

AGENDA
REGULAR MEETING OF THE
UTAH STATE UNIVERSITY BOARD OF TRUSTEES
USU - TOOELE REGIONAL CAMPUS
1021 WEST VINE STREET
TOOELE, UTAH
AUGUST 26, 2011

2:40 p.m. Executive Session Room 159

3:00 p.m. Regular Meeting Room 159

- Chair's Report
- President's Report
- Consent Agenda
- Action Agenda

**AGENDA
CHAIR'S REPORT
AUGUST 26, 2011**

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A. Action Items

1. Oath of Office for Mark K. Holland
2. Committee Assignments (List to be Distributed)
3. Election of New Executive Committee
(To include Chair and Vice Chair)
4. Schedule of Board of Trustee Meetings for First Half of 2012

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B. Information Item

1. Date of the Next Board of Trustees Meeting, November 4, 2011,
to be held at the USU Campus

C. Other

Board of Trustees Meeting Schedule for 2012¹
Proposed

Date of Trustees Meeting	Meeting Type	Date of Regents Meeting
Friday, January 6	Regular Meeting	Friday, January 20 University of Utah
Friday, March 2 ²	Regular Meeting	Friday, March 30 Southern Utah University
Friday, April 6 ³	Telephone Conference Meeting	
Friday, May 4 ⁴	Regular Meeting	Friday, May 18 Snow College

¹ Board of Regents have only scheduled through May 2012

² Founders Day Event that evening

³ Brief Telephone Conference to approve Promotion and Tenure decisions only

⁴ Commencement, May 4-5, 2012

AGENDA
PRESIDENT'S REPORT
August 26, 2011

A. Information Items

1. Student Resolution of Commendation for Lisa Starley

B. Recent Events

1. Northwest Commission on Colleges and Universities (NWCCU) Board of Commissioners in Seattle, Washington, July 11-13, 2011
2. Board of Regents at USU, July 15, 2011
3. Governor's Education Excellence Commission, July 26, 2011
4. NCAA Presidential Retreat and Division I Board of Directors in Indianapolis, Indiana, August 9-11, 2011
5. Touchdown Club Dinner, August 12, 2011
6. Governor's Education Excellence Commission, August 15, 2011
7. Department Heads Retreat, August 15, 2011
8. RCDE and CEU Faculty Retreat and Dinner, August 16, 2011
9. New Faculty Training, August 16, 2011
10. Engineering Annual Summer Retreat, August 17, 2011
11. Utah Campus Compact Executive Committee Meeting, August 17, 2011
12. Regents – Preview of Grassroots Outreach Campaign, August 18, 2011
13. Caine College of the Arts Retreat, August 19, 2011
14. RCDE Student Representative Retreat, August 19, 2011
15. Huntsman Cancer Foundation Gala, August 19, 2011
16. New Faculty Orientation Reception, August 22, 2011
17. Board of Trustees Workshop, Tooele, August 26, 2011

B. Upcoming Events

1. First Day of Class, August 29, 2011
2. Council of Presidents, August 30, 2011
3. Executive Committee Retreat, August 31, 2011
4. Football – USU at Auburn, September 3, 2011
5. Davis County Gala, September 8, 2011
6. Hall of Fame Induction Dinner and Ceremony, September 9, 2011
7. Bonderman Event in Moab, September 10, 2011

8. Football – Weber State at USU, September 10, 2011
9. Regents Retreat and Board Meeting, September 15-16, 2011
10. Utah Botanical Education Center Open House and Environmental Stewardship Award Presentation, September 17, 2011
11. USU Homecoming, September 23-24, 2011
12. Football – Colorado State at USU, September 24, 2011
13. Salt Lake Chamber Governing Board, September 27, 2011
14. Football – USU at BYU, September 30, 2011
15. Old Main Society, October 7, 2011
16. Football – Wyoming at USU, October 8, 2011
17. Founder’s Day at USU-CEU, October 15, 2011
18. BlueCross BlueShield Conference, October 16-19, 2011
19. Football – LA Teach at USU, October 22, 2011
20. Salt Lake Chamber Governing Board, October 25, 2011
21. NCAA Board Meetings, October 26-27, 2011
22. Sunrise Session, October 28, 2011
23. Men’s Basketball – UC San Diego, October 28, 2011
24. Aggie Auction, October 29, 2011
25. Council of Presidents, November 2, 2011
26. USURF Board Dinner, November 3, 2011
27. USU Board of Trustees, November 4, 2011

C. Other

**CONSENT AGENDA
AUGUST 26, 2011**

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EXECUTIVE SESSION
UTAH STATE UNIVERSITY BOARD OF TRUSTEES
TELEPHONE CONFERENCE CALL
July 8, 2011

Minutes of the Regular Meeting of the Utah State University Board of Trustees held in Champ Conference Room, Old Main 136, at 9:30 a.m.

MEMBERS PRESENT

Ronald W. Jibson	Chair
Scott R. Watterson	Vice Chair
Jody K. Burnett	
David P. Cook	
Douglas S. Foxley	
Susan D. Johnson	
Erik D. Mikkelsen	(Champ Conference Room)
Frank Peczuh, Jr.	
Suzanne Pierce-Moore	
Richard L. Shipley	

MEMBER EXCUSED

Robert L. Foley

UNIVERSITY REPRESENTATIVES PRESENT (in Champ Conference Room)

Stan L. Albrecht	President (by telephone)
Raymond T. Coward	Executive Vice President and Provost
Sydney M. Peterson	Chief of Staff and Board of Trustees Secretary
Dwight Davis	Associate Vice President for Business and Finance

Chair Jibson conducted the meeting and welcomed those present.

Items discussed included personnel and property.

The Executive Session adjourned at 10:02 a.m.

Ronald W. Jibson, Chair

Sydney M. Peterson, Secretary

Date Approved

REGULAR MEETING
UTAH STATE UNIVERSITY BOARD OF TRUSTEES
TELEPHONE CONFERENCE CALL
July 8, 2011

Minutes of the Regular Meeting of the Utah State University Board of Trustees held in Champ Conference Room, Old Main 136, at 10:03 a.m.

MEMBERS PRESENT

Ronald W. Jibson	Chair
Scott R. Watterson	Vice Chair
Jody K. Burnett	
David P. Cook	
Douglas S. Foxley	
Susan D. Johnson	
Erik D. Mikkelsen	(Champ Conference Room)
Frank Peczuh, Jr.	
Suzanne Pierce-Moore	
Richard L. Shipley	

MEMBER EXCUSED

Robert L. Foley

UNIVERSITY REPRESENTATIVES PRESENT (in Champ Conference Room)

Stan L. Albrecht	President (by telephone)
Raymond T. Coward	Executive Vice President and Provost
James D. Morales	Vice President for Student Services
Neil Abercrombie	Director of Federal and State Relations
John Allen	Dean of the College of Humanities and Social Sciences
Leon Anderson	Head of the Department of Sociology, Social Work and Anthropology
Jodi Bailey	Chief Audit Executive
Dwight E. Davis	Associate Vice President for Auxiliary Services
Martha Dever	Associate Dean and Department Head of the School of Teacher Education and Leadership
Dennis Dolny	Head of the Department of Health, Physical Education and Recreation
Annette Herman Harder	Chief Operating Officer for University Advancement
Douglas Jackson-Smith	Director of Graduate Studies in Sociology

William Jensen, Jr.	President of the Professional Employees Association
Craig Jessop	Dean of the Caine College of the Arts
David Liddell	Head of the Department of Geology
James A. MacMahon	Dean of the College of Science
Glenn McEvoy	President, Faculty Senate
Joe Peterson	Chancellor, CEU-USU
Sydney M. Peterson	Chief of Staff and Board of Trustees Secretary
Laurens Smith	Executive Senior Vice Provost
Mira G. Thatcher	Secretary
Tim Vitale	Assistant Director, Public Relations

OTHERS PRESENT

Cory Larson	Recipient of Student Resolution of Commendation
Teresa Theurer	Utah State Board of Regents

MEMBERS OF THE MEDIA PRESENT

Kate DuHadway	<i>The Herald Journal</i>
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Chair Jibson conducted the meeting and welcomed those present, including Regent Teresa Theurer. He indicated that President Albrecht is in the Dominican Republic and joined the meeting by telephone. He excused Trustee Foley who was out of the country.

I. Chair's Report

A. Oath of Office for Jody K. Burnett

Chair Jibson welcomed Jody K. Burnett, new President of the Alumni Association, as a member of the USU Board of Trustees. Chair Jibson indicated that he met with Trustee Burnett earlier in the week and administered the oath of office.

B. Committee Assignments for Jody K. Burnett

Chair Jibson indicated that Trustee Burnett will serve on the Athletics Committee and Honorary Degrees and Awards Screening Committee (Appendix A).

C. Updated Trustees Membership List

Trustees received an updated Trustees membership list for their information (Appendix B).

D. ASUSU Report, Trustee Mikkelsen

Trustee Mikkelsen reported on the following:

- Preparations are underway for the Week of Welcome for students.
- Plans are being made for public relations and marketing to reach out to students who are not involved with ASUSU to encourage them to get involved.
- Former USU student/ASUSU officer, Sheldon Browning, from Stockton, California, has been hired as the Community Service Coordinator for the Val R. Christensen Service Center.
- Trustee Mikkelsen is a member of the Council of Student Body Presidents. The members are advocates for higher education. A Higher Education Golf Tournament will be held on August 15. There will be two teams from each institution and Trustees will be invited to participate as well as legislators, business leaders, friends of higher education, and students.
- A goal has been set to meet with every legislator throughout the state to discuss accessibility, affordability, and the quality of higher education.

E. Alumni Association Report, Trustee Burnett

Trustee Burnett reported the following:

- Homecoming is scheduled for September 23-24. Honorees this year are: Alumnus of the Year – Robert M. Matich; Distinguished Young Alumni – Mark V. and Jennifer N. Erickson; and Grand Marshals – the 1969-70 and 1970-71 basketball teams.
- Patty Halaufia, Executive Director of Alumni Relations, attended a conference on Alumni Association Strategic Planning and is evaluating the role of the Alumni Association.
- Aggie Lagoon Day was a success. More than 500 Aggies, family members, and employees attended.

F. Report on Marketing/Public Relations Committee Meeting

Trustee Johnson reported on the Marketing/Public Relations Committee Meeting which was held June 7, 2011. The Committee received a report on current public relations and marketing activities. Several suggestions for change have been made including structural changes in the composition of marketing entities throughout the University.

G. Utah State Board of Regents at USU

The Utah State Board of Regents will hold its meetings at USU on July 15, 2011. Trustees are invited to join the Regents for a breakfast meeting. President

Albrecht said he will give a brief State of the University update, and the Trustees will have an opportunity to discuss issues with the Regents.

H. Date of the Next Board of Trustees Meeting/Workshop

The next Board of Trustees meeting and workshop will be held Friday, August 26, 2011.

II. President's Report

A. Student Resolution of Commendation for Cory Larson

Trustee Mikkelsen read the Student Resolution of Commendation for Cory Larson (Appendix C). Mr. Larson was an outstanding USU student and graduated in May 2011 from the concurrent Bachelors and Masters program in Electrical Engineering with minors in Math and Physics. President Albrecht and Chair Jibson extended congratulations to Mr. Larson. Trustee Johnson stated that Cory's sister is also attending USU. She knows his parents who appreciate the opportunities Cory has received while getting his Engineering degree at USU.

B. President Albrecht's Visit to the Dominican Republic

President Albrecht said he was invited by President Leonel Antonio Fernández of the Dominican Republic to attend the grand opening of a new Regional Branch Campus which is located in the southwest province of the Dominican Republic, one of the poorest areas of the island. He said it is a beautiful new facility and will accommodate 8,000 students. In his remarks at the dedication, President Fernández highlighted the relationship with USU, the Dominican Republic students who have and are attending USU, and the leadership positions they will hold in business, industry, and government in the Dominican Republic upon graduation from USU. President Albrecht stated that President Fernández is an eloquent spokesperson for higher education.

C. Recent Events

1. USU Commencement, May 7, 2011
2. CEU Price Commencement, May 7, 2011
3. Governor's Education Commission, May 17, 2011
4. Regents External Review, May 18-19, 2011
5. Big Blue Bash at the Home, May 19, 2011
6. Board of Regents at Weber State University, May 20, 2011
7. USU Community Cabinet, May 23, 2011
8. Salt Lake Chamber Governing Board, May 24, 2011
9. Retirement Reception at the Home, June 1, 2011

10. ISSRM Symposium Keynote at University of Wisconsin, June 7, 2011
11. WAC Board of Directors Meeting in Park City, June 12-14, 2011
12. APLU Council of Presidents Meeting in Washington, DC, June 15, 2011
13. Sunrise Session (Presenter: Kent Tobiska), June 24, 2011
14. Council of Presidents, June 28, 2011
15. Governor's Education Excellence Commission, June 27, 2011
16. Board of Trustees Meeting (teleconference), July 8, 2011

D. Upcoming Events

1. Northwest Commission on Colleges and Universities (NWCCU) Board of Commissioners in Seattle, Washington, July 11-13
2. Board of Regents at USU, July 15, 2011
3. Governor's Education Excellence Commission, July 26, 2011
4. NCAA Presidential Retreat and Division I Board of Directors in Indianapolis, Indiana, August 9-11, 2011
5. Touchdown Club Dinner, August 12, 2011
6. Governor's Education Excellence Commission, August 15, 2011
7. Department Heads Retreat, August 15, 2011
8. RCDE and CEU Faculty Retreat, August 16, 2011
9. New Faculty Training, August 16, 2011
10. Engineering Annual Summer Retreat, August 17, 2011
11. RCDE Student Representative Retreat, August 19, 2011
12. Utah Campus Compact Executive Committee Meeting in Salt Lake City, August 17, 2011
13. Board of Trustees Workshop at Uintah Basin
14. First Day of Class, August 29, 2011
15. Council of Presidents, August 30, 2011
16. Football – USU at Auburn, September 3, 2011
17. Board of Trustees Workshop at Uintah Basin, September 9, 2011
18. Bonderman Event in Moab, September 10, 2011
19. Football – Weber State at USU, September 10, 2011

III. Consent Agenda

Trustees were given the following consent agenda material for their consideration:

Minutes of the Executive Session Held on May 6, 2011
 Minutes of the Regular Meeting Held on May 6, 2011
 Resolution 11-7-1 Faculty and Staff Adjustments (Appendix D)
 Resolution 11-7-2 Certificate of the Treasurer for March 2011 (Appendix E)
 Resolution 11-7-3 Certificate of the Treasurer for April 2011 (Appendix F)
 Resolution 11-7-4 Report of Investments for March 2011 (Appendix G)
 Resolution 11-7-5 Report of Investments for April 2011 (Appendix H)

Resolution 11-7-6 Delegation/Administration Reports for April 15, 2011 to May 13, 2011 (Quarterly Report) (Appendix I)
Resolution 11-7-7 Capital Development Priority List for FY 2012-2013 (Request to the Board of Regents (Appendix J)
Resolution 11-7-8 Terminate Swaner EcoCenter's 401(k) Retirement Plan and the Former College of Eastern Utah's 401(a) Retirement Plan (Appendix K)
Resolution 11-7-9 Contract/Grant Proposals and Awards for April 2011 (Appendix L)
Resolution 11-7-10 Contract/Grant Proposals and Awards for May 2011 (Appendix M)
Executive Session to be held in conjunction with the Workshop, August 26, 2011, to discuss those items which are permitted by law to be discussed in Executive Session.

Action: Trustee Foxley moved approval of the Consent Agenda items, and Trustee Mikkelsen seconded the motion. The voting was unanimous in the affirmative.

IV. Action Agenda

A. Proposal to Offer an Emphasis in Harp within the Bachelor of Music Degree, Department of Music, Caine College of the Arts, Effective Fall 2011

Provost Coward indicated that the Bachelor of Music program emphasis in Harp requires no new courses, but does require specialization and individual tutorial that come with performing arts courses.

Dean Craig Jessop stated that Chilali Hugo is currently instructing in harp. She has a Master's of Art Performance from Michigan State and a Master's of Performance from the Royal Conservatory of Music in London and is an excellent teacher. She suggested adding the Harp emphasis, and it will enormously enhance the degree at no additional cost. No more than two students a year will be admitted.

Action: Trustee Watterson moved approval of Resolution 11-7-11 the proposal to offer an emphasis in Harp within the Bachelor of Music Degree, Department of Music, Caine College of the Arts, effective Fall Semester 2011 (Appendix N). Trustee Shipley seconded the motion, and the voting was unanimous in the affirmative.

B. Proposal to Offer an Emphasis in Health Science within the Health Education and Promotion, Bachelor of Science Degree, Department of Health, Physical Education and Recreation (HPER), Emma Eccles Jones College of Education and Human Services

Provost Coward said that the addition of a new emphasis in the Health Education and Promotion degree is unique. It will train students for multiple health science positions outside of public schools.

Dr. Dolny, Head of the HPER Department, said this emphasis will meet the needs of current students in the major and will attract additional students who may not normally select this major. He said they track students into the School of Health Education teaching curriculum which previously has been in conjunction with the Secondary Education program. The program focused on the community health education program with state-orientated health promotion. The proposed emphasis will allow students studying disease prevention, for example, to pursue graduate training in medical-oriented fields of study rather than focusing on teaching curriculum or community health education.

Dr. Dolney indicated that BYU has a comparable program. Other universities also have a Health Science emphasis, but not always in the Health Education and Promotion degree.

Action: Trustee Pierce-Moore moved approval of Resolution 11-7-12 the proposal to offer an Emphasis in Health Science within the Health Education and Promotion, Bachelor of Science Degrees, Department of Health, Physical Education and Recreation (HPER), Emma Eccles Jones College of Education and Human Services (Appendix O). Trustee Johnson seconded the motion, and the voting was unanimous in the affirmative.

C. Proposal to Offer a Master of Education (MEd) in Instructional Leadership, School of Teacher Education and Leadership, Emma Eccles Jones College of Education and Human Services

Provost Coward stated that this is a new Master's of Education Instructional Leadership program that will help graduates align with the new licensing requirements of the Utah State Board of Education.

Martha Dever, Associate Dean and Department Head of the School of Teacher Education and Leadership, stated that the proposed Master's of Education in Instructional Leadership will enable students to earn the administrator's supervisory certificate for employment as a principal or superintendent. A Master's degree is required for the certificate. Currently, prospective school leaders enrolled at USU must choose a Master's in either Elementary Education or Secondary Education. This degree will provide licensure for K-12 and district level administrative positions in a single program. There are no changes in courses or faculty.

Action: Trustee Mikkelsen moved approval of Resolution 11-7-13 the proposal to offer a Master of Education (MEd) in Instructional Leadership, School of Teacher Education and Leadership, Emma Eccles Jones College of Education and Human Services (Appendix P). Trustee Pierce-Moore seconded the motion, and the voting was unanimous in the affirmative.

D. Restructure the Specializations Offered in the Sociology Doctoral Program, Department of Sociology, Social Work and Anthropology, College of Humanities and Social Sciences

Dean John Allen stated that restructuring in the Sociology doctoral program is a result of faculty interest and an example of how the new College of Humanities and Social Sciences continues to focus its energies and build its assets. The program takes into consideration: (1) the future of the field of Sociology, (2) where the PhDs will be placed, and (3) how to focus the specialization. The department has a long history of demography as a PhD. specialization. Environment and community are areas of strength of faculty and graduate students, and Dean Allen believes this will bring focus to the PhD program and allow it to place itself nationally.

Douglas Jackson-Smith, Director of Graduate Studies in Sociology, agreed that the proposal builds on strengths and provides improvement to the focus and quality of the program that has been in place for 30 years without requiring additional resources. The proposal does not require additional faculty and is a better fit for the current direction of the program. He indicated that this area is one of the fastest growing in Sociology, and young faculty members are enthusiastic about the program. He said the program will retain its national reputation and strength.

Leon Anderson, new Head of the Department, said he is impressed with the focus on improvement and clarity in the proposed doctoral graduate program.

Action: Trustee Pierce-Moore moved approval of Resolution 7-11-14 the proposal to restructure the Specializations offered in the Sociology doctoral program, Department of Sociology, Social Work and Anthropology, College of Humanities and Social Sciences (Appendix Q). Trustee Johnson seconded the motion, and the voting was unanimous in the affirmative.

Chair Jibson expressed appreciation that the proposals under consideration all provide emphasis to the programs with minimal additional costs.

E. Program Review, Department of Geology, College of Science

Provost Coward stated that departments are reviewed periodically by reviewers on and off campus in order to continue to improve. The review committee for the Department of Geology offered several suggestions which have been considered by the dean, the faculty, and the department heads.

Dean MacMahon indicated that the results of the review were not unexpected, and the department has been making some of the changes suggested by the review committee. He said Geology is one of the fastest growing in the University, and is not adding new faculty. There were 45 graduate students who applied for the program, and only 15 were accepted because the number of professors is limited. The Department of Geology addresses energy issues, and the number of graduate students has increased because of energy interests.

David Liddell, Head of the Department of Geology, stated that the department is doing well despite financial challenges. He reported the following:

- There is a record number of graduate students.
- There will be four visiting faculty next year.
- The department has received \$700 million in external funding.
- A Master's program in Geology has been started in Regional Campus and Distance Education.

Dean MacMahon added that the Advisory Committee for the Department of Geology is more active than any other in the College of Science, and generates funds to support the department.

Trustees shared concern that the program is unable to accept more students in a program that is in high demand with employment opportunities for graduates. Dean MacMahon explained that due to the budget cuts, other areas in the College of Science also struggle with financial needs, making it impossible to hire more faculty members at this time. The increase in external funding is significant and has allowed for ten additional graduate students.

Action: Trustee Watterson moved approval of Resolution 7-11-15 the program review of the Department of Geology, College of Science (Appendix R), and Trustee Johnson seconded the motion. The voting was unanimous in the affirmative.

F. USU Master Plan

Trustees were given the Utah State University Campus Master Plan, a brochure for the USU Master Plan Logan Campus, and a brochure for the Master Plan 2011 Statewide Campuses (Appendix S).

Associate Vice President Davis stated that USU's Master Plan will be considered by the State Board of Regents next week. In the materials there is an internet link to a 15 minute video detailing the Master Plan.

Action: Trustee Shipley moved approval of Resolution 11-7-16 (Appendix T) the USU Master Plan. Trustee Cook seconded the motion, and the voting was unanimous.

G. Ground Lease for Mixed-Use Development

Associate Vice President Davis stated that USU would like to enter into a ground lease for development of approximately 2.5 acres located directly west of Romney Stadium for student housing and commercial development. La Veta owns the property adjacent to the USU property and is building student housing, retail, and restaurant entities. The revenues from the ground lease will be used to promote student life programs, meet on-campus housing maintenance needs, and future development. It will be a nice housing complex that will add value to the USU campus as well as help with student recruiting, the student life building, and add value to athletic events. At the end of the lease, all of the assets will revert back to USU.

Action: Trustee Foxley made a motion to approve Resolution 7-11-17 the proposal for a ground lease for mixed-use development (Appendix U), and Trustee Watterson seconded the motion. The voting was unanimous in the affirmative.

H. Ground Lease for Commercial Development at the USU Brigham City Campus

Associate Vice President Davis said USU would like to enter into a ground lease for commercial development with Orvieto. The property owned by USU is just less than an acre and is located between 8th and 10th South Main Street in Brigham City on the parking lot of the old K-Mart building. Orvieto plans to build a commercial development which will include retail and restaurant entities. The lease will be in place for 20 to 30 years, and at the end of the lease, the ownership of the assets will revert back to USU. This will promote a good relationship with Brigham City and help with the development of the Brigham City Campus.

Action: Trustee Pierce-Moore moved approval of Resolution 11-7-18 the ground lease for commercial development at the USU Brigham City campus (Appendix V). Trustee Peczuh seconded the motion, and the voting was unanimous in the affirmative.

I. Sale of USU Building Located at 1780 Research Parkway on the USU Innovation Campus

Associate Vice President Davis stated that USU owns a building at 1780 Research Parkway which recently reverted back to USU. It is in need of significant renovation and upgrades at an estimated cost of \$180,000 to \$250,000. Rather than USU retaining ownership and covering the cost, we would like to sell the

building “as is” at fair market value and enter into a ground lease with Woodbury Corporation. At the end of the ground lease, the ownership would revert back to USU. This is an opportunity to obtain the value of the building, make it available for tenants, and use the revenue from the sale of the building and the ground lease to help support further development at the Research Park. It will be used by tenants for research purposes, and USU may be a tenant.

