysis, Assessment and Accreditation**

Alumni Chapter Activities:

- **Alumni Merit Citations presented:**
 - **Carlos & Annette Smith (Salt Lake Chapter)**
 - **Jack Kobe (Uintah Basin Chapter)**
- **Alumni Summer Events held and Upcoming Events 2006: See Attached**
- **The Second Chapter Presidents Conference Call was held September 20, 2006 President Albrecht spoke.**
- **Affinity Chapters are being established**
- **Summer Citizens Honorary Chapter approved & being established**

Alumni Away Tailgates:

- **See attached sheet**

Alumni House Activities:

- 7,949 people visited the Alumni House July through September 2006.
- A new gate has been installed on the South East side of the Alumni House.

Events:

- Golden Aggie Class of 1956 Reunion, Oct 5-7, 2006
- Homecoming Golf Tournament, October 6, 2006
- Homecoming Parade, Oct 7, 2006
- Chapter Presidents Conference, Nov 3-4, 2006

Marketing projects:

- Aggie License Plates –
For June, July, August we are up 79 license plates – 15% increase year to date
- Pioneer the Cloned Mule –
Working with Dr. Ken White on the cloned mule racing circuit

Student Alumni Association:

- Current membership in the SAA is 120.
- Will be holding monthly activities and service projects.

Travel:

- Since 1995, 1,856 Alumni & friends of the University have traveled.
- 36 Alumni & friends traveled to China September 12-23, 2006.
- An on line photo journal was updated daily for friends and family of travelers to view during the trip. We received very positive feedback. View the journal at: www.usu.edu/alumni/events/chinatrip
- Mr. & Mrs. Clair Batty will be hosting a reunion for China travelers at their home in the upcoming weeks.

Future Alumni Tours:

- San Francisco Weekend Oct 13-15, 2006
- Costa Rica, Feb 21-28, 2007

2006 Summer Chapter Events

Chapter	Date	Event	Place	Staff	Approx. Attendance
Treasure Valley	June 3	Eagle Hills Golf Tournament	Boise	Wally & Matt	45
Alumni Association	June 20	Chapter Pres Conf Call	Office	Kim, Wally, & Mary	20
Utah/Salt Lake	June 29	TalonsCove Golf Tournament	Saratoga Springs	Wally & Mary	90
Portland	July 3	Rodeo & BBQ	Molalla, OR	Recruitment	50
San Juan County	July 4	Picnic & Aggie Ice Cream	Blanding	--	
San Diego	July 8	San Diego Bay Cruise	San Diego	Wally	70
Utah County	July 14	Swim Party	Orem	Scott	575
Summit County	July 15	Swim Party & BBQ	Kamas	Wally	90
Weber County	July 15	Car Show	Ogden	Mary	400
Eastern Idaho	July 17	Picnic & Aggie Ice Cream	Idaho Falls	Mary	100
Alumni Association	July 22	Aggies ReAL	Salt Lake	Scott & Mary	160
Denver	Aug 5	Brunch & Organize	Denver	Wally/Recruitment/Athletics	55
Salt Lake County	Aug 9	Hale Theatre	Salt Lake	Louise/Wally	60
Wasatch County	Aug 11	BBQ	Heber	Mary	55
Weber County	Aug 19	Riverside Golf Tournament	Ogden	Matt	40
Arizona	Aug 19	Senior Send off & BBQ	Gilbert	Wally & Recruitment	20
Tooele County	Aug 23	Swim Party	Tooele	Scott	200
Davis County	Aug 26	Botanical Center Partner	Davis	Matt	25
Southern Idaho	Aug 26	Sold Ice Cream at Fair	Preston	--	300
Alumni Association	Sept 2	Wyoming Pregame	Laramie, WY	Wally	125
Alumni Association	Sept 9	Arkansas Pregame	Fayetteville, AK	Wally	60
Utah County	Sept 23	BYU Football Pregame	Provo	Wally, Scott, Mary, Kim	350

Total 2735

2006 Upcoming Chapter Events

Chapter	Date	Event	Place	Staff
San Francisco	Oct 13-14	San Jose St Football Wknd	San Jose	Scott, Wally
Alumni Association	Oct 21	LA Tech Football Pregame	Ruston, LA	Wally
Northern Nevada	Nov 11	Nevada Football Pregame	Reno	Wally, Mary
Treasure Valley	Nov 18	Boise State Football Pregame	Boise	Wally, Mary