Action: Trustee Cook made a motion to approve Resolution 7-11-19 the sale of a USU building located at 1780 Research Parkway on the USU Innovation Campus (Appendix W). Trustee Pierce-Moore seconded the motion, and the voting was unanimous in the affirmative. (Trustee Foxley abstained from discussion and voting because of interests he has with Woodbury Corporation.)

J. Amendments to USU Policy and Procedures Manual

Chair Jibson stated that as a result of the USU-CEU merger, there are a number of changes to the USU Policy and Procedures Manual. A Special Meeting will be scheduled within the next two weeks for Trustees to act on the changes to the Code.

K. USU Commencement 2011

Chair Jibson expressed appreciation to the Trustees on behalf of USU administration for attending graduation and Commencement ceremonies at Regional Campuses and on the USU campus. He congratulated the University, President’s Office staff, the administration, and deans for how well Commencement was conducted. He said he heard many positive comments about the events.

Action: Trustee Mikkelsen made a motion to adjourn, and Trustee Pierce-Moore seconded the motion. The voting was unanimous in the affirmative.

The Regular Meeting adjourned at 11:00 a.m.

Ronald W. Jibson, Chair

Sydney M. Peterson, Secretary
(Minutes Taken by Mira G. Thatcher)

Date Approved

SPECIAL MEETING
UTAH STATE UNIVERSITY BOARD OF TRUSTEES
TELEPHONE CONFERENCE CALL
JULY 18, 2011

Minutes of the Special Meeting of the Utah State University Board of Trustees held as a telephone conference call in the Champ Hall Conference Room, Old Main 136, at 3:00 p.m.

MEMBERS PRESENT

Ronald W. Jibson	Chair
Scott R. Watterson	Vice Chair
Jody K. Burnett	
David P. Cook	
Robert L. Foley	
Douglas S. Foxley	(Champ Hall Conference Room)
Erik D. Mikkelsen	(Champ Hall Conference Room)
Frank Peczuh, Jr.	
Suzanne Pierce-Moore	
Richard L. Shipley	

MEMBER EXCUSED

Susan D. Johnson

UNIVERSITY REPRESENTATIVES PRESENT (Champ Hall Conference Room)

Stan L. Albrecht	President
Raymond T. Coward	Executive Vice President and Provost
Sydney M. Peterson	Chief of Staff and Board of Trustees Secretary
Mira G. Thatcher	Secretary
Vincent B. Wickwar	Former President of Faculty Senate

Chair Jibson conducted the meeting and welcomed those present.

I. Amendments to the USU Policy Manual, Section 401 – Composition and Authority of the Faculty; Section 402 – The Faculty Senate and Its Committees; Section 403 – Academic Freedom and Professional Responsibility; Section 404 – Faculty Appointments; Section 405 – Tenured and Term Appointments: Evaluation, Promotion and Retention; Section 406 – Program Discontinuance, Financial Exigency and Financial Crisis; and Section 407 – Academic Due Process: Sanctions and Hearing Procedures

President Albrecht expressed appreciation to the Trustees for taking time to join the Special Meeting of the Board of Trustees, and to Chancellor Joe Peterson for attending. He indicated that the revision of the USU Policy and Procedures Manual was extensive because of the merger with CEU (see Appendix A). Section 400 of the USU Policy and Procedures Manual received a comprehensive and thorough review by a special committee formed by the Faculty Senate to modify the Code and incorporate faculty colleagues at USU-CEU (Appendix A).

Chancellor Peterson stated that the USU-CEU merger joined together two different types of institutions – a land-grant university and a rural community college. The initial complexity of the merger was due to the differences in the institutions, but the institutions also had a lot in common. The CEU Board and some faculty members were concerned about how the merger would evolve. Chancellor Peterson stated that Vincent Wickwar, President of the Faculty Senate for 2010-2011, visited CEU and met with faculty concerning blending the two institutions and the Faculty Code. As time passed, we realized that the merger was going to work, and it was going to work well. Chancellor Peterson stated that great wisdom was used in making the revisions to the Code. He expressed appreciation to those who were involved in the revision at CEU and in Logan, and for the leadership of Provost Coward and Dr. Wickwar. He stated his endorsement of the amendments to the Faculty Code in the USU Policy and Procedures Manual.

Provost Coward stated that the committee formed to revise the Code consisted of faculty from the Logan campus, from CEU, and the Regional campus. Provost Coward co-chaired the committee with Dr. Wickwar, and the committee met during Fall and Spring Semesters with a goal to create a Code that was consistent across all campuses. He expressed appreciation for Dr. Wickwar's outstanding leadership and credited him for completion of the Code changes.

Provost Coward indicated that many of the changes were minor nonstructural, grammatical changes, but listed the following three major changes to the Code:

1. Section 401.3.5 – A fourth category of academic rank among tenured and tenure-track faculty – “Professional Career and Technical Education.” This category is in addition to the current tenured and tenure-track faculty – core faculty, librarians, and extension faculty.
2. Section 401.4.2 (1) – Expanded teaching responsibilities of lecturers with term appointments. He said this includes clarification of procedures that must be followed when lecturers who are uniquely qualified through education and/or experience are appointed to teach courses numbered 5000 or above.
3. Section 401.4.2 (6) – Addition of a new faculty category of term appointments titled “Professional Practice Ranks.” Previously, the title “Clinical Ranks” was used for faculty members with “substantial professional experience and expertise in a specific professional arena outside of academe. The new category better describes the type of faculty and provides a title more befitting experience and expertise.

Trustee Watterson asked the cost of granting tenure to more faculty, and the result if there were a breakup between USU and CEU. Provost Coward stated that the faculty members at CEU already had tenure, and the goal was to join them into our system and to make it a better fit, so a new category was created.

Trustee Pierce-Moore asked if these changes addressed concerns expressed by Regent David Jordan at the Board of Regents meeting. President Albrecht stated that differences in the two institutions range from technical education to research and major grants and contracts. He said the changes to the Code allow an integration which is important in addressing issues raised by Regent Jordan.

Provost Coward stated that due to the changes in the Code, faculty members in Price and Blanding will not feel they are second-class faculty. Chancellor Peterson agreed that the faculty feel they are on equal standing. He said the Code is broad enough to cover different circumstances.

Provost Coward summarized that the changes to the Policy Manual emerged from extensive discussions about how to align the Policy Manual with multiple campuses encompassing instructional programs ranging from non-credit courses, to professional career and technical education certificates, to associate degree programs, to baccalaureate degree programs, to graduate degree programs. He again pointed out that Dr. Wickwar led the effort and is principally responsible for its completion and adoption.

Action: Trustee Foxley moved approval of Resolution 11-7-1a (Appendix A) the amendments to the USU Policy Manual, Section 401 – Composition and Authority of the Faculty; Section 402 – The Faculty Senate and Its Committees; Section 403 – Academic Freedom and Professional Responsibility; Section 404 – Faculty

Appointments; Section 405 – Tenured and Term Appointments: Evaluation, Promotion and Retention; Section 406 – Program Discontinuance, Financial Exigency and Financial Crisis; and Section 407 – Academic Due Process: Sanctions and Hearing Procedures. Trustee Peczuh, Jr. seconded the motion, and the voting was unanimous in the affirmative.

Chair Jibson extended congratulations to the committee for its work on the Code changes. He said their extensive effort and will help to make the merger complete.

II. Peer Institutions for Utah State University

The Commissioner's Office in the Utah System of Higher Education periodically works with each institution in the system to update lists of peer institutions. These lists are used for various financial and statistical comparisons. It is important to update the lists to ensure that peer group members remain representative of the nature and mission of the institution for which they are compared.

Peer institutions for USU include Colorado State University, Kansas State University, Montana State University, New Mexico State University, Oregon State University, the University of Idaho, the University of Nebraska-Lincoln, the University of Nevada-Reno; the University of Wyoming, and Washington State University (see Appendix B).

Trustee Watterson asked about the peer institutions for Utah Valley University, Weber State University, and the University of Utah. President Albrecht said all of the institutions have gone through a revision of peer institutions. The list for the U of U was approved at a prior meeting of the Board of Regents. The lists for the different institutions are very different.

Chair Jibson asked how President Albrecht and Provost Coward felt about the peer institutions chosen for USU. President Albrecht said this list was modified from the initial list after concerns were expressed. He said USU is supportive of the updated peer list.

Action: Trustee Foxley moved approval of Resolution 11-7-2b the updated list of Peer Institutions for Utah State University (Appendix B), and Trustee Foley seconded the motion. The voting was unanimous in the affirmative.

III. Board of Trustees Workshop

The Board of Trustees Workshop will be held on August 26. The agenda has not yet been set.

IV. Change in Date of Trustees Meeting

The date of the November Trustees meeting will change from November 11 to November 4.

V. Board of Regents Meeting Schedule for 2012

Sydney Peterson informed the Trustees that the schedule of meetings for 2012 will be determined after the schedule for the Board of Regents meetings is posted. For 2012, the Regents plan to schedule for six months at a time, so USU will also only schedule for six months.

The Special Meeting adjourned at 3:22 p.m.

Ronald W. Jibson, Chair

Sydney M. Peterson, Secretary
(Minutes Taken by Mira G. Thatcher)

Date Approved

26 August 2011

ITEM FOR ACTION

RE: Faculty and Staff Adjustments

The attached faculty and staff adjustments are submitted for the Trustees consideration. They have received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

The faculty and staff adjustments include five (5) changes in title or assignment.

RECOMMENDATION

The President and Provost recommend that the Board of Trustees approve the attached faculty and staff adjustments.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, The President and the Provost recommend that the Board of Trustees approve five (5) changes in title or assignment;

NOW, THEREFORE, BE IT RESOLVED, That the USU Board of Trustees hereby approve the recommendation of the faculty and staff adjustments.

RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES:

Date

Faculty and Staff Adjustments

a. Change in Title or Assignment

Vice President for Research and Dean of the School of Graduate Studies

Jeff R. Broadbent, Associate Vice President for Research and Professor, to be Associate Vice President for Research and Associate Dean of the School of Graduate Studies and Professor, effective 1 July 2011. Change in salary to \$120,000/12-month.

Shelley K. Lindauer, Associate Dean and Professor, to be Associate Vice President for Research and Associate Dean of the School of Graduate Studies and Professor, effective 1 July 2011. No change in salary.

Vice President for Student Services

Katie Jo Nielsen, Associate Director for Recruitment, to be Director of the Office of Admissions, effective 1 July 2011. Salary to be \$58,000/12-month.

College of Agriculture

Bruce E. Miller, Professor and Head, Department of Agricultural Systems Technology and Education, to also be Assistant Dean, School of Applied Sciences, Technology, and Education, College of Agriculture, effective 26 August 2011. No change in salary.

College of Humanities

Leon Anderson, Professor and Head, Department of Sociology, Social Work, and Anthropology, College of Humanities and Social Sciences, to be granted tenure, effective 1 July 2011. No change in salary.

ITEM FOR ACTION

RE: Certificate of Treasurer for May 2011

The attached Certificate of Treasurer for May 2011 is submitted for the Trustees consideration. It has received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

The State Appropriated Funds budget at 31 May 2011 totaled \$286,048,629, up \$36,463,601 (14.61%) over the same 2009-2010 period. The year-to-date state appropriated funds expenditures totaled \$230,932,783, up \$30,736,104 (15.35%) over the same 2009-2010 period and represented 81% of the total budget. The percent of budget expended, 81%, was 11% less than would be expected to be spent on a strict time of budget year expired basis.

Total expenditures for all funds totaled \$567,578,106, up \$57,999,045 (11.38%) over the same 2009-2010 period.

RECOMMENDATION

The President and Vice President for Business and Finance recommend that the Board of Trustees approve the Certificate of Treasurer for May 2011.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, Authorized invoices and supporting papers have been filed pertaining to those expenditures listed on the attached Certificate of Treasurer; and

WHEREAS, Expenditures listed on the attached Certificate of Treasurer have been reviewed and approved for payment by the USU Controller's Office, Purchasing Department, and other departments; and

WHEREAS, The expenditures listed on the attached Certificate of Treasurer are in accordance with the laws and rules of Utah State University and the State of Utah; and

WHEREAS, The Chief Financial Officer for Utah State University, David T. Cowley, Vice President for Business and Finance, has certified to the best of his knowledge and belief that all expenditures listed on the attached Certificate of Treasurer were legitimate claims against Utah State University and funds were available for payment of said claims; and

WHEREAS, Vice President Cowley requests approval of the listed expenditures by fund for the period 1 July 2010 to 31 May 2011 on the attached Certificate of Treasurer; and

WHEREAS, The President of Utah State University has reviewed the attached Certificate of Treasurer and recommends its approval of those expenditures listed thereon by the Utah State University Board of Trustees; and

WHEREAS, The USU Board of Trustees has reviewed and given due consideration, review, and authorization of the expenditures listed on the attached Certificate of Treasurer:

NOW, THEREFORE, BE IT RESOLVED, That the USU Board of Trustees hereby approves the attached Certificate of Treasurer as presented and ratifies the expenditures listed on said Certificate of Treasurer for May 2011.

=====

RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES:

Date

CERTIFICATE OF TREASURER

I, David T. Cowley, Vice President for Business and Finance, of Utah State University, do hereby certify as follows and request approval of the listed expenditures by fund for the period 1 July 2010 to 31 May 2011.

Authorization, invoices, and supporting papers have been filed pertaining to the following enumerated expenditures, which have been reviewed and processed for payment by the Controller's Office, Purchasing Department, and other departments; according to the laws, rules, and regulations of Utah State University and the State of Utah. To the best of my knowledge and belief, all are legitimate claims against Utah State University and funds were available for payment of said claims.

State Appropriated Funds	Budget	Percent of Budget Expended (92% Fiscal Year Expired)	Year to Date		Prior Year to Date		Increase (Decrease) from Prior Year	Percent Increase (Decrease) from Prior Year
			1-May-11	31-May-11	Prior Year	to Date		
Education and General (Except Athletics)	\$173,225,383	86%	\$13,385,345	\$149,779,389	\$143,392,326	\$6,387,063	4.45 %	
Athletics	3,889,722	95%	342,430	3,677,750	2,901,317	776,433	26.76 %	
Agricultural Experiment Station	15,827,415	65%	993,971	10,222,362	10,016,002	206,360	2.06 %	
UWRL Appropriation	3,158,410	50%	159,281	1,576,167	1,282,618	293,549	22.89 %	
UWRL Apportionment	5,706,506	52%	320,698	2,948,081	3,117,993	(169,912)	(5.45) %	
Tooele - Cont. Ed. Center	8,619,067	91%	404,281	7,865,860	6,666,791	1,199,069	17.99 %	
Educationally Disadvantaged	267,366	93%	28,001	248,741	235,686	13,055	5.54 %	
Southeastern Utah - Cont. Ed. Center	1,396,675	94%	73,596	1,313,924	1,226,991	86,933	7.09 %	
Utah Basin - Cont. Ed. Center	5,888,173	71%	346,488	4,154,441	4,616,084	(461,643)	(10.00) %	
Cooperative Extension	13,453,729	74%	885,938	9,951,663	10,363,302	(411,639)	(3.97) %	
Brigham City - Cont. Ed. Center	17,365,605	91%	503,561	15,852,870	12,004,905	3,847,965	32.05 %	
USTAR	16,781,006	44%	573,241	7,418,299	4,372,664	3,045,635	69.65 %	
Price (USU-CEU)	15,274,223	75%	1,104,168	11,501,828	-	11,501,828	-	
Blanding Campus (USU-CEU)	3,338,820	90%	287,626	3,009,954	-	3,009,954	-	
Educationally Disadvantaged (USU-CEU)	107,437	86%	6,106	92,040	-	92,040	-	
Prehistoric Museum (USU-CEU)	373,192	56%	19,834	209,184	-	209,184	-	
Workforce Education (USU-CEU)	1,375,900	81%	102,775	1,110,230	-	1,110,230	-	
Total State Appropriated Funds	\$286,048,629	81%	19,537,340	230,932,783	200,196,679	30,736,104	15.35 %	
Total State Appropriated Funds 2009-2010	\$249,585,028							
Increase from 2009-2010	\$36,463,601							
Percent Increase from 2009-2010	14.61%							

	1-May-11	31-May-11	Year to Date	Prior Year to Date	Increase (Decrease) from Prior Year	Percent Increase (Decrease) from Prior Year
Other Unrestricted Funds						
Overhead Reimbursement for R & D Designated	\$612,543		\$6,860,694	\$6,657,801	\$202,893	3.05 %
Service Departments	4,236,654		47,661,176	43,984,113	3,677,063	8.36 %
Auxiliary Enterprises (Except Athletics)	3,695,645		39,951,125	37,903,861	2,047,264	5.40 %
Athletics - USU	2,682,154		32,478,205	29,548,412	2,929,793	9.92 %
	848,180		10,321,999	11,045,987	(723,988)	(6.55) %
	\$12,075,176		\$137,273,199	129,140,174	\$8,133,025	6.30 %

Other Restricted Funds						
Instruction	1,958,107		16,156,916	11,799,235	4,357,681	36.93 %
Research	6,170,905		54,835,508	48,111,416	6,724,092	13.98 %
Public Service	3,533,522		34,192,481	31,345,508	2,846,973	9.08 %
Academic Support	484,125		4,030,834	3,657,572	373,262	10.21 %
Student Services	233,069		2,815,324	2,646,676	168,648	6.37 %
Institutional Support	112,603		1,106,525	1,085,165	21,360	1.97 %
Operation and Maintenance of Plant	20,986		35,600	28,143	7,457	26.50 %
Scholarships and Fellowships	3,987,195		55,656,358	44,133,423	11,522,935	26.11 %
Service Departments	1,045		13,267	14,618	(1,351)	(9.24) %
Auxiliary Enterprises	70,409		764,559	726,697	37,862	5.21 %
	16,571,966		169,607,372	143,548,453	26,058,919	18.15 %

Other Funds						
Plant Funds	1,942,949		27,421,157	35,285,661	(7,864,504)	(22.29) %
Associated Students	197,419		1,649,493	991,315	658,178	66.39 %
Other Agency Funds	58,226		694,102	416,779	277,323	66.54 %
	2,198,594		29,764,752	36,693,755	(6,929,003)	(18.88) %
Total All Funds	\$50,383,076		\$567,578,106	\$509,579,061	\$57,999,045	11.38 %


 David T. Cowley

8/12/11
 Date

ITEM FOR ACTION

RE: Certificate of Treasurer for June 2011

The attached Certificate of Treasurer for June 2011 is submitted for the Trustees consideration. It has received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

The State Appropriated Funds budget at 30 June 2011 totaled \$292,341,067, up \$42,756,039 (17.13%) over the same 2009-2010 period. The year-to-date state appropriated funds expenditures totaled \$253,405,542, up \$33,570,732 (15.27%) over the same 2009-2010 period and represented 87% of the total budget. The percent of budget expended, 87%, was 13% less than would be expected to be spent on a strict time of budget year expired basis.

Total expenditures for all funds totaled \$623,211,551, up \$66,743,690 (11.99%) over the same 2009-2010 period.

RECOMMENDATION

The President and Vice President for Business and Finance recommend that the Board of Trustees approve the Certificate of Treasurer for June 2011.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, Authorized invoices and supporting papers have been filed pertaining to those expenditures listed on the attached Certificate of Treasurer; and

WHEREAS, Expenditures listed on the attached Certificate of Treasurer have been reviewed and approved for payment by the USU Controller's Office, Purchasing Department, and other departments; and

WHEREAS, The expenditures listed on the attached Certificate of Treasurer are in accordance with the laws and rules of Utah State University and the State of Utah; and

WHEREAS, The Chief Financial Officer for Utah State University, David T. Cowley, Vice President for Business and Finance, has certified to the best of his knowledge and belief that all expenditures listed on the attached Certificate of Treasurer were legitimate claims against Utah State University and funds were available for payment of said claims; and

WHEREAS, Vice President Cowley requests approval of the listed expenditures by fund for the period 1 July 2010 to 30 June 2011 on the attached Certificate of Treasurer; and

WHEREAS, The President of Utah State University has reviewed the attached Certificate of Treasurer and recommends its approval of those expenditures listed thereon by the Utah State University Board of Trustees; and

WHEREAS, The USU Board of Trustees has reviewed and given due consideration, review, and authorization of the expenditures listed on the attached Certificate of Treasurer:

NOW, THEREFORE, BE IT RESOLVED, That the USU Board of Trustees hereby approves the attached Certificate of Treasurer as presented and ratifies the expenditures listed on said Certificate of Treasurer for June 2011.

=====
RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES:

Date

PRELIMINARY

CERTIFICATE OF TREASURER

I, David T. Cowley, Vice President for Business and Finance, of Utah State University, do hereby certify as follows and request approval of the listed expenditures by fund for the period 1 July 2010 to 30 June 2011.

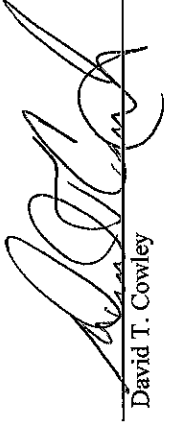
Authorization, invoices, and supporting papers have been filed pertaining to the following enumerated expenditures, which have been reviewed and processed for payment by the Controller's Office, Purchasing Department, and other departments; according to the laws, rules, and regulations of Utah State University and the State of Utah. To the best of my knowledge and belief, all are legitimate claims against Utah State University and funds were available for payment of said claims.

State Appropriated Funds	Budget	Percent of Budget Expended (100% Fiscal Year Expired)	1-Jun-11	30-Jun-11	Year to Date	Prior Year to Date	Increase (Decrease) from Prior Year	Percent Increase (Decrease) from Prior Year
Education and General (Except Athletics)	\$172,003,796	95%	\$13,453,025	\$163,232,415	\$156,865,213	\$6,367,202	4.06 %	
Athletics	4,185,730	96%	338,007	4,015,757	3,791,397	224,360	5.92 %	
Agricultural Experiment Station	15,896,252	72%	1,184,353	11,406,715	11,006,080	400,635	3.64 %	
UWRL Appropriation	3,158,410	57%	226,030	1,802,197	1,442,724	359,473	24.92 %	
UWRL Apportionment	5,706,506	58%	345,728	3,293,809	3,450,721	(156,912)	(4.55) %	
Tooele - Cont. Ed. Center	10,379,147	85%	938,454	8,804,314	7,269,992	1,534,322	21.10 %	
Educationally Disadvantaged	267,366	99%	14,822	263,563	249,379	14,184	5.69 %	
Southeastern Utah - Cont. Ed. Center	1,595,148	92%	159,690	1,473,614	1,331,875	141,739	10.64 %	
Utah Basin - Cont. Ed. Center	6,150,694	74%	416,679	4,571,120	5,075,551	(504,431)	(9.94) %	
Cooperative Extension	13,480,116	85%	1,456,367	11,408,030	11,384,607	23,423	0.21 %	
Brigham City - Cont. Ed. Center	21,490,188	80%	1,240,384	17,093,255	12,784,415	4,308,840	33.70 %	
USTAR	16,781,006	50%	914,231	8,332,530	5,182,856	3,149,674	60.77 %	
Price (USU-CEU)	15,728,731	81%	1,313,655	12,815,484	-	12,815,484	-	
Blanding Campus (USU-CEU)	3,625,885	92%	319,610	3,329,564	-	3,329,564	-	
Educationally Disadvantaged (USU-CEU)	107,437	92%	6,795	98,835	-	98,835	-	
Prehistoric Museum (USU-CEU)	373,192	62%	21,172	230,356	-	230,356	-	
Workforce Education (USU-CEU)	1,411,463	87%	123,754	1,233,984	-	1,233,984	-	
Total State Appropriated Funds	\$292,341,067	87%	22,472,755	253,405,542	219,834,810	33,570,732	15.27 %	
Total State Appropriated Funds 2009-2010	\$249,585,028							
Increase from 2009-2010	\$42,756,039							
Percent Increase from 2009-2010	17.13%							

PRELIMINARY

	1-Jun-11	30-Jun-11	Year to Date	Prior Year to Date	Increase (Decrease) from Prior Year	Percent Increase (Decrease) from Prior Year
Other Unrestricted Funds						
Overhead Reimbursement for R & D Designated	\$1,282,688	\$8,143,382	\$7,507,920	\$635,462	8.46 %	
Service Departments	6,373,060	54,034,236	50,331,744	3,702,492	7.36 %	
Auxiliary Enterprises (Except Athletics)	4,643,712	44,594,837	42,084,979	2,509,858	5.96 %	
Athletics - USU	2,656,370	35,134,577	32,504,491	2,630,086	8.09 %	
	675,185	10,997,183	10,803,824	193,359	1.79 %	
	\$15,631,015	\$152,904,215	143,232,958	\$9,671,257	6.75 %	
Other Restricted Funds						
Instruction	2,202,816	18,359,731	13,217,762	5,141,969	38.90 %	
Research	6,012,478	60,847,986	53,560,698	7,287,288	13.61 %	
Public Service	4,215,252	38,407,733	34,648,209	3,759,524	10.85 %	
Academic Support	474,219	4,505,054	4,032,194	472,860	11.73 %	
Student Services	468,030	3,283,354	2,831,054	452,300	15.98 %	
Institutional Support	152,490	1,259,015	1,143,770	115,245	10.08 %	
Operation and Maintenance of Plant	7,927	43,527	29,380	14,147	48.15 %	
Scholarships and Fellowships	437,727	56,094,085	43,149,758	12,944,327	30.00 %	
Service Departments	(177)	13,089	14,618	(1,529)	(10.46) %	
Auxiliary Enterprises	84,362	848,921	773,342	75,579	9.77 %	
	14,055,124	183,662,495	153,400,785	30,261,710	19.73 %	
Other Funds						
Plant Funds	3,334,383	30,755,540	38,484,438	(7,728,898)	(20.08) %	
Associated Students	58,985	1,708,478	1,035,710	672,768	64.96 %	
Other Agency Funds	81,178	775,281	479,160	296,121	61.80 %	
	3,474,546	33,239,299	39,999,308	(6,760,009)	(16.90) %	
Total All Funds	\$55,633,440	\$623,211,551	\$556,467,861	\$66,743,690	11.99 %	

8/12/11
Date


David T. Cowley

ITEM FOR ACTION

RE: Report of Investments for May 2011

The attached Report of Investments for May 2011 is submitted for the Trustees consideration. It has received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

This set of investment reports presents investment activity for May 2011 and comparative year-to-date totals for FY 2010-2011 and FY 2009-2010.

CASH MANAGEMENT INVESTMENT POOL

The average daily fair value invested during May 2011 was \$245,506,069, down \$6,322,704 from April 2011. Total investment income was \$3,078,110, up \$733,592 over April 2011, reflecting the decrease in the amount available for investing and an increase in total investment return. The annualized total investment return was 14.56%, up 3.39% over April 2011.

Year-to-date numbers show that the average daily fair value invested for FY 2010-2011 was \$252,576,995, up \$24,338,912 (10.66%) over FY 2009-2010. Total interest income for FY 2010-2011 amounted to \$8,943,098, down \$148,492 (1.63%) from FY 2009-2010, reflecting an increase in the amount available for investing and a decrease in interest rates.

The total amount invested at 31 May 2011 was \$248,871,510, up \$26,069,230 (11.70%) over 31 May 2010.

ENDOWMENT POOL

The average daily fair value invested during May 2011 was \$102,120,384, up \$1,146,753 over April 2011. Interest and dividend income of \$210,171 plus net realized gains of \$3,758 totaled \$213,929 in realized income for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2010-2011 was \$92,375,525, up \$13,571,615 (17.22%) over FY 2009-2010. Total realized income for FY 2010-2011 was \$2,572,684, up \$267,477 (11.60%) over FY 2009-2010. This increase resulted from \$280,515 more in interest and dividends and \$13,038 less net realized gains during FY 2010-2011.

The total amount invested at 31 May 2011 was \$101,849,486, up \$18,377,177 (22.02%) over 31 May 2010.

OTHER INVESTMENTS

The average daily fair value invested during May 2011 was \$17,188,806, down \$165,003 from April 2011. Interest and dividend income of \$31,328 plus net realized gains of \$160,299 totaled \$191,627 in realized income for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2010-2011 was \$17,814,664, up \$15,695,214 (740.53%) over FY 2009-2010. Total realized income for FY 2010-2011 was \$1,320,371, up \$1,265,241 (2295.01%) over FY 2009-2010. This increase resulted from \$340,295 more in interest and dividend income and \$924,946 more in net realized gains during FY 2010-2011.

The total amount invested at 31 May 2011 was \$16,860,993, up \$14,851,145 (738.92%) over 31 May 2010.

ENDOWMENT TRUSTS

The average daily fair value invested during May 2011 was \$7,282,361 up \$73,136 over April 2011. Interest and dividend income of \$18,697 plus net realized gains of \$921 totaled \$19,618 in realized income for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2010-2011 was \$6,396,227, up \$1,958,787 (44.14%) over FY 2009-2010. Total realized income for FY 2010-2011 was \$212,266, down \$157,164 (42.54%) from FY 2009-2010. This decrease resulted from \$131,837 more interest and dividend income and \$289,001 less net realized gains during FY 2010-2011.

The total amount invested at 31 May 2011 was \$7,270,709, up \$2,890,745 (66.00%) over 31 May 2010.

PLANT FUND TRUSTS

The average daily fair value invested during May 2011 was \$2,728,645, up \$422,435 over April 2011. Interest income totaled \$8,614 in realized income for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2010-2011 was \$4,051,100, down \$14,351,733 (77.99%) from FY 2009-2010. Total realized income for FY 2010-2011 was \$97,738, down \$15,279 (13.52%) from FY 2009-2010. This decrease reflects the decreased amount available for investing and an increase in the rate of return.

The total amount invested at 31 May 2011 was \$3,083,663, down \$9,590,155 (75.67%) from 31 May 2010.

SUMMARY OF INVESTMENT TRANSACTIONS

The University's average daily fair value invested for the month of May 2011 was \$374,826,265. Purchases totaled \$19,987,352 and sales totaled \$21,618,720. From this activity the University realized net gains of \$164,978 and earnings of \$1,133,213.

RECOMMENDATION

The President and Vice President for Business and Finance recommend that the Board of Trustees approve the Report of Investments for May 2011.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, The attached Report of Investments containing authorized transactions, documentation, and supporting papers has been filed for review by the Board of Trustees pertaining to the investment activities; and

WHEREAS, The investment transactions listed on the attached Report of Investments have been approved by the USU Controller's Office; and

WHEREAS, The investment activities listed on the attached Report of Investments are in accordance with the Utah State Money Management Act, the rules of the Utah State Money Management Council, the Utah State Uniform Prudent Management of Institutional Funds Act, and the laws and rules of Utah State University and the State of Utah; and

WHEREAS, The Chief Financial Officer for Utah State University, David T. Cowley, Vice President for Business and Finance, has certified to the best of his knowledge and belief all investment transactions listed on the attached Report of Investments were made in accordance with the guidelines, rules, and laws; and

WHEREAS, Vice President Cowley requests approval of the attached Report of Investments for the period 1 May 2011 to 31 May 2011 and comparative year-to-date totals for the periods 1 July 2010 to 31 May 2011 and 1 July 2009 to 31 May 2010; and

WHEREAS, The President of Utah State University has reviewed the attached report and recommends its approval by the Utah State University Board of Trustees; and

WHEREAS, The USU Board of Trustees has reviewed and given due consideration, review, and authorization of the investment transactions listed on the attached Report of Investments for the period 1 May 2011 to 31 May 2011 and comparative year-to-date totals for the periods 1 July 2010 to 31 May 2011 and 1 July 2009 to 31 May 2010:

NOW, THEREFORE, BE IT RESOLVED, That the USU Board of Trustees hereby approves the attached Report of Investments as presented and ratifies the transactions listed on said Report of Investments for May 2011.

=====
RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES:

Date

OFFICE OF THE VICE PRESIDENT
FOR FINANCE AND BUSINESS
1445 Old Main Hill
Logan, UT 84322-1445
(435) 797-1146
FAX: (435) 797-0710

UTAH STATE UNIVERSITY
REPORT OF INVESTMENTS
MAY 2011

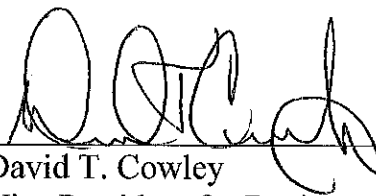
The following schedules (A through E2) provide a report of the University's Investments. To the best of my knowledge, Utah State University is in compliance with the Utah State Money Management Act and the rules of the Utah State Money Management Council and the Utah State Uniform Prudent Management of Institutional Funds Act.



Rick G. Allen
Controller

8/1/2011

Date



David T. Cowley
Vice President for Business and Finance

8/12/11

Date

UTAH STATE UNIVERSITY
CASH MANAGEMENT INVESTMENT POOL
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule A-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest Income	Less Service Charges	Net Interest Income
Jul 2010	\$216,853,500	\$46,000,000	\$32,833,952	(\$621,355)	\$229,398,193	\$222,812,096	\$698,576	\$245	\$698,331
Aug 2010	229,398,193	68,205,650	36,600,000	792,325	261,796,168	236,844,006	762,942	(85)	763,027
Sep 2010	261,796,168	38,000,000	34,000,000	(327,360)	265,468,808	260,286,867	814,776	(175)	814,951
Oct 2010	265,468,808	20,330,000	26,000,000	(585,788)	259,213,020	258,493,103	904,224	1,359	902,865
Nov 2010	259,213,020	25,000,000	33,000,000	(747,116)	250,465,904	249,883,533	794,810	0	794,810
Dec 2010	250,465,904	26,993,000	18,000,000	(3,889,142)	255,569,762	242,175,845	854,278	0	854,278
Jan 2011	255,569,762	30,000,000	6,000,000	(655,009)	278,914,753	272,398,039	888,644	(55)	888,699
Feb 2011	278,914,753	12,000,000	20,000,000	237,777	271,152,530	274,686,057	830,420	(15)	830,435
Mar 2011	271,152,530	10,000,000	24,000,000	(5,353)	257,147,177	263,432,555	910,683	286	910,397
Apr 2011	257,147,177	17,000,000	27,214,550	1,725,176	248,657,803	251,828,773	619,342	(70)	619,412
May 2011	248,657,803	18,000,000	20,000,000	2,213,707	248,871,510	245,506,069	864,403	624	863,779
Jun 2011									

Comparative Totals:

Year-to-date									
FY 2010-11	\$216,853,500	\$311,528,650	\$277,648,502	(\$1,862,138)	\$248,871,510	\$252,576,995	\$8,943,098	\$2,114	\$8,940,984
FY 2009-10	199,880,452	310,001,082	286,538,651	(540,603)	222,802,280	228,238,083	9,091,590	5,284	9,086,306
Amt Change					26,069,230	24,338,912	(148,492)	(3,170)	(145,322)
% Change					11.70%	10.66%	-1.63%	-59.99%	-1.60%

Note: The Cash Management Investment Pool includes cash of all funds over estimated daily operating requirements.

UTAH STATE UNIVERSITY
 CASH MANAGEMENT INVESTMENT POOL
 SUMMARY OF INVESTMENT TRANSACTIONS AND PERFORMANCE
 For the Month of May 2011

Schedule A-2

	Sales		Earnings	Change in Fair Value	Total Investment Income	Average Daily Fair Value	Annualized Total Investment Return
	Purchases	Receipts					
Wells Fargo - Sweep Account			\$2		\$2		0.00%
Money Market Account	\$18,000,000	\$12,000,000	10,922		10,922	\$18,329,032	0.69%
Utah Public Treasurers' Investment Fund			46		46	100,000	0.53%
Time Certificates of Deposit		8,000,000	417,553		417,553	86,548,387	5.60%
Obligations of U. S. Government			378,211	\$1,815,594	2,193,805	127,198,650	20.03%
Municipal Bonds			57,669	398,113	455,782	13,330,000	39.71%
Total	\$18,000,000	\$20,000,000	\$864,403	\$2,213,707	\$3,078,110	\$245,506,069	14.56%

UTAH STATE UNIVERSITY
 SUMMARY OF CASH MANAGEMENT INVESTMENT POOL TRANSACTIONS
 For the Month of May 2011

Schedule A-3

	Purchases		Sales		Gain/(Loss)	Earnings
	Shares	Cost	Shares	Cost		
<u>Cash Management Investment Pool</u>						
Wells Fargo - Sweep Account		\$18,000,000				\$2
Money Market Account				\$12,000,000	\$0	10,922
Utah Public Treasurers' Investment Fund			8,000,000		0	46
Time Certificates of Deposit				8,000,000		417,553
Obligations of U. S. Government						378,211
Municipal Bonds						57,669
Total Cash Management Investment Pool		<u>\$18,000,000</u>		<u>\$20,000,000</u>	<u>\$0</u>	<u>\$864,403</u>

UTAH STATE UNIVERSITY
ENDOWMENT POOL
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule B-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest and Dividends	Realized Gain or (Loss)	Total Realized Income	Less Expenses	Net Realized Income/(Loss)
*Jul 2010	\$80,490,686	\$507,378	\$419,279	\$2,716,693	\$83,295,478	\$81,893,082	\$247,666	\$3,479	\$251,145	\$6,888	\$244,257
Aug 2010	83,295,478	482,862	366,240	(1,662,805)	81,749,295	82,522,387	176,817	3,758	180,575	6,826	173,749
Sep 2010	81,749,295	308,559	197,761	3,759,851	85,619,944	83,684,620	195,214	3,704	198,918	9,583	189,335
Oct 2010	85,619,944	3,594,700	246,451	1,782,212	90,750,405	88,185,175	172,153	4,316	176,469	7,471	168,998
Nov 2010	90,750,405	6,259,928	6,041,765	(54,440)	90,914,128	90,832,267	179,186	4,178	183,364	7,293	176,071
Dec 2010	90,914,128	755,358	194,108	2,502,316	93,977,694	92,445,911	511,984	4,499	516,483	10,798	505,685
Jan 2011	93,977,694	3,446,024	895,429	985,832	97,514,121	95,745,908	210,641	4,533	215,174	7,677	207,497
Feb 2011	97,514,121	441,541	413,300	1,650,003	99,192,365	98,353,243	210,205	(10,315)	199,890	7,002	192,888
Mar 2011	99,192,365	6,149,845	5,840,002	53,773	99,555,981	99,374,173	186,025	4,607	190,632	11,151	179,481
Apr 2011	99,555,981	4,452,144	3,378,350	1,761,506	102,391,281	100,973,631	241,226	4,879	246,105	9,124	236,981
May 2011	102,391,281	282,513	139,162	(685,146)	101,849,486	102,120,384	210,171	3,758	213,929	9,407	204,522
Jun 2011											

Comparative Totals:

Year-to-date											
FY 2010-11	\$80,490,686	\$26,680,852	\$18,131,847	\$12,809,795	\$101,849,486	\$92,375,525	\$2,541,288	\$31,396	\$2,572,684	\$93,220	\$2,479,464
FY 2009-10	69,522,394	11,395,745	4,425,340	6,979,510	83,472,309	78,803,910	2,260,773	44,434	2,305,207	110,793	2,194,414
Amt Change					18,377,177	13,571,615	280,515	(13,038)	267,477	(17,573)	285,050
% Change					22.02%	17.22%	12.41%	-29.34%	11.60%	-15.86%	12.99%

Note: The Endowment Pool includes endowment funds designated for long-term investment. Included in this pool are endowment funds invested in the University's Cash Management Investment Pool (CMIP) consisting of \$24,616,626 principal beginning balance, a \$24,735,063 ending balance, and a \$24,688,017 average daily balance for the current month. Current month interest and dividends from the CMIP were \$88,818 bringing the total to \$1,003,919 year-to-date. These amounts have also been reported in Schedules A-1 and A-2.

*The July beginning fair value has been adjusted to reflect the amount distributed to expendable accounts at fiscal year end.

UTAH STATE UNIVERSITY
SUMMARY OF ENDOWMENT POOL TRANSACTIONS
For the Month of May 2011

Schedule B-2

	Purchases		Shares	Cost	Sales		Receipts	Gain/(Loss)	Earnings
	Shares	Cost			Shares	Cost			
Endowment Pool Transactions									
Cash Management Investment Pool									
Utah State University		\$118,437							\$88,818
Equities									
Wells Fargo Advantage Index Fund #88									8,594
UTS SPDR Trust Ser 1 (SPY)									8,667
Mutual Funds									
High Yield Bond Fund - Administrator Class	4,307,349	13,698							13,697
Multi-Strategy Bond Fund			70,648	\$819	\$1,022		\$203		16,791
Multi-Strategy Equity Fund			30,422	1,708	6,219		4,511		31,560
PIMCO - Diversified Income Inst.	2,330,608	27,198							27,198
Obligations of U.S. Government									
Federal National Mfg Assn 6.0%			100,000,000	100,956	100,000		(956)		
GNMA			23,720	24	24		0		1,205
Interest									594
Corporate Bonds and Notes									
Bond Interest									
Alternatives									
Morgan Stanley									
Morgan Stanley TALF Fund (Cayman) L.P.				113	113		0		(113)
TIAA-CREF									
TIAA-CREF Core Property	17,273	6,965	0,293	611	611		0		3,012
Cash		3,012							
Money Market Funds									
Advantage Cash #250		103,058		3,619	3,619		0		3
Advantage Cash Institutional Class #451				26,000	26,000		0		
PENN Core High Yield Bond Fund II, L.P.		10,145		1,554	1,554		0		10,145
Total Endowment Pool Transactions		\$282,513		\$135,404	\$139,162		\$3,758		\$210,171

UTAH STATE UNIVERSITY
OTHER INVESTMENTS
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule C-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest and Dividends	Realized Gain or (Loss)	Total Realized Income
*Jul 2010	\$20,724,312	\$1,442,105	\$1,534,562	\$680,738	\$21,312,593	\$21,018,453	\$38,807	\$976	\$39,783
Aug 2010	21,312,593	1,540	2,687,395	(246,670)	18,380,068	19,846,331	37,857	1,166	39,023
Sep 2010	18,380,068	32,676	32,860	754,984	19,134,868	18,757,468	43,894	661	44,555
Oct 2010	19,134,868	74,672	3,267,773	435,308	16,377,075	17,755,972	34,211	832,380	866,591
Nov 2010	16,377,075	325,868	356,579	(54,007)	16,292,357	16,334,716	34,451	3,397	37,848
Dec 2010	16,292,357	1,170,718	1,036,512	380,095	16,806,658	16,549,508	35,569	(51,857)	(16,288)
Jan 2011	16,806,658	10,101	80,964	188,527	16,924,322	16,865,490	32,579	540	33,119
Feb 2011	16,924,322	6,411	6,383	308,744	17,233,094	17,078,708	32,615	1,145	33,760
Mar 2011	17,233,094	57,187	57,360	(41,922)	17,190,999	17,212,047	20,620	693	21,313
Apr 2011	17,190,999	29,289	63,278	359,608	17,516,618	17,353,809	28,023	1,017	29,040
May 2011	17,516,618	90,069	661,482	(84,212)	16,860,993	17,188,806	31,328	160,299	191,627
Jun 2011									

Comparative Totals:

Year-to-date									
FY 2010-11	\$20,724,312	\$3,240,636	\$9,785,148	\$2,681,193	\$16,860,993	\$17,814,664	\$369,954	\$950,417	\$1,320,371
FY 2009-10	1,900,219	2,616,448	2,630,551	123,732	2,009,848	2,119,450	29,659	25,471	55,130
Amt Change					14,851,145	15,695,214	340,295	924,946	1,265,241
% Change					738.92%	740.53%	1147.36%	3631.37%	2295.01%

Note: Other Investments include donor designated and other specified investments. The beginning fair value has been adjusted to include investments previously held by the College of Eastern Utah at 30 June 2010.

UTAH STATE UNIVERSITY
SUMMARY OF OTHER INVESTMENT TRANSACTIONS
For the Month of May 2011

Schedule C-2
Page 1 of 1

	Purchases		Sales		Gain/(Loss)	Earnings
	Shares	Cost	Shares	Cost		
<u>Other Investments</u>						
Corporate Bonds and Notes						
U.S. Treasury Bond						\$667
U.S. West Communications						60
Utah Public Treasurers' Investment Fund		\$394		\$4	\$0	393
Mutual Funds						
Commonfund						
CEU Title III						
Multi-Strategy Bond			40,191.745	448,646	132,527	19,274
Multi-Strategy Equity			6,658	408	953	6,907
Invesco - Van Kampen Funds A						
American Capital Harbor			4,447.270	52,120	26,819	3,291
Convertible Series A	3,730.578	78,939				
Alternatives						
TriCor						
Inland America Real Estate Trust	91.677	736				736
vSpring						
Kickstart Seed Fund I, L.P.		10,000				
Cash						
Charles Schwab				5	5	0
Total Other Investments		<u>\$90,069</u>		<u>\$501,183</u>	<u>\$160,299</u>	<u>\$31,328</u>

UTAH STATE UNIVERSITY
 ENDOWMENT TRUSTS
 SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule D-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest and Dividends	Realized Gain or (Loss)	Total Realized Income	Less Expenses	Net Realized Income/(Loss)
Jul 2010	\$4,162,814	\$23,027	\$43,830	\$339,682	\$4,481,693	\$4,322,254	\$13,486	\$2	\$13,488	\$500	\$12,988
Aug 2010	4,481,693	232,955	226,654	(120,041)	4,367,953	4,424,823	12,184	(16,557)	(4,373)	0	(4,373)
Sep 2010	4,367,953	3,111,481	1,049,486	363,638	6,793,586	5,580,770	54,463	55,933	110,396	0	110,396
Oct 2010	6,793,586	197,585	261,504	44,793	6,774,460	6,784,023	14,645	1,170	15,815	3,075	12,740
Nov 2010	6,774,460	19,272	4,532	(120,120)	6,669,080	6,721,770	14,616	0	14,616	4,009	10,607
Dec 2010	6,669,080	81,229	1,904	262,872	7,011,277	6,840,179	74,956	0	74,956	1,904	73,052
Jan 2011	7,011,277	1,321,633	1,399,263	92,566	7,026,213	7,018,745	33,977	70,918	104,895	2,271	102,624
Feb 2011	7,026,213	1,258,975	1,332,050	145,887	7,099,025	7,062,619	17,207	0	17,207	2,396	14,811
Mar 2011	7,099,025	71,483	53,388	7,317	7,124,437	7,111,731	27,034	1,724	28,758	1,630	27,128
Apr 2011	7,124,437	908,217	897,152	158,511	7,294,013	7,209,225	24,917	(208,027)	(183,110)	4,621	(187,731)
May 2011	7,294,013	392,401	383,063	(32,642)	7,270,709	7,282,361	18,697	921	19,618	1,342	18,276
Jun 2011											

Comparative Totals:

Year-to-date											
FY 2010-11	\$4,162,814	\$7,618,258	\$5,652,826	\$1,142,463	\$7,270,709	\$6,396,227	\$306,182	(\$93,916)	\$212,266	\$21,748	\$190,518
FY 2009-10	3,797,982	946,557	865,688	501,113	4,379,964	4,437,440	174,345	195,085	369,430	2,097	367,333
Amt Change					2,890,745	1,958,787	131,837	(289,001)	(157,164)	19,651	(176,815)
% Change					66.00%	44.14%	75.62%	-148.14%	-42.54%	937.10%	-48.13%

Note: Endowment Trusts include externally managed endowment trusts.