Tailgate Information

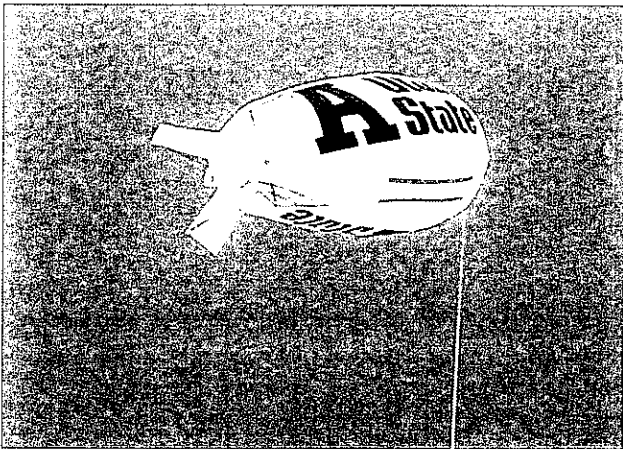
Tailgate	Date	Mailed	Called	E-mailed	Attended	Venue
Wyoming	September 2	2950	92	1000	125	Bailey's Restaurant
Arkansas	September 9	283	75	76	60	Willy D's BBQ
BYU	September 23	8808	1178	4088	350	Helaman Field
San Jose State	October 14	2455	1704	772		Blethen Field
Louisiana Tech	October 21	92		20		Dowling's Smokehouse



Wyoming Tailgate



Arkansas Tailgate



BYU - Unveiling of the Blimp



BYU Tailgate

ASUSU

Associated Students of Utah State University

Name: Noah Riley, President
Telephone: 435-797-1723
Email: noahr@cc.usu.edu
Date: August 2, 2006

Events, Actions, or Issues

Academic Senate:

The first college week was put on by the College of Agriculture. Many students attended and many funds were raised for the college. A few of the highlights of the week included the Drive for Five golf tournament between Agriculture students and Administration raising over \$5,000, and the Remembrance Gathering to honor the lives of those students and faculty member involved in the tragic van accident one year ago.

At the request of President Michelle Lundberg, the application for the Academic Opportunity Fund has been redesigned. This has brought a cleaner layout of the application, as well as a clearer understanding of rules, regulations and expectations of those applying for academic funding.

As the University ages over the years, it has become necessary to begin renovating important and frequently used classrooms. The Classroom Improvement Fund is an opportunity for Senators to update classrooms in their respective colleges. These opportunities for classroom improvement are currently being considered.

Executive Council:

Traditions Director, Karilyn Flake, has worked extremely hard to promote Homecoming and Cache Valley and the state of Utah. Students have participated in many activities, the annual Homecoming Dance, as well as the parade and football game. Many leadership students and members of the Spirit Squad accompanied Big Blue in a television morning show with KUTV 2.

On September 27, 2006 members of Executive Council met over webcam with other student reps from Continuing Education sites to discuss student needs and initiatives. Because of the success of this meeting, another meeting is scheduled for the end of October to meet with the remaining sites and address issues concerning them.

An ad hoc committee titled SoBluConn evaluated SOAR, Aggie B.L.U.E. (formerly Fall Leadership) and Connections and discussed the possibility of combining the three events. After much discussion with members of each group, the committee chose to keep the events separate, as they each objectively target different students.

With the movement of the Math Tutoring Lab into the former Student Lounge, the furniture, which was purchased by ASUSU, needed a new location. An ad hoc committee is discussing possible options of renovation on the 3rd floor with the assistance of Vice President for Student Services Gary Chambers to increase involvement and awareness of student clubs and organizations.

ASUSU Vice President, Troy Smith, completed Civic Awareness Week during September. A total of 1,547 voter registration cards have been completed. He has also received nine applications for an internship he created, allowing students opportunities to lobby for funding for Utah State University at our State Capitol.