UTAH STATE UNIVERSITY
 SUMMARY OF ENDOWMENT TRUST INVESTMENT TRANSACTIONS
 For the Month of May 2011

Schedule D-2
 Page 1 of 1

Endowment Trusts	Purchases		Shares	Cost	Sales		Receipts	Gain/(Loss)	Earnings
	Shares	Cost			Cost	Gain/(Loss)			
Common and Preferred Stock									
Abbott Labs			2,000,000	\$75,796	\$104,837	\$29,041			
Seadrill LTD	3,000,000	\$102,248							\$16,689
Funds held at Morgan Stanley - Dividends									
Other									
Atlas Resources LP					166,908	(27,880)			138
Real Estate - Brigham City				194,788					
Mutual Funds									
Wells Fargo Strategic Inc #89			61,679	1,172	1,052	(120)			394
Wells Fargo Strategic Inc #89			61,644	1,171	1,051	(120)			394
Funds held at Bank of Utah - Dividends									1,075
Money Market Funds									
Federated Treasury Obligations Fund				169,046	2,267	0			1
Morgan Stanley - Money Market				119,003	105,448	0			6
Wells Fargo #451				1,052					
Wells Fargo #451				1,052					
Cash									
Morgan Stanley				1,500	1,500	0			
Total Endowment Trusts		\$392,401		\$382,142	\$383,063	\$921			\$18,697

UTAH STATE UNIVERSITY
PLANT FUND TRUSTS
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule E-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest Income	Realized Gain or (Loss)	Total Realized Income	Less Expenses	Net Realized Income/(Loss)
Jul 2010	\$10,674,107	\$784	\$0	(\$21,911)	\$10,652,980	\$10,673,425	\$9,283	\$0	\$9,283	\$0	\$9,283
Aug 2010	10,652,980	469	6,240,438	53,446	4,466,457	5,420,803	8,968	0	8,968	0	8,968
Sep 2010	4,466,457	1,297,049	82,550	(21,056)	5,659,900	5,118,861	9,112	0	9,112	0	9,112
Oct 2010	5,659,900	62,551	2,390,186	(35,483)	3,296,782	3,331,120	8,760	0	8,760	0	8,760
Nov 2010	3,296,782	4,258,689	722,455	(16,434)	6,816,582	4,730,335	9,042	0	9,042	0	9,042
Dec 2010	6,816,582	82,464	4,436,213	(22,503)	2,440,330	2,461,382	9,047	0	9,047	0	9,047
Jan 2011	2,440,330	239	169,740	(22,800)	2,248,029	2,417,931	8,736	0	8,736	0	8,736
Feb 2011	2,248,029	238	0	10,446	2,258,713	2,248,640	8,715	0	8,715	0	8,715
Mar 2011	2,258,713	3,658,879	2,079,544	(4,391)	3,833,657	3,124,746	8,930	0	8,930	0	8,930
Apr 2011	3,833,657	51,426	1,579,456	17,490	2,323,117	2,306,210	8,531	0	8,531	0	8,531
May 2011	2,323,117	1,222,369	435,013	(26,810)	3,083,663	2,728,645	8,614	0	8,614	0	8,614
Jun 2011											

Comparative Totals:

Year-to-date	\$10,674,107	\$10,635,157	\$18,135,595	(\$90,006)	\$3,083,663	\$4,051,100	\$97,738	\$0	\$97,738	\$0	\$97,738
FY 2010-11	22,951,558	9,786,991	20,059,129	(5,602)	12,673,818	18,402,833	112,829	188	113,017	0	113,017
Amt Change					(9,590,155)	(14,351,733)	(15,091)	(188)	(15,279)	0	(15,279)
% Change					-75.67%	-77.99%	-13.38%	-100.00%	-13.52%	0.00%	-13.52%

Note: Plant Fund Trusts include all debt service reserve and construction fund accounts in compliance with bond issue covenants.

UTAH STATE UNIVERSITY
 SUMMARY OF PLANT TRUST INVESTMENT TRANSACTIONS
 For the Month of May 2011

Schedule E-2

Plant Trusts	Purchases		Shares	Sales		Receipts	Gain/(Loss)	Earnings
	Shares	Cost		Cost	Gain/(Loss)			
Wells Fargo								
Cash		\$382,800						
Heritage Money Market		450,787		\$51,005	\$51,005	\$0		\$2
Build America Bonds								8,499
Utah Public Treasurers' Investment Fund		388,782		384,008	384,008			113
Total Plant Trusts		<u>\$1,222,369</u>		<u>\$435,013</u>	<u>\$435,013</u>	<u>\$0</u>		<u>\$8,614</u>

ITEM FOR ACTION

RE: Report of Investments for June 2011

The attached Report of Investments for June 2011 is submitted for the Trustees consideration. It has received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

This set of investment reports presents investment activity for June 2011 and comparative year-to-date totals for FY 2010-2011 and FY 2009-2010; investment portfolios at 30 June 2011; and Summary of Total Investment Returns for the Quarter Ended 30 June 2011 and year-to-date 1 July 2010 to 30 June 2011.

CASH MANAGEMENT INVESTMENT POOL

The average daily fair value invested during June 2011 was \$238,828,650, down \$6,677,419 from May 2011. Total investment loss was \$524,395, down \$3,602,505 from May 2011, reflecting the decrease in the amount available for investing and a decrease in total investment return. The annualized total investment return was -2.63%, down 17.19% from May 2011.

Year-to-date numbers show that the average daily fair value invested for FY 2010-2011 was \$251,431,299, up \$23,697,904 (10.41%) over FY 2009-2010. Total interest income for FY 2010-2011 amounted to \$9,726,847, down \$72,037 (0.74%) from FY 2009-2010, reflecting an increase in the amount available for investing and a decrease in interest rates.

The total amount invested at 30 June 2011 was \$232,563,366, up \$15,709,866 (7.24%) over 30 June 2010. Unrealized losses at 30 June 2011 were \$2,265,284.

ENDOWMENT POOL

The average daily fair value invested during June 2011 was \$101,459,302, down \$661,082 from May 2011. Interest and dividend income of \$390,143 plus net realized gains of \$2,452 totaled \$392,595 in realized income for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2010-2011 was \$93,132,507, up \$14,009,666 (17.71%) over FY 2009-2010. Total realized income for FY 2010-2011 was \$2,965,279, up \$664,743 (28.90%) over FY 2009-2010. This increase resulted from \$679,374 more in interest and dividends and \$14,631 less net realized gains during FY 2010-2011.

The total amount invested at 30 June 2011 was \$101,069,118, up \$19,279,269 (23.57%) over 30 June 2010. Unrealized gains at 30 June 2011 were \$17,994,851.

OTHER INVESTMENTS

The average daily fair value invested during June 2011 was \$16,690,637, down \$498,169 from May 2011. Interest and dividend income of \$38,272 minus net realized losses of \$25,431 totaled \$12,841 in realized income for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2010-2011 was \$17,720,995, up \$15,609,239 (739.16%) over FY 2009-2010. Total realized income for FY 2010-2011 was \$1,333,212, up \$1,274,031 (2152.77%) over FY 2009-2010. This increase resulted from \$374,516 more in interest and dividend income and \$899,515 more in net realized gains during FY 2010-2011.

The total amount invested at 30 June 2011 was \$16,520,281, up \$14,475,903 (708.08%) over 30 June 2010. Unrealized gains at 30 June 2011 were \$5,704,530.

ENDOWMENT TRUSTS

The average daily fair value invested during June 2011 was \$7,250,783 down \$31,578 from May 2011. Interest and dividend income of \$25,324 minus net realized losses of \$24,016 totaled \$1,308 in realized income for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2010-2011 was \$6,467,440, up \$2,043,837 (46.20%) over FY 2009-2010. Total realized income for FY 2010-2011 was \$213,574, down \$149,274 (41.14%) from FY 2009-2010. This decrease resulted from \$150,449 more interest and dividend income and \$299,723 less net realized gains during FY 2010-2011.

The total amount invested at 30 June 2011 was \$7,230,857, up \$3,068,043 (73.70%) over 30 June 2010. Unrealized gains at 30 June 2011 were \$822,736.

PLANT FUND TRUSTS

The average daily fair value invested during June 2011 was \$2,440,058, down \$288,587 from May 2011. Interest income totaled \$8,570 in realized income for the month.

Year-to-date numbers show that the average daily fair value invested for FY 2010-2011 was \$3,916,846, down \$13,839,181 (77.94%) from FY 2009-2010. Total realized income for FY 2010-2011 was \$106,308, down \$15,813 (12.95%) from FY 2009-2010. This decrease reflects the decreased amount available for investing and an increase in the rate of return.

The total amount invested at 30 June 2011 was \$2,568,919, down \$8,105,188 (75.93%) from 30 June 2010. Unrealized losses at 30 June 2011 were \$33,178.

SUMMARY OF INVESTMENT TRANSACTIONS

The University's average daily fair value invested for the month of June 2011 was \$366,669,430.

Purchases totaled \$12,558,656 and sales totaled \$27,568,100. From this activity the University realized net losses of \$46,995 and earnings of \$1,246,058.

SUMMARY OF TOTAL INVESTMENT RETURNS

The Endowment Pool composite benchmark is established based on the asset allocation of the Endowment Pool. At 30 June 2011 the Endowment Pool asset allocation was 25.16% cash, 16.80% fixed income, 58.04% equities. The benchmarks used include the Utah State Public Treasurer's Investment Fund, Barclay's Capital U.S. Aggregate Bond Index, and the S&P 500 Index. The composite benchmark for the Endowment Pool at 30 June 2011 was 15.28%

The Endowment Pool fiscal year-to-date annualized rate of return including market fluctuations was 17.30% at 30 June 2011. The Endowment Pool outperformed its benchmark by 202 bp year-to-date at 30 June 2011.

The Cash Management Investment Pool fiscal year-to-date annualized rate of return including market fluctuations was 2.61% at 30 June 2011. The benchmark for the Cash Management Investment Pool is the Utah Public Treasurer's Investment Fund (PTIF). The PTIF fiscal year-to-date annualized rate of return was 0.53% at 30 June 2011. The Cash Management Investment Pool outperformed its benchmark by 208 bp year-to-date at 30 June 2011.

ENDOWMENT FUNDS

The fair value of invested endowment funds at 30 June 2011 was \$213.9 million, down \$2.8 million (1.31%) from 31 March 2011. This decrease includes the change in fair value, and new gifts received through 30 June 2011.

Year to date, the endowment funds have increased \$43.3 million (25.38%) over 30 June 2010.

Endowment funds are currently invested 35.64% in the Endowment Pool, 53.29% in the Cash Management Investment Pool, 3.38% in the various Endowment Trusts and 7.69% in Other Investments.

RECOMMENDATION

The President and Vice President for Business and Finance recommend that the Board of Trustees approve the Report of Investments for June 2011.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, The attached Report of Investments containing authorized transactions, documentation, and supporting papers has been filed for review by the Board of Trustees pertaining to the investment activities; and

WHEREAS, The investment transactions listed on the attached Report of Investments have been approved by the USU Controller's Office; and

WHEREAS, The investment activities listed on the attached Report of Investments are in accordance with the Utah State Money Management Act, the rules of the Utah State Money Management Council, the Utah State Uniform Prudent Management of Institutional Funds Act, and the laws and rules of Utah State University and the State of Utah; and

WHEREAS, The Chief Financial Officer for Utah State University, David T. Cowley, Vice President for Business and Finance, has certified to the best of his knowledge and belief all investment transactions listed on the attached Report of Investments were made in accordance with the guidelines, rules, and laws; and

WHEREAS, Vice President Cowley requests approval of the attached Report of Investments for the period 1 June 2011 to 30 June 2011 and comparative year-to-date totals for the periods 1 July 2010 to 30 June 2011 and 1 July 2009 to 30 June 2010, and the investment portfolios at 30 June 2011; and

WHEREAS, The President of Utah State University has reviewed the attached report and recommends its approval by the Utah State University Board of Trustees; and

WHEREAS, The USU Board of Trustees has reviewed and given due consideration, review, and authorization of the investment transactions listed on the attached Report of Investments for the period 1 June 2011 to 30 June 2011 and comparative year-to-date totals for the periods 1 July 2010 to 30 June 2011 and 1 July 2009 to 30 June 2010 and the investment portfolios at 30 June 2011:

NOW, THEREFORE, BE IT RESOLVED, That the USU Board of Trustees hereby approves the attached Report of Investments as presented and ratifies the transactions listed on said Report of Investments for June 2011.

=====
RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES:

Date

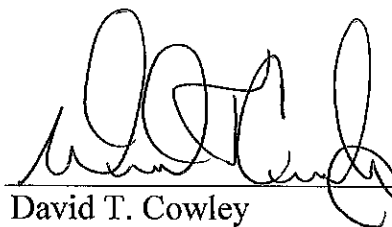
UTAH STATE UNIVERSITY
REPORT OF INVESTMENTS
JUNE 2011

The following schedules (A through G) provide a report of the University's Investments. To the best of my knowledge, Utah State University is in compliance with the Utah State Money Management Act and the rules of the Utah State Money Management Council and the Utah State Uniform Prudent Management of Institutional Funds Act.



Rick G. Allen
Controller

8/15/11
Date



David T. Cowley
Vice President for Business and Finance

8/12/11
Date

UTAH STATE UNIVERSITY
CASH MANAGEMENT INVESTMENT POOL
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule A-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest Income	Less Service Charges	Net Interest Income
Jul 2010	\$216,853,500	\$46,000,000	\$32,833,952	(\$621,355)	\$229,398,193	\$222,812,096	\$698,576	\$245	\$698,331
Aug 2010	229,398,193	68,205,650	36,600,000	792,325	261,796,168	236,844,006	762,942	(85)	763,027
Sep 2010	261,796,168	38,000,000	34,000,000	(327,360)	265,468,808	260,286,867	814,776	(175)	814,951
Oct 2010	265,468,808	20,330,000	26,000,000	(585,788)	259,213,020	258,493,103	904,224	1,359	902,865
Nov 2010	259,213,020	25,000,000	33,000,000	(747,116)	250,465,904	249,883,533	794,810	0	794,810
Dec 2010	250,465,904	26,993,000	18,000,000	(3,889,142)	255,569,762	242,175,845	854,278	0	854,278
Jan 2011	255,569,762	30,000,000	6,000,000	(655,009)	278,914,753	272,398,039	888,644	(55)	888,699
Feb 2011	278,914,753	12,000,000	20,000,000	237,777	271,152,530	274,686,057	830,420	(15)	830,435
Mar 2011	271,152,530	10,000,000	24,000,000	(5,353)	257,147,177	263,432,555	910,683	286	910,397
Apr 2011	257,147,177	17,000,000	27,214,550	1,725,176	248,657,803	251,828,773	619,342	(70)	619,412
May 2011	248,657,803	18,000,000	20,000,000	2,213,707	248,871,510	245,506,069	864,403	624	863,779
Jun 2011	248,871,510	10,000,000	25,000,000	(1,308,144)	232,563,366	238,828,650	783,749	617	783,132
	<u>\$216,853,500</u>	<u>\$321,528,650</u>	<u>\$302,648,502</u>	<u>(\$3,170,282)</u>	<u>\$232,563,366</u>	<u>\$251,431,299</u>	<u>\$9,726,847</u>	<u>\$2,731</u>	<u>\$9,724,116</u>

Comparative Totals:

Year-to-date	\$216,853,500	\$321,528,650	\$302,648,502	(\$3,170,282)	\$232,563,366	\$251,431,299	\$9,726,847	\$2,731	\$9,724,116
FY 2009-10	199,880,452	324,001,082	306,538,651	(489,383)	216,853,500	227,733,396	9,798,884	4,795	9,794,089
Amt Change					15,709,866	23,697,904	(72,037)	(2,064)	(69,973)
% Change					7.24%	10.41%	-0.74%	-43.04%	-0.71%

Note: The Cash Management Investment Pool includes cash of all funds over estimated daily operating requirements.

UTAH STATE UNIVERSITY
CASH MANAGEMENT INVESTMENT POOL PORTFOLIO
30 June 2011

Schedule A-1-A
Page 1 of 2

Description	Interest Rate	Date Acquired	Maturity Date	Cost	Fair Value	Unrealized Gain/(Loss)
Money Market						
Bank of Utah - Public Treasurer Acct	0.940%			\$6,100,000	\$6,100,000	\$0
Zions Bank - Public Treasurer Acct	0.510%			8,100,000	8,100,000	0
				14,200,000	14,200,000	0
Public Treasurers' Investment Fund						
Utah Public Treasurers' Investment Fund	0.539%			100,000	100,000	0
				100,000	100,000	0
Time Certificates of Deposit						
Wells Fargo Bank	4.920%	07/21/08	08/21/11	4,000,000	4,000,000	0
Wells Fargo Bank	5.440%	09/22/08	02/22/12	5,000,000	5,000,000	0
Wells Fargo Bank	5.180%	08/25/08	07/25/12	5,000,000	5,000,000	0
Wells Fargo Bank	5.230%	08/21/08	08/21/12	7,000,000	7,000,000	0
Wells Fargo Bank	5.300%	08/15/08	09/15/12	7,000,000	7,000,000	0
Wells Fargo Bank	5.420%	10/15/07	10/15/12	5,000,000	5,000,000	0
Wells Fargo Bank	4.220%	02/05/08	02/05/13	8,000,000	8,000,000	0
Wells Fargo Bank	5.330%	12/14/07	06/14/13	15,000,000	15,000,000	0
Wells Fargo Bank	5.230%	12/19/07	06/19/13	10,000,000	10,000,000	0
Wells Fargo Bank	4.320%	01/22/08	07/22/13	9,000,000	9,000,000	0
Wells Fargo Bank	4.650%	02/19/08	08/19/13	5,000,000	5,000,000	0
Wells Fargo Bank	5.130%	01/08/09	12/08/13	5,000,000	5,000,000	0
				85,000,000	85,000,000	0
Obligations of U. S. Government						
Fannie Mae FNMA	2.000-6.000%	07/21/10	07/21/20	5,000,000	5,004,080	4,080
Fannie Mae FNMA	1.000-5.000%	01/28/11	01/28/21	5,000,000	5,001,465	1,465
Federal Home Loan Bank	4.180%	03/15/11	03/15/21	5,000,000	5,041,760	41,760
Federal Home Loan Bank	3.000-5.500%	08/25/10	08/25/22	4,645,350	4,581,961	(63,389)
Federal Farm Credit FFCB	3.700%	08/17/10	05/17/23	5,000,000	4,881,355	(118,645)
Freddie Mac	3.000-7.000%	07/20/10	07/20/23	5,000,000	5,002,735	2,735

UTAH STATE UNIVERSITY
CASH MANAGEMENT INVESTMENT POOL PORTFOLIO
30 June 2011

Schedule A-1-A
Page 2 of 2

Description	Interest Rate	Date Acquired	Maturity Date	Cost	Fair Value	Unrealized Gain/(Loss)
Obligations of U. S. Government (cont...)						
Federal Farm Credit FFCB	3.620%	09/09/10	09/09/24	\$5,000,000	\$4,824,485	(\$175,515)
Fannie Mae FNMA	3.125-7.125%	07/16/10	07/14/25	5,000,000	4,997,145	(2,855)
Federal Farm Credit FFCB	4.150%	07/15/10	07/15/25	5,000,000	4,979,985	(20,015)
Fannie Mae FNMA	3.000-6.000%	08/25/10	08/25/25	10,533,900	10,249,821	(284,079)
Federal Home Loan Bank	3.000-10.000%	08/26/10	08/26/25	4,992,500	4,873,340	(119,160)
Federal Home Loan Bank	3.000-10.000%	08/26/10	08/26/25	8,033,900	7,723,001	(310,899)
Federal Farm Credit FFCB	3.590%	09/02/10	09/02/25	10,000,000	9,390,590	(609,410)
Federal Home Loan Bank	4.000%	09/30/10	09/30/25	7,000,000	6,899,907	(100,093)
Fannie Mae FNMA	4.000%	11/26/10	11/26/25	5,000,000	4,856,895	(143,105)
Fannie Mae FNMA	3.000-6.000%	12/03/10	12/03/25	5,000,000	4,945,475	(54,525)
Fannie Mae FNMA	4.100%	12/17/10	12/17/25	6,993,000	6,812,316	(180,684)
Federal Farm Credit FFCB	4.600%	01/20/11	01/20/26	5,000,000	5,004,365	4,365
Fannie Mae FNMA	2.000-5.000%	06/23/11	06/23/26	5,000,000	4,944,415	(55,585)
Federal Home Loan Bank	4.125%	11/18/10	05/18/28	10,000,000	9,766,850	(233,150)
				<u>122,198,650</u>	<u>119,781,946</u>	<u>(2,416,704)</u>
Municipal Bonds						
West Valley City Bonds	4.850%	10/20/10	11/01/15	985,000	969,240	(15,760)
West Valley City Bonds	4.850%	10/20/10	11/01/16	1,050,000	1,033,200	(16,800)
West Valley City Bonds	4.850%	10/20/10	11/01/17	1,115,000	1,097,160	(17,840)
West Valley City Bonds	4.850%	10/20/10	11/01/18	1,180,000	1,161,120	(18,880)
West Valley City Bonds	4.850%	10/20/10	11/01/19	1,255,000	1,234,920	(20,080)
West Valley City Bonds	4.850%	10/20/10	11/01/20	1,330,000	1,308,720	(21,280)
West Valley City Bonds	4.850%	10/20/10	11/01/21	1,415,000	1,392,360	(22,640)
Beaver County School Bond	5.500%	02/01/11	02/01/27	5,000,000	5,284,700	284,700
				<u>13,330,000</u>	<u>13,481,420</u>	<u>151,420</u>
Total Cash Management Investment Pool				<u>\$234,828,650</u>	<u>\$232,563,366</u>	<u>(\$2,265,284)</u>

UTAH STATE UNIVERSITY
 CASH MANAGEMENT INVESTMENT POOL
 SUMMARY OF INVESTMENT TRANSACTIONS AND PERFORMANCE
 For the Month of June 2011

Schedule A-2

	Sales		Earnings	Change in Fair Value	Total Investment Income	Average Daily Fair Value	Annualized Total Investment Return
	Purchases	Receipts					
Money Market Account	\$5,000,000	\$15,000,000	\$7,550		\$7,550	\$12,866,667	0.70%
Utah Public Treasurers' Investment Fund			45		45	100,000	0.54%
Time Certificates of Deposit			356,150		356,150	85,000,000	5.03%
Obligations of U. S. Government	5,000,000	10,000,000	364,196	(\$1,105,900)	(741,704)	127,531,983	-6.98%
Municipal Bonds			55,808	(202,244)	(146,436)	13,330,000	-13.18%
Total	<u>\$10,000,000</u>	<u>\$25,000,000</u>	<u>\$783,749</u>	<u>(\$1,308,144)</u>	<u>(\$524,395)</u>	<u>\$238,828,650</u>	<u>-2.63%</u>

UTAH STATE UNIVERSITY
 SUMMARY OF CASH MANAGEMENT INVESTMENT POOL TRANSACTIONS
 For the Month of June 2011

Schedule A-3

	Purchases		Sales		Gain/(Loss)	Earnings
	Shares	Cost	Shares	Cost		
<u>Cash Management Investment Pool</u>						
Money Market Account		\$5,000,000		\$15,000,000	\$0	7,550
Utah Public Treasurers' Investment Fund						45
Time Certificates of Deposit		5,000,000		10,000,000	0	356,150
Obligations of U. S. Government Municipal Bonds						364,196
		<u>\$10,000,000</u>		<u>\$25,000,000</u>	<u>\$0</u>	<u>55,808</u>
Total Cash Management Investment Pool						<u>\$783,749</u>

UTAH STATE UNIVERSITY
ENDOWMENT POOL
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule B-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest and Dividends	Realized Gain or (Loss)	Total Realized Income	Less Expenses	Net Realized Income/(Loss)
*Jul 2010	\$80,490,686	\$507,378	\$419,279	\$2,716,693	\$83,295,478	\$81,893,082	\$247,666	\$3,479	\$251,145	\$6,888	\$244,257
Aug 2010	83,295,478	482,862	366,240	(1,662,805)	81,749,295	82,522,387	176,817	3,758	180,575	6,826	173,749
Sep 2010	81,749,295	308,559	197,761	3,759,851	85,619,944	83,684,620	195,214	3,704	198,918	9,583	189,335
Oct 2010	85,619,944	3,594,700	246,451	1,782,212	90,750,405	88,185,175	172,153	4,316	176,469	7,471	168,998
Nov 2010	90,750,405	6,259,928	6,041,765	(54,440)	90,914,128	90,832,267	179,186	4,178	183,364	7,293	176,071
Dec 2010	90,914,128	755,358	194,108	2,502,316	93,977,694	92,445,911	511,984	4,499	516,483	10,798	505,685
Jan 2011	93,977,694	3,446,024	895,429	985,832	97,514,121	95,745,908	210,641	4,533	215,174	7,677	207,497
Feb 2011	97,514,121	441,541	413,300	1,650,003	99,192,365	98,353,243	210,205	(10,315)	199,890	7,002	192,888
Mar 2011	99,192,365	6,149,845	5,840,002	53,773	99,555,981	99,374,173	186,025	4,607	190,632	11,151	179,481
Apr 2011	99,555,981	4,452,144	3,378,350	1,761,506	102,391,281	100,973,631	241,226	4,879	246,105	9,124	236,981
May 2011	102,391,281	282,513	139,162	(685,146)	101,849,486	102,120,384	210,171	3,758	213,929	9,407	204,522
Jun 2011	101,849,486	1,263,416	798,330	(1,245,454)	101,069,118	101,459,302	390,143	2,452	392,595	65,610	326,985
	<u>\$80,490,686</u>	<u>\$27,944,268</u>	<u>\$18,930,177</u>	<u>\$11,564,341</u>	<u>\$101,069,118</u>	<u>\$93,132,507</u>	<u>\$2,931,431</u>	<u>\$33,848</u>	<u>\$2,965,279</u>	<u>\$158,830</u>	<u>\$2,806,449</u>

Comparative Totals:

Year-to-date											
FY 2010-11	\$80,490,686	\$27,944,268	\$18,930,177	\$11,564,341	\$101,069,118	\$93,132,507	\$2,931,431	\$33,848	\$2,965,279	\$158,830	\$2,806,449
FY 2009-10	69,522,394	12,065,088	4,908,506	5,110,873	81,789,849	79,122,841	2,252,057	48,479	2,300,536	119,787	2,180,749
Amt Change					19,279,269	14,009,666	679,374	(14,631)	664,743	39,043	625,700
% Change					23.57%	17.71%	30.17%	-30.18%	28.90%	32.59%	28.69%

Note: The Endowment Pool includes endowment funds designated for long-term investment. Included in this pool are endowment funds invested in the University's Cash Management Investment Pool (CMIP) consisting of \$24,735,063 principal beginning balance, a \$24,830,918 ending balance, and a \$24,704,101 average daily balance for the current month. Current month interest and dividends from the CMIP were \$80,620 bringing the total to \$1,084,539 year-to-date. These amounts have also been reported in Schedules A-1 and A-2.

*The July beginning fair value has been adjusted to reflect the amount distributed to expendable accounts at fiscal year end.

UTAH STATE UNIVERSITY
 ENDOWMENT POOL
 INVESTMENT PERFORMANCE

Schedule B-1-A

	Total Number of Units	Fair Value Per Unit			Net Earnings	Earnings Per Unit
		Beginning of Month	End of Month	Percent Change		
July 2010	482,489.14	166.8238	\$172.6370	3.48%	\$247,666	\$0.5133
August 2010	482,489.14	172.6370	169.4324	-1.86%	176,817	0.3665
September 2010	482,489.14	169.4324	177.4547	4.73%	195,214	0.4046
October 2010	499,533.78	177.4547	181.7107	2.40%	172,153	0.3446
November 2010	499,533.78	181.7107	181.9980	0.16%	179,186	0.3587
December 2010	499,533.78	181.9980	188.1308	3.37%	511,984	1.0249
January 2011	511,781.10	188.1308	190.5387	1.28%	210,641	0.4116
February 2011	511,781.10	190.5387	193.8180	1.72%	210,205	0.4107
March 2011	511,781.10	193.8180	194.5284	0.37%	186,025	0.3635
April 2011	516,473.16	194.5284	198.2509	1.91%	241,226	0.4671
May 2011	516,473.16	198.2509	197.2019	-0.53%	210,171	0.4069
June 2011	516,473.16	197.2019	195.6909	-0.77%	390,143	0.7554

UTAH STATE UNIVERSITY
 ENDOWMENT POOL PORTFOLIO
 30 June 2011

Schedule B-1-B
 Page 1 of 2

Description	Face Value	Number of Shares	Interest Rate	Date Acquired	Maturity Date	Cost	Fair Value	Unrealized Gain/(Loss)
Cash Management Investment Pool								
Total Cash Management Investment Pool						\$24,830,918	\$24,830,918	\$0
Equities								
Wells Fargo Advantage Index Fund #88		142,750.121				6,967,192	6,660,721	(306,471)
UTS SPDR Trust Ser 1 (SPY)		46,989.000				3,983,736	6,201,138	2,217,402
Total Equities						10,950,928	12,861,859	1,910,931
Mutual Funds								
High Yield Bond Fund - Administrator Class		802,026.712				2,542,390	2,510,344	(32,046)
Multi-Strategy Bond Fund		348,716.040				4,299,726	4,962,229	662,503
Multi-Strategy Equity Fund		213,521.567				27,014,056	42,845,238	15,831,182
PENN Core High Yield Bond Fund II, L.P.						3,016,379	3,016,379	0
PIMCO - Diversified Income Inst.		542,604.781				6,209,109	6,288,789	79,680
Total Mutual Funds						43,081,660	59,622,979	16,541,319
Obligations of U.S. Government								
Federal Home Bank Loan 5.25%	100,000		5.250%	05/27/04	06/18/14	100,078	112,707	12,629
GNMA	5,914		7.000%	03/01/96	03/15/26	5,890	6,790	900
Total Obligations of U.S. Government						105,968	119,497	13,529
Corporate Bonds and Notes								
Bankamerica Corporation	100,000		7.125%	03/27/98	10/15/11	106,000	101,785	(4,215)
Total Corporate Bonds and Notes						106,000	101,785	(4,215)
Alternatives								
Commonfund								
CEP VII						373,500	361,706	(11,794)
Natural Resources						333,736	379,731	45,995

UTAH STATE UNIVERSITY
 ENDOWMENT POOL PORTFOLIO
 30 June 2011

Schedule B-1-B
 Page 2 of 2

Description	Face Value	Number of Shares	Interest Rate	Date Acquired	Maturity Date	Cost	Fair Value	Unrealized Gain/(Loss)
Alternatives (continued)								
Morgan Stanley								
Morgan Stanley TALF Fund (Cayman) L.P.						\$161,688	\$145,519	(\$16,169)
Solamere Capital								
Solamere Founders Fund I, LP						881,209	802,075	(79,134)
TIAA-CREF								
TIAA-CREF Core Property						1,115,338	658,341	(456,997)
Cash						9,687	9,687	0
Woodbury								
Woodbury Strategic Partners Fund, L.P.						389,383	441,356	51,973
WSP Centre Point Co-Investors, LLC						150,000	149,413	(587)
Total Alternatives						<u>3,414,541</u>	<u>2,947,828</u>	<u>(466,713)</u>
Money Market Funds								
Advantage Cash #250						105,578	105,578	0
Total Money Market Funds						<u>105,578</u>	<u>105,578</u>	<u>0</u>
Receivable								
Wells Fargo - Growth & Income						51,564	51,564	0
Wells Fargo - Custody						1,730	1,730	0
Wells Fargo - Custody 2						29,491	29,491	0
Commonfund						214,319	214,319	0
Morgan Stanley - TALF						90,612	90,612	0
Woodbury						90,958	90,958	0
Total Receivable						<u>478,674</u>	<u>478,674</u>	<u>0</u>
Total Endowment Pool						<u>\$83,074,267</u>	<u>\$101,069,118</u>	<u>\$17,994,851</u>

UTAH STATE UNIVERSITY
SUMMARY OF ENDOWMENT POOL TRANSACTIONS
For the Month of June 2011

Schedule B-2

	Purchases		Shares	Cost	Sales		Receipts	Gain/(Loss)	Earnings
	Shares	Cost			Shares	Cost			
Endowment Pool Transactions									
Cash Management Investment Pool									
Utah State University		\$345,356		\$249,502		\$249,502	\$0	\$80,621	
Equities									
Wells Fargo Advantage Index Fund #88								8,594	
UTS SPDR Trust Ser 1 (SPY)			3,717	179		169	(10)	12,158	
Mutual Funds									
High Yield Bond Fund - Administrator Class	4,273,374	13,376						13,375	
Multi-Strategy Bond Fund			71,569	829		1,018	189	15,932	
Multi-Strategy Equity Fund			29,809	1,674		5,982	4,308	101,686	
PENN Core High Yield Bond Fund II, L.P.		24		32,379		32,379	0	(30,867)	
PIMCO - Diversified Income Inst.	2,468,537	28,610						28,610	
Obligations of U.S. Government									
Federal Home Loan Bank 6.0%			100,000,000	102,035		100,000	(2,035)		
GNMA			25,480	26		26	0	705	
Interest									
Corporate Bonds and Notes									
Bond Interest									
Alternatives									
Commonfund									
CNR VIII		60,000							
Morgan Stanley									
Morgan Stanley TALF Fund (Cayman) L.P.		7,756		252,287		252,287	0	27,939	
Solamere Capital									
Solamere Founders Fund I, LP		66,500							
TIAA-CREF									
TIAA-CREF Core Property			0,278					5,349	
Cash									
Woodbury		2,990		592		592	0		
Woodbury Strategic Partners Fund, L.P.									
WSP Centre Point Co-Investors, LLC		154,380		53,145		53,145	0	89,934	
Money Market Funds								35,343	
Advantage Cash #250		105,581		103,061		103,061	0	2	
Advantage Cash Institutional Class #451		169		169		169	0	168	
Receivable									
Wells Fargo - Growth & Income		51,564							
Wells Fargo - Custody		1,730							
Wells Fargo - Custody 2		29,491							
Commonfund		214,319							
Morgan Stanley - TALF		90,612							
Woodbury		90,958							
Total Endowment Pool Transactions		<u>\$1,263,416</u>		<u>\$795,878</u>		<u>\$798,330</u>	<u>\$2,452</u>	<u>\$390,143</u>	

UTAH STATE UNIVERSITY
OTHER INVESTMENTS
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule C-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest and Dividends	Realized Gain or (Loss)	Total Realized Income
*Jul 2010	\$20,724,312	\$1,442,105	\$1,534,562	\$680,738	\$21,312,593	\$21,018,453	\$38,807	\$976	\$39,783
Aug 2010	21,312,593	1,540	2,687,395	(246,670)	18,380,068	19,846,331	37,857	1,166	39,023
Sep 2010	18,380,068	32,676	32,860	754,984	19,134,868	18,757,468	43,894	661	44,555
Oct 2010	19,134,868	74,672	3,267,773	435,308	16,377,075	17,755,972	34,211	832,380	866,591
Nov 2010	16,377,075	325,868	356,579	(54,007)	16,292,357	16,334,716	34,451	3,397	37,848
Dec 2010	16,292,357	1,170,718	1,036,512	380,095	16,806,658	16,549,508	35,569	(51,857)	(16,288)
Jan 2011	16,806,658	10,101	80,964	188,527	16,924,322	16,865,490	32,579	540	33,119
Feb 2011	16,924,322	6,411	6,383	308,744	17,233,094	17,078,708	32,615	1,145	33,760
Mar 2011	17,233,094	57,187	57,360	(41,922)	17,190,999	17,212,047	20,620	693	21,313
Apr 2011	17,190,999	29,289	63,278	359,608	17,516,618	17,353,809	28,023	1,017	29,040
May 2011	17,516,618	90,069	661,482	(84,212)	16,860,993	17,188,806	31,328	160,299	191,627
Jun 2011	16,860,993	95,175	159,200	(276,687)	16,520,281	16,690,637	38,272	(25,431)	12,841
	<u>\$20,724,312</u>	<u>\$3,335,811</u>	<u>\$9,944,348</u>	<u>\$2,404,506</u>	<u>\$16,520,281</u>	<u>\$17,720,995</u>	<u>\$408,226</u>	<u>\$924,986</u>	<u>\$1,333,212</u>

Comparative Totals:

Year-to-date									
FY 2010-11	\$20,724,312	\$3,335,811	\$9,944,348	\$2,404,506	\$16,520,281	\$17,720,995	\$408,226	\$924,986	\$1,333,212
FY 2009-10	1,900,219	2,674,846	2,631,816	101,129	2,044,378	2,111,756	33,710	25,471	59,181
Amt Change					14,475,903	15,609,239	374,516	899,515	1,274,031
% Change					708.08%	739.16%	1110.99%	3531.53%	2152.77%

Note: Other Investments include donor designated and other specified investments. The beginning fair value has been adjusted to include investments previously

UTAH STATE UNIVERSITY
OTHER INVESTMENTS PORTFOLIO
30 June 2011

Schedule C-1-A
Page 1 of 2

Description	Face Value	Number of Shares	Interest Rate	Date Acquired	Maturity Date	Cost	Fair Value	Unrealized Gain/(Loss)
Corporate Bonds and Notes								
U.S. Treasury Bond	\$100,000		8.000%	11/15/91	11/15/21	\$125,313	\$142,391	\$17,078
U.S. West Communications	10,000		7.200%	12/01/95	11/10/26	10,162	9,800	(362)
						135,475	152,191	16,716
Public Treasurers' Investment Fund								
Utah Public Treasurers' Investment Fund			0.539%			862,826	862,826	0
						862,826	862,826	0
Mutual Funds								
American Funds CI A								
American Balanced Fund - Class A		668,070				11,116	12,466	1,350
AMCAP Fund - C		2,078,450				38,307	39,262	955
The Growth Fund of America - Class A		203,662				6,357	6,483	126
The Growth Fund of America - Class A		11,367,282				354,799	361,821	7,022
The New Economy Fund-C		1,632,416				37,355	41,676	4,321
New World Fund-C		1,033,059				41,302	55,620	14,318
Washington Mutual Investors Fund-C		1,326,925				40,355	38,255	(2,100)
Commonfund								
CEU Title III								
Multi-Strategy Bond		360,183.021				4,501,947	5,125,404	623,457
Multi-Strategy Equity		46,730.046				4,309,146	9,376,851	5,067,705
Putnam Funds Class A								
Putnam High Yield CI-A		3,572.501				27,040	27,865	825
Vanguard								
500 Index Fund Admiral Shares		120.103				13,276	14,610	1,334
Total Bond Market Index Fund Admiral Shares		1,376.651				14,974	14,716	(258)
500 Index Fund Admiral Shares		157,917				17,455	19,211	1,756
Total Bond Market Index Fund Admiral Shares		1,824,938				19,897	19,509	(388)
						9,433,326	15,153,749	5,720,423

UTAH STATE UNIVERSITY
OTHER INVESTMENTS PORTFOLIO
30 June 2011

Schedule C-1-A
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Description	Face Value	Number of Shares	Interest Rate	Date Acquired	Maturity Date	Cost	Fair Value	Unrealized Gain/(Loss)
Alternatives TriCor								
Inland America Real Estate Trust vSpring		17,852,005				\$176,626	\$143,351	(\$33,275)
Kickstart Seed Fund 1, L.P.						119,434	120,100	666
						<u>296,060</u>	<u>263,451</u>	<u>(32,609)</u>
Cash								
Morgan Stanley						22	22	0
SEI - Prime Obligation Fund						75	75	0
						<u>97</u>	<u>97</u>	<u>0</u>
66 Receivable								
U.S. Treasury Bond						667	667	0
U.S. West Communications Commonfund						90	90	0
						87,210	87,210	0
						<u>87,967</u>	<u>87,967</u>	<u>0</u>
Total Other Investments						<u>\$10,815,751</u>	<u>\$16,520,281</u>	<u>\$5,704,530</u>

UTAH STATE UNIVERSITY
SUMMARY OF OTHER INVESTMENT TRANSACTIONS
For the Month of June 2011

Schedule C-2
Page 1 of 1

	Purchases		Sales		Receipts	Gain/(Loss)	Earnings
	Shares	Cost	Shares	Cost			
Other Investments							
Corporate Bonds and Notes							
U.S. Treasury Bond							\$667
U.S. West Communications							60
Common and Preferred Stock							
Morgan Stanley Smith Barney							
Gabelli Dividend & Income		\$5,048	316,000	5,048	\$4,955	(\$93)	22
Utah Public Treasurers'							
Investment Fund		387					387
Mutual Funds							
American Funds CI A							
American Balanced Fund - Class A							7
Washington Mutual Investors Fund-C	4,593	128					256
Commonfund							
CEU Title III							
Multi-Strategy Bond							
Multi-Strategy Equity			73,922	856	1,052	196	12,594
Invesco - Van Kampen Funds A			6,524	400	1,309	909	22,254
Convertible Series A							
Putnam Funds Class A							
Putnam High Yield CI-A			3,730,578	78,939	75,470	(3,469)	361
Vanguard Admiral	60,672	477					477
Vanguard Windsor Admiral							
Vanguard							
500 Index Fund Admiral Shares							
Total Bond Market Index Fund Admiral Shares			1,653,273	99,388	76,414	(22,974)	
500 Index Fund Admiral Shares	0,581	69					69
500 Index Fund Admiral Shares	11,370	86					122
Total Bond Market Index Fund Admiral Shares	0,763	90					90
Total Bond Market Index Fund Admiral Shares	15,073	161					161
Alternatives							
TriCor							
Inland America Real Estate Trust	92,153	740					740
Cash							
Charles Schwab							
Morgan Stanley Smith Barney		22					5
Receivables							
U.S. Treasury Bond		667					
U.S. West Communications		90					
Commonfund		87,210					
Total Other Investments		<u>\$95,175</u>		<u>\$184,631</u>	<u>\$159,200</u>	<u>(\$25,431)</u>	<u>\$38,272</u>

UTAH STATE UNIVERSITY
ENDOWMENT TRUSTS
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule D-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest and Dividends	Realized Gain or (Loss)	Total Realized Income	Less Expenses	Net Realized Income/(Loss)
Jul 2010	\$4,162,814	\$23,027	\$43,830	\$339,682	\$4,481,693	\$4,322,254	\$13,486	\$2	\$13,488	\$500	\$12,988
Aug 2010	4,481,693	232,955	226,654	(120,041)	4,367,953	4,424,823	12,184	(16,557)	(4,373)	0	(4,373)
Sep 2010	4,367,953	3,111,481	1,049,486	363,638	6,793,586	5,580,770	54,463	55,933	110,396	0	110,396
Oct 2010	6,793,586	197,585	261,504	44,793	6,774,460	6,784,023	14,645	1,170	15,815	3,075	12,740
Nov 2010	6,774,460	19,272	4,532	(120,120)	6,669,080	6,721,770	14,616	0	14,616	4,009	10,607
Dec 2010	6,669,080	81,229	1,904	262,872	7,011,277	6,840,179	74,956	0	74,956	1,904	73,052
Jan 2011	7,011,277	1,321,633	1,399,263	92,566	7,026,213	7,018,745	33,977	70,918	104,895	2,271	102,624
Feb 2011	7,026,213	1,258,975	1,332,050	145,887	7,099,025	7,062,619	17,207	0	17,207	2,396	14,811
Mar 2011	7,099,025	71,483	53,388	7,317	7,124,437	7,111,731	27,034	1,724	28,758	1,630	27,128
Apr 2011	7,124,437	908,217	897,152	158,511	7,294,013	7,209,225	24,917	(208,027)	(183,110)	4,621	(187,731)
May 2011	7,294,013	392,401	383,063	(32,642)	7,270,709	7,282,361	18,697	921	19,618	1,342	18,276
Jun 2011	7,270,709	584,156	502,592	(121,416)	7,230,857	7,250,783	25,324	(24,016)	1,308	13,937	(12,629)
	<u>\$4,162,814</u>	<u>\$8,202,414</u>	<u>\$6,155,418</u>	<u>\$1,021,047</u>	<u>\$7,230,857</u>	<u>\$6,467,440</u>	<u>\$331,506</u>	<u>(\$117,932)</u>	<u>\$213,574</u>	<u>\$35,685</u>	<u>\$177,889</u>

Comparative Totals:

Year-to-date											
FY 2010-11	\$4,162,814	\$8,202,414	\$6,155,418	\$1,021,047	\$7,230,857	\$6,467,440	\$331,506	(\$117,932)	\$213,574	\$35,685	\$177,889
FY 2009-10	3,797,982	1,056,756	934,462	242,538	4,162,814	4,423,603	181,057	181,791	362,848	2,097	360,751
Amt Change					3,068,043	2,043,837	150,449	(299,723)	(149,274)	33,588	(182,862)
% Change					73.70%	46.20%	83.09%	-164.87%	-41.14%	1601.72%	-50.69%

Note: Endowment Trusts include externally managed endowment trusts.

UTAH STATE UNIVERSITY
 ENDOWMENT TRUSTS PORTFOLIO
 30 June 2011

Schedule D-1-A
 Page 1 of 3

Description	Number of Shares	Cost	Fair Value	Unrealized Gain/(Loss)
Obligations of U.S. Government Savings Bonds	195,000.000	\$195,000	\$195,000	\$0
Common and Preferred Stock				
Altria Group Inc	1,000.000	16,108	26,410	10,302
American Electric Power Co. AT&T Inc.	6,100.000	219,276	229,848	10,572
	6,800.000	177,954	213,588	35,634
BP Amoco PLC	8,000.000	402,692	354,320	(48,372)
Centurylink Inc	4,300.000	173,238	173,849	611
ConocoPhillips	6,000.000	224,262	451,140	226,878
Eli Lilly & Co	4,400.000	161,532	165,132	3,600
General Electric	37,900.000	377,601	714,794	337,193
Johnson & Johnson	2,500.000	110,279	166,300	56,021
JP Morgan Chase & Co	6,000.000	211,684	245,640	33,956
Merck & Co Inc New Com	7,500.000	295,376	264,675	(30,701)
Pfizer Inc	21,700.000	510,776	447,020	(63,756)
Philip Morris International In	1,000.000	36,706	66,770	30,064
PPL Corporation	8,800.000	238,843	244,904	6,061
Progress Energy Inc	9,200.000	328,293	441,692	113,399
Seadrill LTD	4,700.000	159,461	165,816	6,355
Verizon Communications	6,300.000	172,839	234,549	61,710
Wells Fargo & Company NEW	5,000.000	55,361	140,300	84,939
		<u>3,872,281</u>	<u>4,746,747</u>	<u>874,466</u>
Alternatives				
Atlas Resources LP	5.000	50,000	50,000	0
		<u>50,000</u>	<u>50,000</u>	<u>0</u>
Mutual Funds				
Dodge & Cox Intl FDS	1,404.889	50,029	51,672	1,643
Dodge & Cox Income Fund	3,816.794	51,298	51,031	(267)
Eaton Vance Floating Rate & High Income	5,611.672	50,019	49,607	(412)

UTAH STATE UNIVERSITY
 ENDOWMENT TRUSTS PORTFOLIO

30 June 2011

Schedule D-1-A
 Page 2 of 3

Description	Number of Shares	Cost	Fair Value	Unrealized Gain/(Loss)
Mutual Funds (continued)				
The Fairholme Fund	1,690.938	\$60,058	\$54,499	(\$5,559)
Fidelity Contra Fund	1,546.036	110,008	109,166	(842)
Gabellie ABC Fund	5,040.323	50,019	51,058	1,039
John Hancock Large Cap Equity Fund	2,146.702	60,008	58,412	(1,596)
John Hancock Global Opportunities Fund	3,592.877	65,008	63,594	(1,414)
Hearland Value Plus Fund	1,621.515	50,000	50,980	980
ING Value Choice Fund Class W	3,105.465	60,008	59,967	(41)
John Hancock Disciplined Value	4,125.413	50,004	51,031	1,027
Mutual Series Shares Class Z	2,757.365	60,008	60,579	571
Brown Capitol Small Company	1,090.393	50,038	53,811	3,773
Nuveen Tradewinds Value Opportunities Fund	1,684.152	60,018	59,922	(96)
PIMCO Funds Short-Term	5,122.570	50,765	50,713	(51)
PIMCO Fds pac Invt Mgmt Ser Total Return	7,006.622	80,856	77,003	(3,854)
PIMCO GNMA Fund	4,314.064	52,330	50,518	(1,812)
Parnassus Small Cap Fund	1,999.312	50,000	48,863	(1,137)
Principal High Yield II Fund	6,157.635	50,009	49,507	(502)
The Osterweis Strategic Income Fund	4,230.118	50,019	49,535	(484)
Reynolds Blue Chip Growth Fund	1,029.712	60,038	59,723	(315)
Templeton Global Bond Fund	3,690.037	50,004	51,181	1,177
Thornburg International Value Fund	2,203.562	65,038	66,129	1,091
Thornburg Value Fund Institutional	2,939.534	110,038	107,117	(2,921)
Vanguard Short-term US Treasury Fund	7,380.485	101,056	79,414	(21,642)
Vanguard Fixed Income Secs F Infl Prot Secs	4,011.144	53,637	53,830	193
Westcore Select Fund	2,213.369	50,000	50,775	775
Yackman Fund	6,332.841	110,019	114,751	4,732
Wells Fargo Strategic Inc #89	10,007.864	183,928	171,034	(12,894)
Wells Fargo Strategic Inc #89	10,006.255	183,899	171,007	(12,892)
		<u>2,128,158</u>	<u>2,076,428</u>	<u>(51,730)</u>