Athletics

ATHLETICS

Name: Randy Spetman
Telephone: 797-0912
E-mail: Randy.Spetman@usu.edu
Date: October 2006

Events, Actions, or Issues Since Last Trustee Meeting

Student Athletes and Academics

We are excited to report that our NCAA 4-year Graduation Success Rate is 78%, up from last year's 74%.

The third annual Get in the Game Women's Sports Auction is November 3 at The Copper Mill Restaurant. This event is an opportunity for women to support women and ensure the continued success of Utah State's nine female sports teams. The reception and silent auction will start at 11:30 am with the luncheon starting at 12:30 pm. If you are interested in participating in this year's luncheon call 797-1850.

Joyce Albrecht and Becky Spetman held a luncheon on Wednesday, September 20, at the President's home for Utah State's female coaches and the wives of coaches. It was a gathering to meet new additions to Utah State and to get acquainted with each other. Along with ladies from the athletic department, women from the community who support Aggie Athletics were also present. Women of the athletics department got to personally thank them for supporting Utah State's athletic programs and to see how passionately they love the Aggies.

During homecoming weekend the athletics department hosted an Aggie football reunion for the class of '71. The former players golfed in the Alumni golf tournament on Friday with a dinner held at Hamilton's restaurant that evening. The participants were honored at the football game on Saturday against Fresno State.

The department is also hosting a track and field reunion for all former athletes on November 4. We will host a dinner and tailgate party for all those who can participate followed by the football game against Hawaii.

Preston Otte, a senior from Burley Idaho, shot three straight rounds of one-under par 70 to tie for third individually in the Vandal Fall Golf Classic. He finished with a 210 total, which is the best finish by an Aggie golfer since the spring of 2004.

Utah State junior Stacie Lifferth was named the Western Athletic Conference women's cross country Athlete of the Week for her performance at the Bill Dellinger Invitational at the University of Oregon. Earlier this season USU's Vance Twitchell, men's cross country, earned men's WAC honors. Utah State will next compete at the NCAA Pre-Nationals in Terre Haute, Indiana on Saturday, Oct 14.

Season basketball ticket sales are going well for the season. Single game tickets will go on sale on October 25. The first women's basketball game is November 2 against the Utah Pride in a home exhibition game. The men's home exhibition basketball game is November 1 against EA Sports.

Pub Relations/ Marketing

**AGENDA
PUBLIC RELATIONS AND MARKETING
OCTOBER 20, 2006**

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Public Relations and Marketing

John DeVilbiss, executive director

435-797-1358; johndevilbiss@usu.edu

October 2006, Events, actions, issues since last Trustee's meeting

Old Main Weekend: Staff served on the planning committee and assisted throughout the two day activity that showcased the university's strengths. Multiple stories appeared in *Utah State Today* and on the Web. PRM developed a DVD featured at the Old Main Dinner, part of the first "Old Main Weekend."

Kermit L. Hall: PRM provided internal and external information regarding President Hall's death. Created a university Web site and message board. Coordinated memorial service events with Albany, providing video footage. Compiled condolences, media tributes and photos in a leather-bound book hand-delivered by President Albrecht to Phyllis Hall.

Viewbook: PRM, with the office of Admissions and Carnegie Communications, completed a new viewbook and a set of eight postcards. The work included design concepts, scheduling creative visits, interview and photo shoots and final proofreading. To be used for two years, 64,000 viewbooks were produced.

Web: Developed new administration tools to manage *Utah State Today* mailing list and created new coding for the newsletter to allow better compatibility with other mailing systems. Completed updates for Provost's office, Board of Trustees, President, Housing and Dining Web sites. Developed Web sites for ASUSU clubs and organizations.

Utah State Greats Web site and "Greats Wall": Wrote, compiled content, designed and built the Web site (www.usu.edu/greats). The site showcases five great areas of distinction at USU: research and academics; teaching and learning; location; access; and alumni. Working with USU alumna Bernice McCowin and USU Student Services, PRM created the "Utah State Greats" wall in the TSC. The words and photos reflect the Web content.

Photographic Support: Photographed all events for Old Main Weekend and provided photos and prints for the Kermit Hall Remembrance book. Completed studio photography for the faculty recruitment brochure and provided photo support for marketing of USU's arts programs and Career Services.