UTAH STATE UNIVERSITY
 ENDOWMENT TRUSTS PORTFOLIO
 30 June 2011

Schedule D-1-A
 Page 3 of 3

Description	Number of Shares	Cost	Fair Value	Unrealized Gain/(Loss)
Money Market Funds				
Federated Treasury Obligations Fund		\$8,345	\$8,345	\$0
Morgan Stanley - Money Market		91,506	91,506	0
Wells Fargo #451		3,485	3,485	0
Wells Fargo #451		3,484	3,484	0
		<u>106,820</u>	<u>106,820</u>	<u>0</u>
Receivable				
Morgan Stanley		29,569	29,569	0
Wells Fargo		13,148	13,148	0
Wells Fargo		13,145	13,145	0
		<u>55,862</u>	<u>55,862</u>	<u>0</u>
Total Endowment Trusts		<u>\$6,408,121</u>	<u>\$7,230,857</u>	<u>\$822,736</u>

UTAH STATE UNIVERSITY
 SUMMARY OF ENDOWMENT TRUST INVESTMENT TRANSACTIONS
 For the Month of June 2011

Schedule D-2
 Page 1 of 1

Endowment Trusts	Purchases		Sales		Receipts	Gain/(Loss)	Earnings
	Shares	Cost	Shares	Cost			
Common and Preferred Stock							
Great Plains Energy Inc				\$80,614	\$56,598	(\$24,016)	
Seadrill LTD	1,700,000	\$57,213	2,800,000				\$18,115
Funds held at Morgan Stanley - Dividends							
Other							
Atlas Resources LP							141
Mutual Funds							
Dodge & Cox Intl FDS	1,404,889	50,029					
The Fairholme Fund	316,556	10,029					
Fidelity Contra Fund	146,456	10,004					
John Hancock Large Cap Equity Fund	376,790	10,004					
John Hancock Global Opportunities Fund	869,565	15,004					
Heartland Value Plus Fund	655,953	20,000					
ING Value Choice Fund Class W	525,486	10,004					
Mutual Series Shares Class Z	461,681	10,004					
Brown Capitol Small Company	210,305	10,019					
Nuveen Tradewinds Value Opportunities Fund	285,551	10,009					
Parnassus Small Cap Fund	837,872	20,000					
Reynolds Blue Chip Growth Fund	179,083	10,019					
Thornburg International Value Fund	508,647	15,019					
Thornburg Value Fund Institutional	281,373	10,019					
Yacktman Fund	569,152	10,019					
Wells Fargo Strategic Inc #89							1,878
Wells Fargo Strategic Inc #89							1,878
Funds held at Bank of Utah - Dividends							2,238
Money Market Funds							
Federated Treasury Obligations Fund							1
Morgan Stanley - Money Market							1,073
Receivable							
Morgan Stanley	169,697			388,781	388,781	0	
Wells Fargo	81,202			57,213	57,213	0	
Wells Fargo	29,569						
Wells Fargo	13,148						
Wells Fargo	13,145						
Total Endowment Trusts	\$584,156		\$526,608	\$502,592	(\$24,016)	\$25,324	

UTAH STATE UNIVERSITY
PLANT FUND TRUSTS
SUMMARY REPORT OF INVESTMENTS AND INVESTMENT INCOME

Schedule E-1

	Beginning Fair Value	Purchases	Sales Proceeds	Change in Fair Value	Ending Fair Value	Average Daily Fair Value	Total Interest Income	Realized Gain or (Loss)	Total Realized Income	Less Expenses	Net Realized Income/(Loss)
Jul 2010	\$10,674,107	\$784	\$0	(\$21,911)	\$10,652,980	\$10,673,425	\$9,283	\$0	\$9,283	\$0	\$9,283
Aug 2010	10,652,980	469	6,240,438	53,446	4,466,457	5,420,803	8,968	0	8,968	0	8,968
Sep 2010	4,466,457	1,297,049	82,550	(21,056)	5,659,900	5,118,861	9,112	0	9,112	0	9,112
Oct 2010	5,659,900	62,551	2,390,186	(35,483)	3,296,782	3,331,120	8,760	0	8,760	0	8,760
Nov 2010	3,296,782	4,258,689	722,455	(16,434)	6,816,582	4,730,335	9,042	0	9,042	0	9,042
Dec 2010	6,816,582	82,464	4,436,213	(22,503)	2,440,330	2,461,382	9,047	0	9,047	0	9,047
Jan 2011	2,440,330	239	169,740	(22,800)	2,248,029	2,417,931	8,736	0	8,736	0	8,736
Feb 2011	2,248,029	238	0	10,446	2,258,713	2,248,640	8,715	0	8,715	0	8,715
Mar 2011	2,258,713	3,658,879	2,079,544	(4,391)	3,833,657	3,124,746	8,930	0	8,930	0	8,930
Apr 2011	3,833,657	51,426	1,579,456	17,490	2,323,117	2,306,210	8,531	0	8,531	0	8,531
May 2011	2,323,117	1,222,369	435,013	(26,810)	3,083,663	2,728,645	8,614	0	8,614	0	8,614
Jun 2011	3,083,663	615,909	1,107,978	(22,675)	2,568,919	2,440,058	8,570	0	8,570	0	8,570
	<u>\$10,674,107</u>	<u>\$11,251,066</u>	<u>\$19,243,573</u>	<u>(\$112,681)</u>	<u>\$2,568,919</u>	<u>\$3,916,846</u>	<u>\$106,308</u>	<u>\$0</u>	<u>\$106,308</u>	<u>\$0</u>	<u>\$106,308</u>

Comparative Totals:

Year-to-date											
FY 2010-11	\$10,674,107	\$11,251,066	\$19,243,573	(\$112,681)	\$2,568,919	\$3,916,846	\$106,308	\$0	\$106,308	\$0	\$106,308
FY 2009-10	22,951,558	9,846,040	22,151,364	27,873	10,674,107	17,756,027	121,933	188	122,121	0	122,121
Amt Change					(8,105,188)	(13,839,181)	(15,625)	(188)	(15,813)	0	(15,813)
% Change					-75.93%	-77.94%	-12.81%	-100.00%	-12.95%	0.00%	-12.95%

Note: Plant Fund Trusts include all debt service reserve and construction fund accounts in compliance with bond issue covenants.

UTAH STATE UNIVERSITY
PLANT TRUSTS PORTFOLIO
30 June 2011

Schedule E-1-A

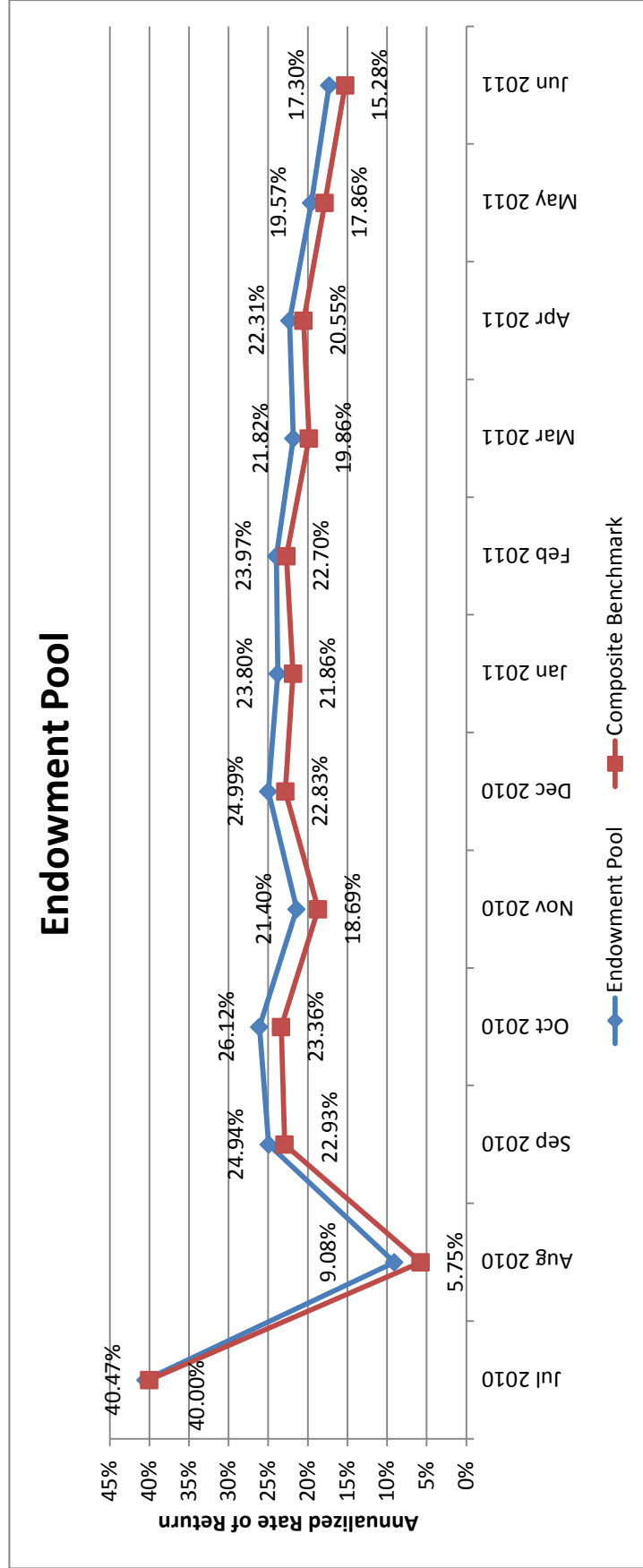
Description	Face Value	Number of Shares	Interest Rate	Date Acquired	Maturity Date	Cost	Fair Value	Unrealized Gain/(Loss)
Wells Fargo								
Heritage Money Market			Variable			\$7,477	\$7,477	\$0
Build America Bonds	1,645,000		6.20%	06/09/09	04/01/30	1,676,255	1,637,597	(38,658)
Federal Farm Credit Bank	500,000		4.12%	03/09/11	03/09/21	500,000	505,480	5,480
						<u>2,183,732</u>	<u>2,150,554</u>	<u>(33,178)</u>
Public Treasurers' Investment Fund								
Utah Public Treasurers' Investment Fund			0.54%			70,365	70,365	0
						<u>70,365</u>	<u>70,365</u>	<u>0</u>
Sun Trust Bank								
Money Market			Variable			348,000	348,000	0
						<u>348,000</u>	<u>348,000</u>	<u>0</u>
Total Plant Trusts						<u>\$2,602,097</u>	<u>\$2,568,919</u>	<u>(\$33,178)</u>

UTAH STATE UNIVERSITY
 SUMMARY OF PLANT TRUST INVESTMENT TRANSACTIONS
 For the Month of June 2011

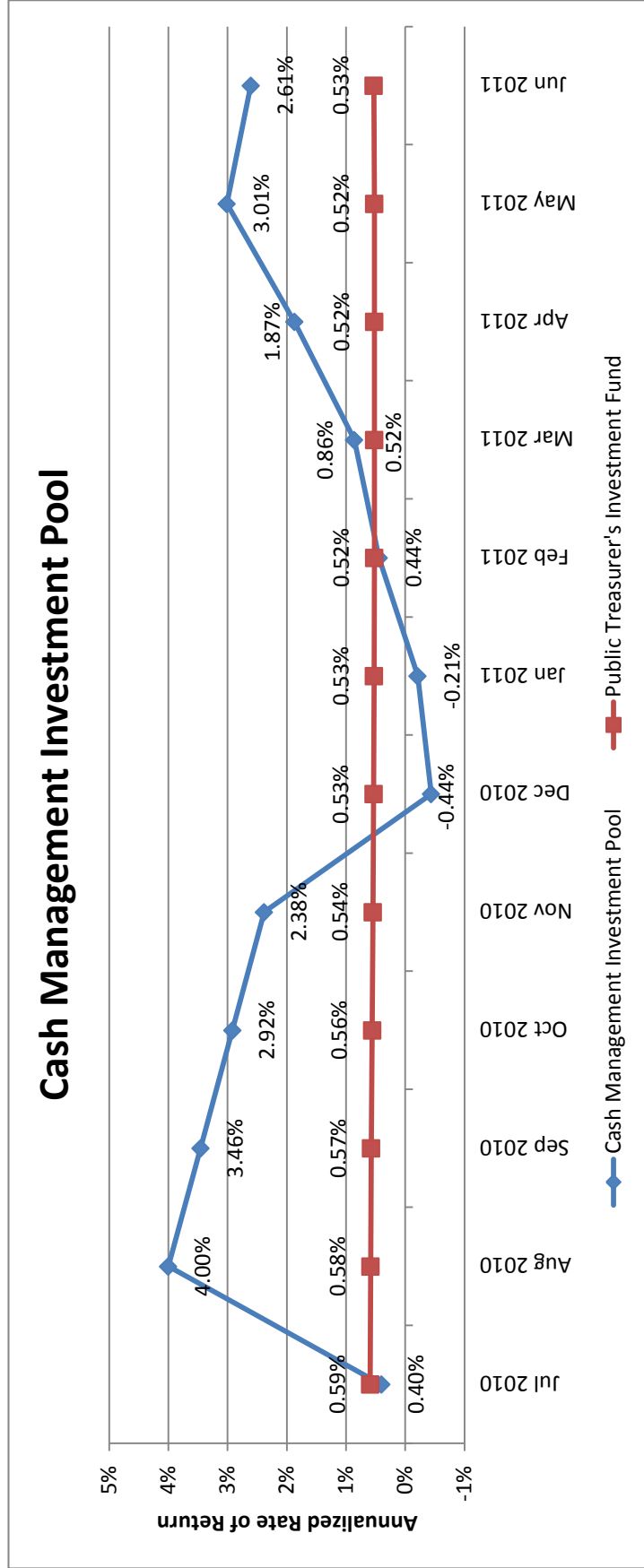
Schedule E-2

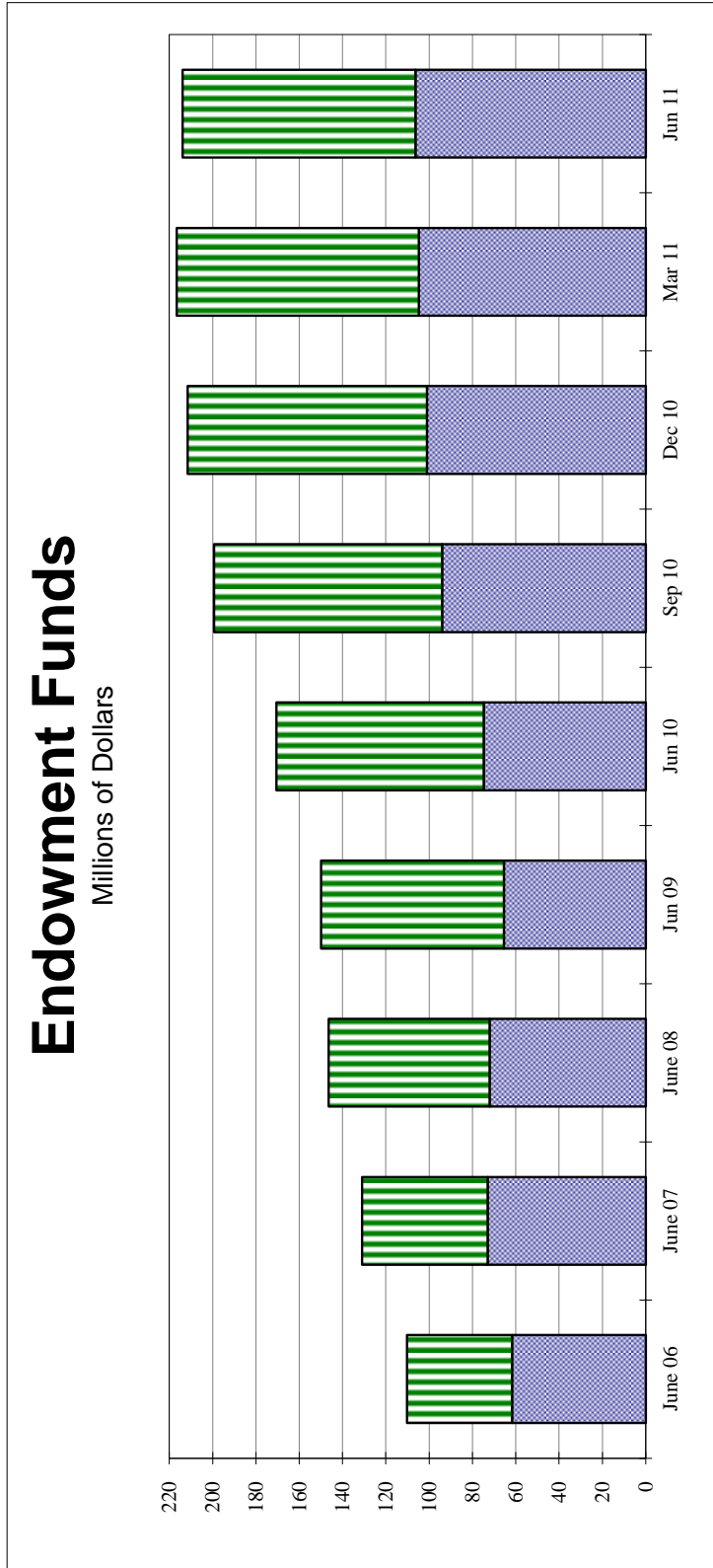
	Purchases		Shares	Cost	Sales		Receipts	Gain/(Loss)	Earnings
	Shares	Cost			Cost	Gain/(Loss)			
Plant Trusts									
Wells Fargo		\$2		\$450,819		\$450,819	\$0		\$8,499
Heritage Money Market									
Build America Bonds				650,594		650,594	0		
Cash		267,794		6,565		6,565			32
Utah Public Treasurers' Investment Fund		113							
Sun Trust Bank									
Money Market		348,000							39
Total Plant Trusts		<u>\$615,909</u>		<u>\$1,107,978</u>		<u>\$1,107,978</u>	<u>\$0</u>		<u>\$8,570</u>

Summary of Total Investment Returns
 Endowment Pool
 Fiscal Year-to-Date Annualized Rate of Return



Summary of Total Investment Returns
 Cash Management Investment Pool
 Fiscal Year-to-Date Annualized Rate of Return





	Fair Value	Fair Value	Fair Value	Fair Value	Fair Value	Fair Value	Fair Value	Fair Value
Quasi Endowment	\$48.5	\$74.3	\$84.4	\$95.7	\$105.3	\$110.5	\$111.8	\$107.5
True Endowment	61.8	72.2	65.6	74.9	94.1	101.1	104.9	106.4
Total	\$110.3	\$146.5	\$150.0	\$170.6	\$199.4	\$211.6	\$216.7	\$213.9

Quasi Endowment
True Endowment
Total

*As of July 1, 2010 the balances above reflect USU and USU-CEU's Endowment balances.

ITEM FOR ACTION

RE: Delegation/Administrative Reports – 05/13/11 to 07/15/11

Utah State University has been given authority by the Division of Facilities Construction and Management (DFCM) to exercise direct supervision of the design and construction of all alterations, repairs, and improvements to existing facilities on individual projects with budgets of less than \$4 million. The supervision of new construction can also be delegated to USU on a project-by-project basis and can exceed the \$4 million amount. As part of the delegation authority, administrative reports are prepared by USU and presented to the Utah State Building Board at each of its meetings. The monthly format of USU's reports is similar to that of the DFCM's reports presenting professional and construction contracts issued during the reporting period, contingency and project reserves status, and a delegated projects list showing budget and status. On a quarterly basis, additional reports are prepared showing contingency fund cumulative transfers, a summary of the statewide (paving) account, and a construction contract status. The Board of Regents has transferred its authority to review the delegation/administrative reports to the Board of Trustees.

The reports have received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

The following is a summary of the administrative reports for USU for the period 05/13/11 to 07/15/11:

Professional Contracts, 7 contracts issued (Page 1)

Comments are provided on the report.

Construction Contracts, 21 contracts issued (Pages 2-3)

Items 2 and 8, Geology to Maeser Steam Replacement – An amount of \$51,087 is needed from the Project Reserve Fund to award two contracts to A.H. Palmer & Sons for this project.

Additional comments are provided on the report.

Report of Contingency Reserve Fund (Page 4)

The contingency amounts for the FY12 delegated capital improvement projects have been added to the Contingency Reserve Fund. The list includes 15 new projects. The combined construction budgets for these 15 projects total \$2,741,117. An amount of \$137,055 has been added to the Contingency Reserve Fund. This amount represents 5.00% of the construction budgets for the FY12 projects.

Report of Project Reserve Fund Activity (Page 5)

As referenced above, the Geology to Maeser Steam Replacement project required funding from the Project Reserve Fund in order to award the construction contracts. A total of \$51,087 was needed.

Current Delegated Projects List (Pages 6-7)

Of USU's 58 current projects, 9 are Substantially Complete, 28 in Construction, 9 in the Design/Study phase, and 12 Pending.

RECOMMENDATION

The President and Vice President for Business and Finance recommend that the delegation/administrative reports for the current reporting period be approved as presented.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, The Utah Legislature appropriates state funds through the Division of Facilities Construction and Management to Utah State University for the purpose of capital improvements; and

WHEREAS, The Division of Facilities Construction and Management has delegated its authority to exercise direct supervision of the design and construction of such capital improvements to Utah State University; and

WHEREAS, Non-state funded projects with budgets of less than \$4 million are also delegated to Utah State University; and

WHEREAS, Projects in excess of \$4 million can also be delegated to Utah State University with specific approval of the Utah State Building board;

WHEREAS, Utah State University presents reports on the status of all its delegated projects to the Utah State Building Board on a regular basis; and

WHEREAS, The State Board of Regents has transferred its authority to review the delegation/ administrative reports to the Board of Trustees; and

WHEREAS, The President and Vice President for Business and Finance have reviewed the delegation/ administrative reports for the current reporting period and recommend approval of the reports to the Board of Trustees; and

WHEREAS, The Board of Trustees has reviewed and given due consideration to the delegation/ administrative reports:

NOW, THEREFORE, BE IT RESOLVED, That the USU Board of Trustees hereby approves the delegation/ administrative reports as presented.

RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES

Date



Professional Contracts Awarded From 05/13/11 to 07/15/11

Contract Name	Firm Name	A/E Budget	Fee Amount	Comments
1 Tremonton Campus - Classroom Remodel	Architectural Nexus	\$33,250.00	\$33,250.00	
2 Geology to Maeser Steam Replacement	Van Boerum & Frank Associates	\$20,383.00	\$25,100.00	Balance from other budget line item
3 Vet Science Animal Cadaver Lab Remodel	Method Studio	\$24,000.00	\$24,000.00	
4 South Farm Sewer Line	Cache Landmark Engineering	\$6,457.00	\$5,240.00	
MISCELLANEOUS CONTRACTS				
5 Chilled Water Thermal Storage	E Cube	\$50,000.00	\$15,000.00	Commissioning
6 Chilled Water Thermal Storage	JUB Engineers	\$50,000.00	\$10,000.00	Inspections
7 Tremonton Campus - Classroom Remodel	Ivie Code Group	\$3,450.00	\$3,450.00	Inspections

Construction Contracts Awarded From 05/13/11 to 07/15/11

Project	Firm Name	Design Firm	Const Budget	Contract Amt	Comments
1 Tremonton Campus - Classroom Remodel	Bailey Construction	Architectural Nexus	\$391,112.00	\$391,112.00	
2 Geology to Maeser Steam Replacement	A.H. Palmer and Sons	Van Boerum & Frank Associates	\$254,783.00	\$292,162.00	Need \$51,087 from Project Reserve Fund to award this contract + number 8 below
3 Tennis Courts Lighting	Tec Electric	USU Facilities Planning and Design	\$232,045.00	\$232,045.00	
4 Classroom/Auditorium Upgrades FY12	Raymond Construction	USU Facilities Planning and Design	\$264,317.00	\$105,066.75	Old Main 115/225 carpet and seating
5 Classroom Upgrades FY11	Raymond Construction	USU Facilities Planning and Design	\$260,870.00	\$89,563.25	Old Main 121/131 carpet and seating
6 Medium Voltage Upgrade FY11	Cache Valley Electric	USU Facilities Planning and Design	\$442,478.00	\$65,288.00	High Voltage Feeders to East Campus
7 Miscellaneous Critical Improvements FY10	Tec Electric	USU Facilities Planning and Design	\$357,143.00	\$34,496.00	Upgrade electrical service to North Campus
8 Geology to Maeser Steam Replacement	A.H. Palmer and Sons	Van Boerum & Frank Associates	\$254,783.00	\$13,708.00	Tunnel Demolition
9 Classroom/Auditorium Upgrades FY12	USU Facilities (In-house construction)	USU Facilities Planning and Design	\$264,317.00	\$15,400.45	Industrial Science 113 A/V upgrade
10 Health/Life Safety/Code Asbestos FY12	USU Facilities (In-house construction)	USU Facilities Planning and Design	\$132,743.00	\$5,305.56	Eye wash stations

MISCELLANEOUS CONTRACTS

11	Building Commissioning FY12	USU Facilities (In-house construction)	\$180,180.00	\$66,294.12	Science/Technology Library
12	Medium Voltage Upgrade FY11	Siemens Energy	\$442,478.00	\$54,450.00	Equipment only
13	Health/Life Safety/Code Asbestos FY12	Environmental Abatement	\$132,743.00	\$15,150.00	Asbestos abatement Ray B West
14	Sign System FY11	Free Spirit Concepts	\$45,045.00	\$12,210.00	Exterior Signage
15	Health/Life Safety/Code Asbestos FY12	Eagle Environmental	\$132,743.00	\$11,489.00	Asbestos abatement Engineering basement
16	Medium Voltage Upgrade FY11	Codale Electric Supply	\$442,478.00	\$11,371.77	Equipment only
17	Sign System FY11	Flint Trading	\$45,045.00	\$11,299.68	Exterior Signage
18	Health/Life Safety/Code Asbestos FY12	Eagle Environmental	\$132,743.00	\$10,378.00	Asbestos abatement in steam tunnels
19	Tremonton Campus - Classroom Remodel	Environmental Abatement	\$1,500.00	\$1,200.00	Asbestos abatement
20	Tremonton Campus - Classroom Remodel	Dixon Information	\$1,500.00	\$600.00	Asbestos sampling
21	Health/Life Safety/Code Asbestos FY12	Environmental Abatement	\$132,743.00	\$630.00	Asbestos abatement Business building

Report of Contingency Reserve Fund From 05/13/11 to 07/15/11

Project Title	Current Transfers	Total Transfers To (From) Contingency	% to Construction Budget	Project Status	% Completed (Paid)
BEGINNING BALANCE	\$532,847.96				
INCREASES TO CONTINGENCY RESERVE FUND					
BNR Fire Protection Phase II	26,757.00		5.00%		
Classroom/Auditorium Upgrades FY12	13,216.00		5.00%		
Geology to Maeser Steam Replacement	12,739.00		5.00%		
Chilled Water - Edith Bowen/CPD	12,478.00		5.00%		
Miscellaneous Critical Improvements FY12	12,387.00		5.00%		
Concrete Replacement FY12	11,222.00		5.00%		
Medium Voltage Upgrades FY12	11,062.00		5.00%		
Building Commissioning FY12	9,009.00		5.00%		
Health/Life Safety/Code/Asbestos FY12	6,637.00		5.00%		
Chiller Controls Upgrades FY12	4,762.00		5.00%		
Steam Line Insulation FY12	4,762.00		5.00%		
1200 East (Aggie Village) Landscape	4,292.00		5.00%		
South Farm Sewer Line	3,228.00		5.00%		
Campus Wide Bike Racks FY12	2,252.00		5.00%		
Sign System FY12	2,252.00		5.00%		
DECREASES TO CONTINGENCY RESERVE FUND					
Fire Alarm Upgrades FY08	(4,648.00)	(4,648.00)	1.81%	Construction	
Geology to Maeser Steam Replacement	(3,644.64)	(3,644.64)	1.43%	Construction	
Campus Wide Medium Voltage Upgrade FY10	(1,050.00)	(11,006.73)	1.84%	Construction	
Campus Wide Bike Racks FY11	(931.18)	(931.18)	2.07%	Construction	
CEP Chillers	(234.30)	(103,144.59)	8.19%	Construction	
Health, Life Safety & Code Compliance Projects FY09	(97.00)	(1,462.19)	0.80%	Substantial completion	
ENDING BALANCE	\$659,297.84				

**Report of Project Reserve Fund Activity
From 05/13/11 to 07/15/11**

Project Title	Transfer Amount	Description	% of Construction Budget
BEGINNING BALANCE	\$260,788.54		
INCREASES TO PROJECT RESERVE FUND			
None			
DECREASES TO PROJECT RESERVE FUND			
Geology to Maeser Steam Replacement	(51,087.00)	Amount needed to award contracts	20.05%
ENDING BALANCE	\$209,701.54		

Current Delegated Projects List 07/15/11

Project Number	Project Name	Phase	Project Budget
CAPITAL DEVELOPMENT/IMPROVEMENT			
A16873	Fire Alarm Upgrades FY08	Construction	286,699
A16881	CEP Chillers	Construction	1,541,035
A18972	Planning & Design Fund FY09	Design/Study	100,000
A18973	Health, Life Safety & Code Compliance Projects FY09	Substantial Completion	194,189
A18985	Miscellaneous Critical Improvements FY09	Construction	206,800
A20557	Campus-wide Medium Voltage Upgrade FY10	Construction	625,063
A20794	Ray B. West Window Replacement	Substantial Completion	770,724
A20856	Miscellaneous Critical Improvements FY10	Construction	396,247
A20857	Planning & Design Fund FY10	Design/Study	100,000
A20858	Health, Life Safety & Code Compliance Projects FY10	Construction	107,612
A20859	Classroom Upgrades FY10	Construction	298,903
A20860	HPER Office/Classroom Upgrade	Construction	209,057
A20861	Fine Arts Complex Safety Repairs	Construction	431,836
A20864	Business MCC and E-Panel	Construction	100,000
A21088	Utah Botanical Center Wetland Lab ARRA Improvements	Substantial Completion	513,358
A20593	Utah Botanical Center Classroom Building	Substantial Completion	2,860,656
A22986	Merlin Olsen Monument	Substantial Completion	250,000
A22907	Planning and Design Fund FY11	Design/Study	75,000
A22908	Health, Life Safety & Code Compliance Projects FY11	Construction	100,000
A22909	Classroom Upgrades FY11	Construction	407,151
A22906	Family Life Window Replacement	Substantial Completion	677,031
A22910	Fire Protection (Various Buildings) FY11	Construction	573,795
A22911	Sign System FY11	Construction	47,747
A22912	NE Steam Line Phase IV FY11	Substantial Completion	689,671
A22913	Theater Stage Rigging	Substantial Completion	894,238
A22914	Medium Voltage Upgrade FY11	Construction	479,126
A22915	Campus Wide Bike Racks FY11	Construction	48,678
A22917	Miscellaneous Critical Improvements FY11	Construction	153,315

A23644	HSRC 2nd Floor Remodel	Construction	468,951
A23857	Spectrum Volleyball Locker Room Remodel	Design only	10,000
A24159	Chilled Water Thermal Storage	Construction	2,815,654
A24112	Laub Indoor Turf Replacement	Substantial Completion	251,555
A23999	CEU Life Safety/Signage	Design	333,424
A24472	Innovation Campus Demolition/Land Cleanup	Construction	336,139
A24756	Sant Engineering Clean Room Remodel	Design	400,000
A24755	Rich/Davis Hall Renovation	Construction	909,050
A24870	1200 East (Aggie Village) Landscape	Pending	95,708
A24860	BNR Fire Protection Phase II	Pending	573,243
A24858	Building Commissioning FY12	Commissioning	190,991
A24863	Campus Wide Bike Racks FY12	Pending	47,748
A24861	Chilled Water - Edith Bowen/CPD	Pending	287,522
A24868	Chiller Controls Upgrades FY12	Construction	95,238
A24857	Classroom/Auditorium Upgrades FY12	Construction	386,784
A24869	Concrete Replacement FY12	Pending	238,778
A24873	Geology to Maeser Steam Replacement	Construction	391,993
A24856	Health/Life Safety/Code/Asbestos FY12	Construction	143,363
A24872	Medium Voltage Upgrades FY12	Pending	238,938
A24859	Miscellaneous Critical Improvements FY12	Pending	262,613
A24862	NFS HVAC Design	Pending	200,000
A24855	Planning and Design Fund FY12	Pending	102,903
A24866	Quad Area Utility Upgrade	Pending	150,000
A24865	Sign System FY12	Pending	47,748
A24864	South Farm Sewer Line	Design	71,772
A24867	Steam Line Insulation FY12	Pending	95,238
A25065	Tremonton Campus - Classroom Remodel (NEW PROJECT)	Construction	492,222
A24936	Vet Science Animal Cadaver Lab Remodel (NEW PROJECT)	Design	335,900
A25032	Tennis Courts Lighting (NEW PROJECT)	Construction	252,000

PAVING (STATEWIDE)

A24871	Paving (Student Living Center Parking Lot)	Construction	340,562
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TOTAL (58)

\$23,703,968

ITEM FOR ACTION

RE: Capital Improvement Priority List for FY 2012-13

The attached Capital Improvement Priority List for FY 2012-13 is submitted to the Board of Trustees for consideration. It has received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

The Utah Legislature appropriates state funds for the purpose of capital facilities improvement. The attached Capital Improvement Priority List for FY 2012-13 emphasizes critical repairs, renovations, and infrastructure projects.

RECOMMENDATION

The President and Vice President for Business and Finance recommend that the Board of Trustees approve the Capital Improvement Priority List for FY 2012-13 as presented.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, The Utah Legislature appropriates state funds for the purpose of capital facilities improvement; and

WHEREAS, Utah State University is required to submit its prioritized recommendation for such projects to the Board of Regents after approval by the Utah State University Board of Trustees; and

WHEREAS, The President and Vice President for Business and Finance have reviewed the Capital Improvement List for FY 2012-13 and recommend its approval to the Utah State University Board of Trustees:

NOW, THEREFORE, BE IT RESOLVED, That the Utah State University Board of Trustees hereby approves the Capital Improvement Priority List for FY 2012-13 as presented.

RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES

Date

USU Capital Improvement Priority List for FY 2012-13

8/11/2011

Priority	Project Name	Description / Justification	Cost Estimate	Cum. Total:
1	Planning and Design Fund	Funds to be used for general programming efforts on current or next year's projects.	\$100,000	\$100,000
2	Campus-Wide Health, Life Safety, Code Compliance & Asbestos Abatement	To include but not limited to: handrails, hardware, ADA, asbestos abatement, etc.	\$150,000	\$250,000
3	Campus-Wide Classroom and Auditorium Upgrades	Funding for miscellaneous classroom upgrades to include: paint, carpet, window covering, seating, desks, modernization, etc.	\$300,000	\$550,000
4	Campus-Wide Building Commissioning	Commissioning of buildings.	\$200,000	\$750,000
5	Misc Critical Improvements	Misc Critical Improvements	\$250,000	\$1,000,000
6	Roofing Projects	Old Main Center Capsheet, HPER Center & South, Geology	\$500,000	\$1,500,000
7	Campus-Wide Bike Racks	Install bike racks across campus as determined.	\$50,000	\$1,550,000
8	Campus-Wide Sign System	Provide miscellaneous signs across campus and replace existing worn, outdated signs.	\$50,000	\$1,600,000
9	Campus Concrete Replacement	Campus-wide exterior concrete replacement	\$250,000	\$1,850,000
10	Campus-Wide Access Control	Expand electronic card access system to existing buildings including Edith Bowen to improve building security and security for classrooms with technology.	\$150,000	\$2,000,000
11	Campus-wide Controls Upgrade	Complete upgrade to controls in Merrill Library on the Sci-Tech side. The controls at OM are all pneumatic. Replace thermostats and damper controls with digital.	\$250,000	\$2,250,000
12	FAV Cooling	Install A/C and air handler in FAV, per Master Planning Study.	\$1,200,000	\$3,450,000
13	Campus Wide E-Generator	Replace/Upgrade emergency generators. (Buildings considered: UWRL, FA Museum, BNR, HPER, NFS)	\$250,000	\$3,700,000
14	Fine Arts Precast Concrete Panel Replacement	Replace crumbling exterior precast concrete wall panels in pedestrian zones.	\$350,000	\$4,050,000
15	Business Bldg Steam Line Connection	Upgrade existing steam line and chilled water connection to existing Business Bldg.	\$500,000	\$4,550,000

USU Capital Improvement Priority List for FY 2012-13

8/11/2011

Priority	Project Name	Description / Justification	Cost Estimate	Cum. Total:
16	Medium Voltage Upgrade	North overhead to underground conversion PH II. Oil switches.	\$250,000	\$4,800,000
17	OM Masonry Restoration So wing	Masonry restoration on Old Main.	\$250,000	\$5,050,000
18	HPER Field Irrigation Upgrade	The existing irrigation system on the HPER Field was designed and installed over forty years ago. Replacement irrigation heads have been unavailable for many years. Currently produced heads cannot match the spacing of the old heads leaving areas that receive less water. As such for the field to be playable it has to be over-watered extensively. The upgrade would replace asbestos transite main line pipe.	\$360,000	\$5,410,000
19	BNR Fire Protection Upgrade - Phase III	Extend the fire sprinkling system and replace the existing corridor ceilings and lighting on the remaining floors of the south wing.	\$600,000	\$6,010,000
20	Replace piping and refurbish existing tunnel located North of Ray B West and Family Life.	Refurbish the utilities (Steam, Chilled Water, Water, Sewer, Fiber etc.) in the old existing tunnel running east and west at Family Life and Ray B West area, utilizing the existing tunnel structure.	\$600,000	\$6,610,000
21	Family Life Chilled Water	Extend chilled water from RBW to FL	\$250,000	\$6,860,000
22	Mtn View parking lot west of tennis courts	Current paving is highly deteriorated	\$440,000	\$7,300,000
23	Site & Safety Lighting	Campus wide site and safety lighting upgrade.	\$350,000	\$7,650,000
24	NFS Mechanical System PH I	Replace existing air handler, flexible ductwork, mixing boxes and controls.	\$800,000	\$8,450,000
25	Morgan Theater Ceiling Replacement	Replace ceiling w/ acoustic clouds, add duct diffusers, add seismic bracing, add fire sprinklering, replace work and house lights, replace catwalks.	\$990,000	\$9,440,000
26	Kent Concert Hall New Elevator and Restroom Lobby	Addition in courtyard to expand Kent Lobby to comply with ADA requirements for restrooms and elevator access. Also includes space for a small food service.	\$1,200,000	\$10,640,000
27	Geology Greenhouse	Replace the Geology Greenhouse (\$2,800 sq ft)	\$250,000	\$10,890,000
28	Water Lab front bridge	Steel supports and framing are deteriorated and need to be repaired/replaced. Bridge does not support fire truck loads.	\$500,000	\$11,390,000

USU Capital Improvement Priority List for FY 2012-13

8/11/2011

Priority	Project Name	Description / Justification	Cost Estimate	Cum. Total:
29	Fine Arts Seismic Upgrades	Exterior wall bracing and shear transfer in Morgan Theatre and Kent Concert Hall	\$50,000	\$11,440,000
30	South Farm Paving	Paving for fire lane east behind sheep barn to feed mill	\$76,000	\$11,516,000
31	Education Atrium	Replace clear glass portions of existing skylight with insulated translucent panels. This would reduce the heat load created by direct sunlight through the existing atrium structure. This project would improve comfort throughout the building and save energy.	\$350,000	\$11,866,000
32	U. Inn to Widstoe Steam Line Replacement	Relocate existing steam line running under the Tanner Frtn.	\$400,000	\$12,266,000
33	Campus-wide Irrigation & Landscape Upgrades	Replace old irrigation systems and landscaping.	\$100,000	\$12,366,000
34	Exterior Shades for Library Phase I	The east and south walls of the library receive considerable direct solar heat gain. These shading devices would reduce glare and the air conditioning load.	\$300,000	\$12,666,000
35	Ag Sci Site Restoration	Restore site at old Ag Sci building	\$250,000	\$12,916,000
36	NFS Elevator	Upgrade elevator at NFS.	\$100,000	\$13,016,000
37	Campus Fire Alarm Upgrades	Buildings considered: SER, Old Main, Industrial Science, Education to include electro magnetic door closers and hold opens.	\$250,000	\$13,266,000
38	Campus Electrical Upgrades	Service upgrade to include Research greenhouse electrical service (\$75,000) , Fine Arts electrical power distribution (\$75,000), Vet Sci MCC (\$100,000)	\$250,000	\$13,516,000
39	700 North Crosswalks	Re-do pedestrian crosswalks and curbs along 700 North.	\$100,000	\$13,616,000

USU Capital Improvement Priority List for FY 2012-13

8/11/2011

Priority	Project Name	Description / Justification	Cost Estimate	Cum. Total:
USU Eastern				
1	Price Campus: Library Building Fire System, HVAC, & Door/Window Upgrade	The 2008 ISES report identified the following items: Install an automatic fire suppression system including main panel, piping, valves, sprinkler heads, flow switches, sensors, and seismic bracing. Install a new HVAC system including air handlers, heat exchangers, pumps, exhaust fans, and building automation controls. Upgrade electrical system and devices including new panels, circuit breakers, and GFCI protection. Replace aging water supply and plumbing with new copper lines, valves, backflow preventer, seismic bracing, and restrooms with ADA complaint fixtures. Exterior windows and doors need to be replaced with architecturally appropriate units and hardware.	\$1,394,117	\$1,394,117
2	Price Campus: CBB Building Fire System & HVAC upgrade	The 2008 ISES report identified the following items: Replace outdated fire system, including main panel, horns, strobes, smoke & heat detectors, pull stations, and sprinkler system to meet code compliance. Replace original basement air handler including new coils, housing, and insulation. Replace roof top air chiller and building automation controls. Replace humidifiers in research area with large commercial unit and consolidate leaking hot water heaters with centrally located unit.	\$881,109	\$2,275,226
3	Price Campus: Purchasing Building Fire System, HVAC & Electrical Upgrade	The 2008 ISES report identified the following items: Replace fire alarm system, including main panel, horns, strobes, smoke & heat detectors, and sprinkler system to meet code compliance. Replace original unit heating system with a new hot water system, heat exchanger, pumps, piping, and building automation controls. Existing pipe may contain asbestos and needs to be removed. Replace original electrical system with an upgrade of new panels, switches, GFCI receptacles, etc. Replace original water supply and plumbing with new copper piping, valves, pressure regulators, backflow preventers, seismic bracing, and restrooms with ADA compliant fixtures. Replace exterior & interior doors, door hardware and signage to meet ADA code compliance.	\$631,200	\$2,906,426
4	Price Campus: Career Center Building Fire System & Door/Window Upgrade	The 2008 ISES report identified the following items: Upgrade fire alarm system including, main panel, pull stations, audible & visual alarms, smoke & heat detectors, and wiring. Replace fire sprinkler system with new heads. Replace interior doors with appropriate fire rated doors and rollup shop doors. Replace exterior windows with appropriate, thermal pane glazing.	\$1,036,285	\$3,942,711

USU Capital Improvement Priority List for FY 2012-13

8/11/2011

Priority	Project Name	Description / Justification	Cost Estimate	Cum. Total:
5	Price Campus: Art Building Fire System & Seismic Upgrade	The 2008 ISES report identified the following items: Install an automatic fire sprinkler and upgrade alarm system, including main panel, piping, valves, flow switches, sensors, pull stations, smoke & heat detectors, and seismic bracing. The interior floor finish is in poor condition and needs to be upgraded to be inline with the use of the building.	\$100,446	\$4,043,157
6	Price Campus: Old Student Activity Center Seismic & Fire System Upgrade	The 2008 ISES report identified the following items: A structural upgrade is a high priority due to the foundation failing in various locations. Install a new fire alarm and sprinkler system including new horns, strobes, smoke & heat detectors, piping, valves, sprinkler heads, flow switches, sensors, and seismic bracing. Install new fire rated interior doors with code compliant hardware. Replace exterior windows with architecturally appropriate units. Replace electrical system with new panels, switches, circuit breakers, and GFCI protection. Replace existing water system with new copper lines, backflow protectors, isolation valves, seismic bracing and restrooms with ADA complaint fixtures.	\$5,909,821	\$9,952,978
7	Price Campus: Geary Theater Fire System, HVAC, & ADA Upgrade	The 2008 ISES report identified the following items: Install a new fire alarm and sprinkler system including main panel, horns, strobes, smoke & heat detectors, sprinkler heads, and seismic bracing. The attic area is used for production lighting; install a new metal catwalk with guardrails to improve safety. Redesign and replace HVAC system with new air handlers, exhaust fans, VAV boxes, ductwork, heat exchangers, pumps, fan coil units, and piping. Replace original electrical system including new circuit breakers, main switchboard, power panels, switches, GFCI protection, etc. Repair exterior brickwork & steps, replace existing windows with energy efficient units, replace exteriors doors and hardware, replace interior doors with fire code doors and code compliant hardware. Replace existing stage lighting and auditorium seating. Replace original water supply & drain piping with new copper lines, valves, backflow preventers, floor drains, roof drains, traps, and seismic bracing. Renovate restrooms with ADA fixtures, finishes, and partitions. Renovate dressing rooms with dressing space, new fixtures with ADA complaint fixtures.	\$2,130,437	\$12,083,415

USU Capital Improvement Priority List for FY 2012-13

8/11/2011

Priority	Project Name	Description / Justification	Cost Estimate	Cum. Total:
8	Price Campus: Music Building Structural, Fire System, HVAC, Doors Upgrade	<p>The 2008 ISES report identified the following items: Replace existing exit signage & emergency lighting with new units. Upgrade existing fire alarm system to include main panel, pull stations, smoke & heat detectors, audible & visual alarms to meet current NFPA and ADA requirements. Replace exterior windows with architecturally appropriate energy efficient units. Replace existing doors with fire rated doors and ADA hardware. Redesign and replace the HVAC including new air handlers, exhaust fans, heat exchangers, pumps, piping, building automation controls, VAV boxes, and VFD's. Replace existing light fixtures with energy efficient design. Replace existing electrical system including new components, circuit breakers, power panels, switches, conductors, and GFCI protection. Replace water supply system and renovate restrooms including new copper lines, valves, backflow preventers, ADA fixtures, finishes, partitions, seismic bracing. A structural analysis has not been conducted on this building.</p>	\$1,110,939	\$13,194,354

23 August 2011

ADDENDUM TO CONSENT AGENDA

ITEM FOR ACTION

RE: Contract/Grant Proposals and Awards (June, 2011)

The summary of the Status of Sponsored Program Awards, prepared by our Sponsored Programs Office for June, 2011, is submitted for the Trustees' consideration. They have received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

The awards for the month of June, 2011 amounted to \$15,996,985 versus \$16,077,946 for June, 2010. The current year's June awards figure was (-.05%) less than the June, 2010 figure.

The comparative graph, "Utah State University Sponsored Program Awards" indicates that June, 2011 cumulative awards were (12.9%) more than last year for the same time period. Scholarships, fellowships, and state appropriations for research are not included in either figure.

The value of proposals submitted by faculty increased from \$34,841,091 in June, 2010 to \$41,010,436 in June, 2011 (+17.6%). The number of current year proposals (1,477) is less than last year (1,525) for the same time period.

RECOMMENDATION

The President and Vice President for Research recommend that the Board of Trustees approve the contract and grant status report for June, 2011.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, The attached lists of contract/grant proposals and awards (June, 2011) are recommended by the President and the Vice President for Research to the Board of Trustees:

NOW THEREFORE, BE IT NOW RESOLVED, That the USU Board of Trustees hereby approves the recommendation of the President and the Vice President for Research.