Multimedia Production: Created the video shown at Old Main dinner. The video featured a project in CPD's Assistive Technology Laboratory. CPD will use the video to highlight its mission as it celebrates its 35th birthday in 2007. Also presented a faculty orientation DVD – a dynamic presentation of the "great" things going on at USU. Completed recruitment presentation DVD for admissions and recruitment to use when visiting high schools.

Sunrise Sessions: provided support through Web sites and media pitches for the sessions that began in April and feature timely and cutting-edge research at USU. All events have received media attention.

Remembrance Gathering: Provided planning support for the anniversary of the van accident, including photography, signage, script writing and media support. Media coverage was extensive, including all Salt Lake television stations and multiple newspapers.

USU's five core messages: Presented to new USU faculty members, USU Distance Education directors and Student Services directors. The presentation used multi-media to chronicle the achievements and characteristics that distinguish Utah State University from others.

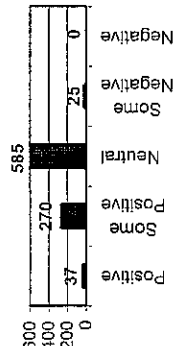
Broadcast Coverage: USU was highlighted during KUTV's morning show Oct. 6 in live segments promoting USU's total college experience. KSL and KTVX television filmed at CPD, as a modified bicycle was produced and delivered to an autistic child for therapy.

Buildings/Projects: PRM provided planning and creative support for the Brigham City campus open house. Coverage included items in *Utah State Today* and on the President's News page. Additional efforts assisted the College of Agriculture during the groundbreaking for the Agricultural Complex. Media coverage appeared in the *Herald Journal* and the *Salt Lake Tribune*.

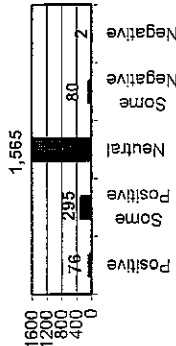
PUBLIC RELATIONS & MARKETING PERFORMANCE DASHBOARD - Oct. 5, 2006

YEARLY

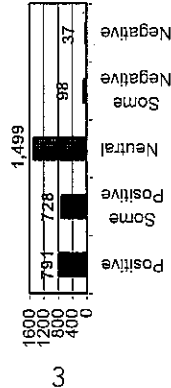
Pos./Neg. 2002 (June-Nov)



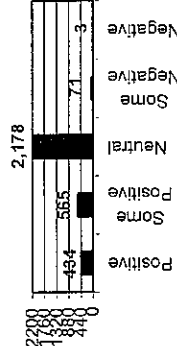
Pos./Neg. 2003



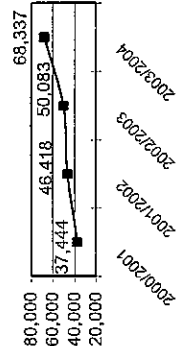
Pos./Neg. 2004



Pos./Neg. 2005

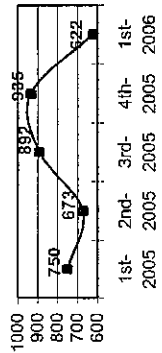


Annual Licensing Royalties

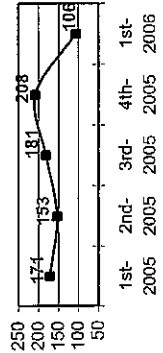


QUARTERLY

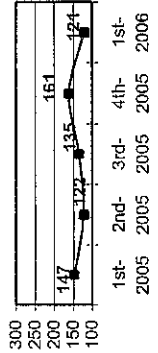
Total Hits 2005-2006



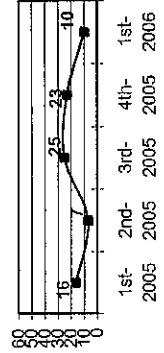
National Hits 2005-2006



Somewhat Positive Hits 2005-2006

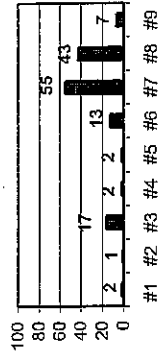


Somewhat Negative Hits 2005-2006



MONTHLY

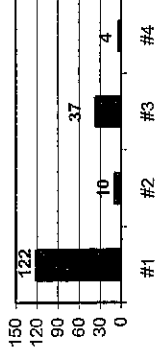
Messages - January 2006



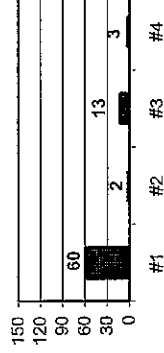
Messages - February 2006

TRANSITION - NO GRADES

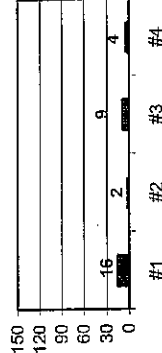
Messages - March 2006



Messages - April 2006



Messages - May 2006



Faculty

Faculty Senate Report for the Board of Trustees
Submitted by John M. Kras, President of the Faculty Senate

The USU Faculty Senate met on September 11 and October 2, 2006

EVENTS, ACTIONS OR ISSUES SINCE THE LAST TRUSTEES MEETING

The following Committees made their annual reports to the Faculty Senate: Athletic Council, The School of Graduate Studies, ASUSU, The Honors Program, and The Libraries Advisory Council. All of these reports can be found on the USU Faculty Senate Home Page as a part of the September and October agenda packets.

Key Issues and Action Items:

The Faculty Senate made one code change to the Alternative Course Requirement Policy (403.4)

UPCOMING EVENTS, ACTIONS AND ISSUES

The Faculty Senate will have its annual Faculty Forum on November 6, 2006

Professional Employees

PROFESSIONAL EMPLOYEE ASSOCIATION (PEA)

Rory Weaver
797-1962
rory.weaver@usu.edu
October 20, 2006

Events, Actions, or Issues Since Last Trustees' Meeting:

Highlights for the past month include our 'Brown Bag' luncheon with the Classified Employee Association (CEA). This month's guest speakers were Senator Lyle Hillyard and Representative Rhonda Menlove. The legislators shared with us the results of the recent Utah Legislature Special Session. PEA and CEA intend to have monthly Brown Bag luncheons with speakers sharing insights to topics relevant to USU employees.

Upcoming Events, Actions, or Issues:

On October 18th, we will enjoy our Annual PEA Recognition Luncheon. USU Provost Raymond Coward will be our keynote speaker. Employees will be recognized for exceptional service to USU and our 2006-2007 PEA Scholarship recipients will also be recognized.

Classified Employees

CLASSIFIED EMPLOYEE ASSOCIATION

Jill Ballard
797-1038
jballard@purchasing.usu.edu
October 5, 2006

Events, Actions, or Issues Since Last Trustee's Meeting:

On Aug 10 & 11, 2006, Karen Hoffman and myself went to our UHESA conference at Weber State University. We participated in a 2-hour outdoor ropes and teambuilding course, led by Dr. Fun. Dirk Anderson from the Higher Ed Policy and Budget Analyst, Governor's Office of Planning and Budget spoke to us about how the budget process works for general and higher education in the State of Utah. We also had a Positive Energy presentation from Dr Randy Chatelain. I was elected as secretary for UHESA for a one year term.

On September 13, 2006 had a conference call with the members of UHESA (Utah Higher Education Staff Association). We are working together to plan with them UHESA Day at this next year legislative session. The goals we are working on are 1) Continued funding of Health Care cost 2) 4% salary increase, 3) Monies to fund education in regards to Recruitment and new staff. All institutions will approach the session with these goals. USHESA Day at the Capitol will be sometime the first part of February.

On Wednesday October 4th we had a brown bag forum with CEA and PEA. Senator Lyle Hillyard and Representative Rhonda Menlove talked to us about the tax changes. They explained the 3 funds that they have to work with and which taxes goes into each fund. They also explained the surplus and the rainy day fund. It was very informative.

We are working with the Employee Benefits Advisory Board (EBAB) on several different issues. We are looking into putting together a Wellness Program that will benefit not only the employees, but will also help with the rising costs of health care. The staff at the University would also like to look into using the services of the Student Health Center.

Upcoming events, Actions, or Issues:

There will be a Brown Bag Forum held with PEA and CEA in November or December with the Legislators in our area.

Meeting with UHESA in St George in November to put together our agenda for UHESA day at the Capitol in February.