RESOLUTION APPROVED BY BOARD OF TRUSTEES:

Date

UTAH STATE UNIVERSITY
 SPONSORED PROGRAMS OFFICE
 PROPOSALS BY COLLEGE
 FOR PERIOD: JUNE 2011

FY 2010/2011

COLLEGE	CURRENT MONTH					CUMULATIVE TOTALS						
	PROPOSALS FY 2009/2010	PROPOSALS FY 2010/2011	TOTAL \$ CHANGE	# 09/10	# 10/11	TOT CHG	PROPOSALS FY 2009/2010	PROPOSALS FY 2010/2011	TOTAL \$ CHANGE	# 09/10	# 10/11	TOT CHG
AGRICULTURE	\$ 4,439,452.48	\$ 3,819,295.75	\$ (620,156.73)	7	24	17	\$ 44,841,789.28	\$ 46,682,277.27	\$ 1,840,487.99	177	189	12
ARTS	\$ -	\$ -	\$ -	-	-	-	\$ 46,859.50	\$ 44,000.00	\$ (2,859.50)	6	4	(2)
BUSINESS	\$ 608,575.00	\$ (7,500.00)	\$ (616,075.00)	-	(1)	(1)	\$ 1,386,037.15	\$ 305,341.97	\$ (1,080,695.18)	5	4	(1)
CEU	\$ -	\$ 924,148.43	\$ 924,148.43	-	6	6	\$ -	\$ 7,855,440.43	\$ 7,855,440.43	-	26	26
EDUCATION	\$ 6,634,856.15	\$ 10,927,762.46	\$ 4,292,906.31	5	29	24	\$ 109,708,087.49	\$ 107,424,878.98	\$ (2,283,208.51)	233	249	16
ENGINEERING	\$ 4,543,244.71	\$ 6,033,542.57	\$ 1,490,297.86	2	23	21	\$ 76,008,725.46	\$ 169,859,311.27	\$ 93,850,585.81	318	311	(7)
HaSS	\$ 196,354.42	\$ 95,000.00	\$ (101,354.42)	6	3	(3)	\$ 3,025,153.97	\$ 2,699,106.97	\$ (326,047.00)	31	29	(2)
NAT. RESOURCES	\$ 5,046,618.96	\$ 1,038,986.72	\$ (4,007,632.24)	16	19	3	\$ 24,061,668.31	\$ 19,511,987.41	\$ (4,549,680.90)	189	165	(24)
SCIENCE	\$ 2,048,499.56	\$ 6,971,000.00	\$ 4,922,500.44	12	26	14	\$ 93,852,858.05	\$ 63,450,769.20	\$ (30,402,088.85)	200	170	(30)
MISCELLANEOUS	\$ 13,815,359.85	\$ 2,353,021.93	\$ (11,462,337.92)	14	24	10	\$ 43,354,904.43	\$ 10,079,048.35	\$ (33,275,856.08)	162	143	(19)
USURF TOTALS	\$ (2,461,870.50)	\$ 8,855,177.72	\$ 11,317,048.22	17	21	4	\$ 230,971,961.78	\$ 189,333,976.21	\$ (41,637,985.57)	204	187	(17)
GRAND TOTAL	\$ 34,871,090.63	\$ 41,010,435.58	\$ 6,139,344.95	79	174	95	\$ 627,258,045.42	\$ 617,246,138.06	\$ (10,011,907.36)	1,525	1,477	(48)

PERCENTAGE CHANGE :
 DOLLARS JUNE 2009 to 2010 17.61%
 NUMBERS JUNE 2009 to 2010 120.25%

TOTAL DOLLARS FY 09/10 to FY 10/11 -1.60%
 TOTAL NUMBERS FY 09/10 to 10/11 -3.15%

Notes : This report no longer includes Scholarship, Fellowship, State Legislative Research, or IOT/IOT funds.
 : The Miscellaneous line includes the Cooperative Extension, Information Technology, Library Services, Provost, Regional Campuses & Distance Education, School of Graduate Studies, Student Services, University & Community Relations, VP for Administrative Affairs, VP for Research, & VP for Strategic Ventures and Economic Development
 : On July 1, 2010 Utah State University merged with the College of Eastern Utah (CEU), and their numbers will be reflected in these reports from that date forward.
 : On July 1, 2010 Departments were removed from the College of HaSS, and were moved to the newly created Caine College of the Arts.

Selected List of Proposals Greater than \$1,000,000 from 06-01-2011 to 06-30-2011

Filters Applied*: No Filter

Proposal # 1: New

Control Number	111146	Agency	1,425,333.35
Proposal ID	T00029751 Revision: 0	USU	0.00
Funding Agency	National Institutes of Health (NIH)	Other	0.00
Department	BIOLOGICAL & IRRIGATION ENGINEERING	Total	1,425,333.35
College	College of Engineering		
Research Center	University Research and Training		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	Yue CUI;		
Co-PI(s)			
Period of Performance	12-01-2011 -- 11-30-2012		
Proposal Date	06-02-2011		
Program Name	GRAPDHENE NANOSENSOR ON TOOTH FOR CONTINUOUS AND NONINVASIVE DIAGNOSIS OF DIABET		
Statement	THE GOAL OF THIS PROJECT IS TO DEVELOP PEPTIDE-BASED GRAPHENE NANOSENSOR ON TOOTH FOR BREATH DETECTION FOR DIABETES, WHICH CAN LEAD TO THE CONTINUOUS, NONINVASIVE, AND LONG-TERM MONITORING.		

Proposal # 2: New

Control Number	111158	Agency	1,071,000.00
Proposal ID	T00029764 Revision: 0	USU	0.00
Funding Agency	National Institutes of Health (NIH)	Other	0.00
Department	NUTRITION, DIETETICS AND FOOD SCIEN	Total	1,071,000.00
College	College of Agriculture		
Research Center	Ag Experiment Station		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	Ilka M NEMERE;		
Co-PI(s)			
Period of Performance	01-01-2012 -- 12-30-2016		
Proposal Date	06-03-2011		
Program Name	ROLE OF THE 1,25D3 MARRS RECEPTOR/PDIA3/ERP57 IN LONGEVITY		
Statement	MICE BEARING A TARGETED KNOCKOUT OF THE 1,25D3-MARRS RECEPTOR/PDIA3 IN INTESTINAL EPITHELIAL CELLS WILL BE COMPARED TO LITERMATES THAT HAVE THE GENE FOR A NUMBER OF MARKERS OF LONGEVITY, AS WELL AS HOW LONG THEY ACTUALLY LIVE.		

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Prepared: 07-15-2011 10:15

Proposal # 3: New

Control Number	111169	Agency	240,672.18
Proposal ID	T00029775 Revision: 0	USU	613,367.98
Funding Agency	Utah Valley University	Other	1,468,675.00
Department	COLLEGE OF EASTERN UTAH	Total	2,322,715.16
College	College of Eastern Utah (CEU)		
Research Center	College of Eastern Utah (CEU)		
Research Function	Public Service		
Principal Investigator(s)	Brenda RAWSON;		
Co-PI(s)			
Period of Performance	09-01-2011 -- 08-31-2016		
Proposal Date	06-03-2011		
Program Name	GEAR-UP CEU 2011-2016 PROJECTION BUDGET		
Statement	THE COLLEGE-READINESS PROGRAM WILL INCORPORATE NONE PUBLIC SCHOOLS IN CARBON AND EMERY COUNTIES AND ONE HIGHER-EDUCATION INSTITUTION, USU-COLLEGE OF EASTERN UTAH IN PRICE, UTAH, PROJECTING TO SERVE 410 STUDENTS THE FIRST YEAR. THIS IS A COMPREHENSIVE PROGRAM THAT WILL ASSIST STUDENTS THROUGH HIGH SCHOOL GRADUATION AS WELL AS THEIR FIRST YEAR OF COLLEGE.		

Proposal # 4: New

Control Number	111184	Agency	1,226,325.00
Proposal ID	T00029791 Revision: 0	USU	605,325.00
Funding Agency	Economic Development Administration	Other	621,000.00
Department	BRIGHAM CITY CAMPUS	Total	2,452,650.00
College	Regional Campuses and Distance Educ		
Research Center	Regional Campuses / Distance Ed.		
Research Function	Other Assistance		
Principal Investigator(s)	Thomas R LEE;		
Co-PI(s)			
Period of Performance	08-01-2011 -- 12-31-2012		
Proposal Date	06-07-2011		
Program Name	FY11 Public Works, Economic Adjustment, and GCCMIG Programs Opportunity		
Statement	The project is to demolish the buildings on the intermountain school land in Brigham City that USU purchased, and to build the first building which will be the Brigham City Innovation Campus. The Brigham City Innovation Campus building will be a business incubator with office space, production space, and a commercial kitchen for food product start up businesses.		

Proposal # 5: New

Control Number	111247	Agency	1,100,000.00
Proposal ID	T00029863 Revision: 0	USU	0.00
Funding Agency	Utah Department of Workforce Services	Other	0.00
Department	FAMILY, CONSUMER & HUMAN DEV.	Total	1,100,000.00
College	College of Education & Human Servic		
Research Center	University Research and Training		
Research Function	Public Service		
Principal Investigator(s)	Ann M AUSTIN;		
Co-PI(s)			
Period of Performance	07-01-2011 -- 06-30-2012		
Proposal Date	06-16-2011		
Program Name	Child Care Professional Development Institute (CCPDI)		
Statement	The Child Care Professional Development Institute (CCPDI) processes professional development awards and provides technical assistance to child care providers statewide. CCPDI is also home of the statewide child care information toll free number and runs the Quality Recognition and Information System (QRIS) for the state of Utah.		

Proposal # 6: New

Control Number	111249	Agency	1,499,898.00
Proposal ID	T00029867 Revision: 0	USU	0.00
Funding Agency	Institute of Education Sciences	Other	0.00
Department	COMMUNICATIVE DISORDERS & DEAF EDUC	Total	1,499,898.00
College	College of Education & Human Servic		
Research Center	University Research and Training		
Research Function	Sponsored Research-Development		
Principal Investigator(s)	Karl R WHITE;		
Co-PI(s)	CATHERINE CALLOW-HEUSSER; KAREN MUNOZ; Kristina BLAISER;		
Period of Performance	04-01-2012 -- 03-31-2015		
Proposal Date	06-16-2011		
Program Name	Investigating Feasibility, Effectiveness, and Costs of Teleintervention		
Statement	This project will develop and pilot a teleintervention model for service delivery that will help meet the needs of children with hearing loss and their families who are not receiving adequate and timely intervention due to a shortage of highly qualified professionals, rural locations, and commuting challenges.		

Proposal # 7: New

Control Number	111257	Agency	1,291,898.00
Proposal ID	T00029876 Revision: 0	USU	0.00
Funding Agency	National Institutes of Health (NIH)	Other	0.00
Department	PSYCHOLOGY	Total	1,291,898.00
College	College of Education & Human Servic		
Research Center	University Research and Training		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	Melanie M. DOMENECH-RODRIGUEZ;		
Co-PI(s)			
Period of Performance	04-01-2012 -- 03-31-2017		
Proposal Date	06-20-2011		
Program Name	Full PMTO Transfer with Fidelity: Evaluation of Measure and Methods		
Statement	State of the art scientific methods have produce ESBTs for children's conduct disorder, particularly effective parent training programs. This grant seeks to clarify conceptual, measurement, and methodological issues in order to have a broadly recognized, feasible and methodologically rigorous fidelity maintenance procedure that can be applied consistently and confidently for the benefit of clients.		

Proposal # 8: New

Control Number	111262	Agency	2,932,025.00
Proposal ID	T00029882 Revision: 0	USU	0.00
Funding Agency	Institute of Education Sciences	Other	0.00
Department	SPECIAL EDUCATION & REHABILITATION	Total	2,932,025.00
College	College of Education & Human Servic		
Research Center	University Research and Training		
Research Function	Sponsored Research-Applied		
Principal Investigator(s)	SCOTT ROSS;		
Co-PI(s)			
Period of Performance	03-01-2012 -- 02-28-2016		
Proposal Date	06-22-2011		
Program Name	Bully Prevention in Positive Behavior Support		
Statement	The purpose of this project to implement and evaluate a systemic approach to bully prevention in 22 Utah elementary schools using a randomized controlled trial. The approach, Bully Prevention in Positive Behavior Support, blends School-Wide Positive Behavior and Intervention Support with skills instruction and assessment-based support to reduce bullying, prevent behavior problems and improve academic outcomes.		

Proposal # 9: New

Control Number	111305	Agency	1,214,052.00
Proposal ID	T00029927 Revision: 0	USU	0.00
Funding Agency	Institute of Education Sciences	Other	0.00
Department	SCHOOL OF TEACHER ED. & LEADERSHIP	Total	1,214,052.00
College	College of Education & Human Service		
Research Center	University Research and Training		
Research Function	Sponsored Research-Applied		
Principal Investigator(s)	SARAH CLARK;		
Co-PI(s)	CINDY JONES; Douglas Ray REUTZEL;		
Period of Performance	03-01-2012 -- 08-28-2016		
Proposal Date	06-23-2011		
Program Name	Development and Validation of a Vertically Scaled Reading Comprehension Assessment		
Statement	The outcome of this project will be an empirically validated reading comprehension assessment that controls for ceiling and floor effects, text difficulty, reading comprehension instruction, and students' prior knowledge. This assessment will provide a common scale of measurement across years and provide educators, policy makers and researchers with the ability to confidently assess student growth and teacher effectiveness longitudinally.		

Proposal # 10: New

Control Number	111318	Agency	3,348,810.00
Proposal ID	T00029943 Revision: 0	USU	0.00
Funding Agency	National Science Foundation	Other	0.00
Department	BIOLOGY	Total	3,348,810.00
College	College of Science		
Research Center	Ecology Center		
Research Function	Instruction and Training		
Principal Investigator(s)	Michelle A. BAKER;		
Co-PI(s)	Douglas Bryan JACKSON-SMITH; Keith GIBSON; Mark William BRUNSON;		
Period of Performance	07-01-2012 -- 06-30-2017		
Proposal Date	06-30-2011		
Program Name	IGERT: TRANSLATIONAL RESEARCH AND EDUCATION FOR ENVIRONMENTAL SUSTAINABILITY (TREES) IN THE GREAT BA		
Statement	IN IGERT-TREES, STUDENTS FROM DIVERSE DISCIPLINES WILL COLLABORATE WITH ONE ANOTHER AND WITH STAKEHOLDERS IN A RESEARCH AND TRAINING PROGRAM TO ADDRESS PRESSING HUMAN-ENVIRONMENTAL PROBLEMS IN THE GREAT BASIN. A PARTNERSHIP WITH THE TPPC PROGRAM WILL TRAIN STUDENTS TO MORE EFFECTIVELY COMMUNICATE THE RESULTS AND IMPLICATIONS OF THEIR RESEARCH.		

Proposal # 11: New

Control Number	S00001041	Agency	2,006,181.57
Proposal ID	T00001513 Revision: 0	USU	0.00
Funding Agency	Missile Defense Agency	Other	0.00
Department	USURF	Total	2,006,181.57
College	USURF		
Research Center	USU Research Foundation		
Research Function	Sponsored Research-Applied		
Program Manager(s)	Bruce GUILMAIN;		
Co-PI(s)			
Period of Performance	06-01-2011 -- 06-30-2016		
Proposal Date	06-03-2011		
Program Name	Overhead Persistent InfraRed (OPIR) Support and Evaluation to MDA/CR		
Statement	Provide senior systems engineering support and evaluate Overhead Persistent InfraRed (OPIR) to include DoD and Intelligence assets, PTSS, ABIR, BMDS, and C2BMC systems, interfaces and interoperability risks and their associated cost and schedule characteristics, coordinate requirements and potential solutions. Develop deployment and integration of BMDS OPIR architecture into the C2BMC architecture and the BMDS.		

Proposal # 12: New

Control Number	S00001042	Agency	1,599,361.00
Proposal ID	T00001514 Revision: 0	USU	0.00
Funding Agency	NASA Langley Research Center	Other	0.00
Department	USURF	Total	1,599,361.00
College	USURF		
Research Center	USU Research Foundation		
Research Function	Sponsored Research-Applied		
Program Manager(s)	Chad FISH;		
Co-PI(s)			
Period of Performance	05-01-2012 -- 04-30-2015		
Proposal Date	06-24-2011		
Program Name	ROSES LCAS: Rocket-borne Storm Energetics of Auroral Dosing in the E-region (ROCK-STEADE)		
Statement	The Space Dynamics Laboratory will develop, calibrate, intergrate, test, and provide launch support of this sounding rocket program.		

Proposal # 13: New

Control Number	S00001043	Agency	1,202,003.00
Proposal ID	T00001515 Revision: 0	USU	0.00
Funding Agency	NASA Langley Research Center	Other	0.00
Department	USURF	Total	1,202,003.00
College	USURF		
Research Center	USU Research Foundation		
Research Function	Sponsored Research-Applied		
Program Manager(s)	Chad FISH;		
Co-PI(s)			
Period of Performance	05-01-2012 -- 04-30-2015		
Proposal Date	06-24-2011		
Program Name	ROSES LCAS: Mass Spectrometry of the Turbopause Region (MSTR)		
Statement	The Space Dynamics Laboratory will develop, calibrate, integrate, test, and launch four sounding rockets in the turbopause region.		

Proposal # 14: New

Control Number	S00001053	Agency	1,428,645.00
Proposal ID	T00001525 Revision: 0	USU	0.00
Funding Agency	Department of Energy	Other	0.00
Department	USURF	Total	1,428,645.00
College	USURF		
Research Center	USU Research Foundation		
Research Function	Sponsored Research-Applied		
Program Manager(s)	Paul ISRAELSEN;		
Co-PI(s)			
Period of Performance	09-15-2011 -- 09-15-2014		
Proposal Date	06-09-2011		
Program Name	Wireless Panel to Panel Power Transfer System for Extreme Balance of System Cost Reduction		
Statement	The Energy Dynamics Laboratory will leverage recent breakthroughs in wireless power transfer technology to accomplish panel to panel electrical connectivity wirelessly achieving up to a 44% reduction in costs per watt for installed system .		

Proposal # 15: New

Control Number	S00001069	Agency	1,122,936.00
Proposal ID	T00001543 Revision: 0	USU	0.00
Funding Agency	Broadcast International	Other	0.00
Department	USURF	Total	1,122,936.00
College	USURF		
Research Center	USU Research Foundation		
Research Function	Sponsored Research-Applied		
Program Manager(s)	Aravind R DASU;		
Co-PI(s)			
Period of Performance	10-31-2011 -- 09-30-2013		
Proposal Date	06-30-2011		
Program Name	Broadcast International - Computer Vision Based Video Compression		
Statement	The Energy Dynamics Laboratory will investigate, research, and develop computer vision algorithms that will work as pre-processing operations to a standard compliant H.264 video codec, with the purpose of significantly improving compression.		

Agency Total	22,709,140.10
USU Total	1,218,692.98
Other Total	2,089,675.00
Grand Total	26,017,508.08

* Only proposals from the listed filters are included in this report. If you believe that you should have access to information about additional departments, colleges, or research centers, please submit a support request on the Electronic-Office website or email Keith Paskett: Keith.Paskett@usurf.usu.edu.

UTAH STATE UNIVERSITY
 SPONSORED PROGRAMS OFFICE
 AWARDS BY COLLEGE
 FOR PERIOD: JUNE 2011

FY 2010/2011

CUMULATIVE TOTALS

CURRENT MONTH

COLLEGE	CURRENT MONTH				CUMULATIVE TOTALS							
	AWARDS FY 2009/2010	AWARDS FY 2010/2011	TOTAL \$ CHANGE	# 09/10	# 10/11	TOT CHG	AWARDS FY 2009/2010	AWARDS FY 2010/2011	TOTAL \$ CHANGE	# 09/10	# 10/11	TOT CHG
AGRICULTURE	\$ 1,839,385.74	\$ 364,246.29	\$ (1,475,139.45)	9	10	1	\$ 20,910,036.70	\$ 18,629,285.42	\$ (2,280,751.28)	154	157	3
ARTS	\$ -	\$ -	\$ -	-	-	-	\$ 49,863.60	\$ 39,500.00	\$ (10,363.60)	5	6	1
BUSINESS	\$ (25,700.00)	\$ (7,500.00)	\$ 18,200.00	(2)	(1)	1	\$ 204,848.00	\$ 574,400.63	\$ 369,552.63	2	3	1
CEU	\$ -	\$ 471,347.25	\$ 471,347.25	-	1	1	\$ -	\$ 2,964,234.25	\$ 2,964,234.25	-	12	12
EDUCATION	\$ 4,260,506.35	\$ 4,830,604.99	\$ 570,098.64	23	20	(3)	\$ 28,405,723.32	\$ 40,210,629.30	\$ 11,804,905.98	184	182	(2)
ENGINEERING	\$ (10,776.86)	\$ 446,173.71	\$ 456,950.57	7	17	10	\$ 15,194,085.39	\$ 7,881,428.59	\$ (7,312,656.80)	216	186	(30)
HaSS	\$ -	\$ 31,964.00	\$ 31,964.00	-	2	2	\$ 859,775.00	\$ 333,168.20	\$ (526,606.80)	18	17	(1)
NAT. RESOURCES	\$ 996,239.00	\$ 338,304.91	\$ (657,934.09)	13	9	(4)	\$ 9,984,016.93	\$ 9,931,833.79	\$ (52,183.14)	155	149	(6)
SCIENCE	\$ 672,418.55	\$ 1,434,889.64	\$ 762,471.09	10	12	2	\$ 9,968,483.94	\$ 13,077,405.39	\$ 3,108,921.45	104	105	1
MISCELLANEOUS	\$ (2,366.20)	\$ 933,866.50	\$ 936,232.70	10	14	4	\$ 7,133,972.68	\$ 20,658,959.27	\$ 13,524,986.59	175	167	(8)
USURF TOTALS	\$ 8,348,239.76	\$ 7,153,087.69	\$ (1,195,152.07)	22	26	4	\$ 62,134,540.31	\$ 60,520,259.88	\$ (1,614,280.43)	247	269	22
GRAND TOTAL	\$ 16,077,946.34	\$ 15,996,984.98	\$ (80,961.36)	92	110	18	\$ 154,845,345.87	\$ 174,821,104.72	\$ 19,975,758.85	1,260	1,253	(7)

PERCENTAGE CHANGE :
 DOLLARS JUNE 2010 to 2011 -0.50%
 NUMBERS JUNE 2010 to 2011 19.57%
 TOTAL DOLLARS FY 09/10 to FY 10/11 12.90%
 TOTAL NUMBERS FY 09/10 to 10/11 -0.56%

Notes : This report no longer includes Scholarship, Fellowship, State Legislative Research, or IOT/FIOT funds.
 : The Miscellaneous line includes the Cooperative Extension, Information Technology, Library Services, Provost, Regional Campuses & Distance Education, School of Graduate Studies, Student Services, University & Community Relations, VP for Administrative Affairs, VP for Research, & VP for Strategic Ventures and Economic Development.
 : On July 1, 2010 Utah State University merged with the College of Eastern Utah (CEU), and their numbers will be reflected in these reports from that date forward.
 : On July 1, 2010 Departments were removed from the College of HaSS, and were moved to the newly created Cairne College of the Arts.

Selected List of Awards Greater than \$1,000,000 from 06-01-2011 to 06-30-2011

Filters Applied*: No Filter

Award # 1

Control Number	08S044005	Agency	1,500,000.00
Funding Agency	NAVAL RESEARCH LAB	USU	0.00
Flow Through Agency	NAVAL RESEARCH LAB	Total	1,500,000.00
Department	USURF		
College	USURF		
Admin. Center	USU RESEARCH FOUNDATION		
Research Function	SPONSORED RESEARCH-APPLIED		
Program Manager(s)	DARIN PARTRIDGE;		
Co-PI(s)			
Period of Performance	04-14-2011 -- 04-13-2013		
Original Award Date	04-14-2011		
Change/Mod Effective Date	06-23-2011		
Program Name	ADVANCED GROUND, AIR, SPACE, SYSTEMS INTEGRATION (AGASSI) TASK ORDER 5		
Statement	THE NAVAL RESEARCH LABORATORY HAS A REQUIREMENT FOR RESEARCH SUPPORT IN AREAS OF ADVANCED GROUND, AIR, SPACE, SYSTEMS INTEGRATION (AGASSI) INCLUDING SOFTWARE AND ADVANCED TECHNOLOGIES INCLUDING DIGITAL PROCESSING, COMPRESSION, AND CONTROL, ANALOG SYSTEMS, POWER, COMMUNICATIONS, COMMAND AND TELEMETRY, RADIO FREQUENCY/OPTICAL SENSOR PAYLOADS AND ELECTROMECHANICAL SYSTEMS/SUPPORT.		

Award # 2

Control Number	110968	Agency	1,118,400.00
Funding Agency	UTAH DEPARTMENT OF HEALTH	USU	0.00
Flow Through Agency	DEPARTMENT OF EDUCATION	Total	1,118,400.00
Department	CENTER FOR PERSONS WITH DISABILITIE		
College	COLLEGE OF EDUCATION & HUMAN SERVIC		
Admin. Center	CENTER FOR PERSONS WITH DISABILITIE		
Research Function	OTHER ASSISTANCE		
Principal Investigator(s)	SUSAN OLSEN;		
Co-PI(s)	MARLA NEF;		
Period of Performance	07-01-2011 -- 06-30-2012		
Original Award Date	06-10-2011		
Change/Mod Effective Date	06-10-2011		
Program Name	UP TO 3 EARLY INTERVENTION		
Statement	PROVIDE EARLY INTERVENTION SERVICES TO CHILDREN LIVING IN CACHE, RICH, AND BOX ELDER COUNTIES		

Agency Total	2,618,400.00
USU Total	0.00

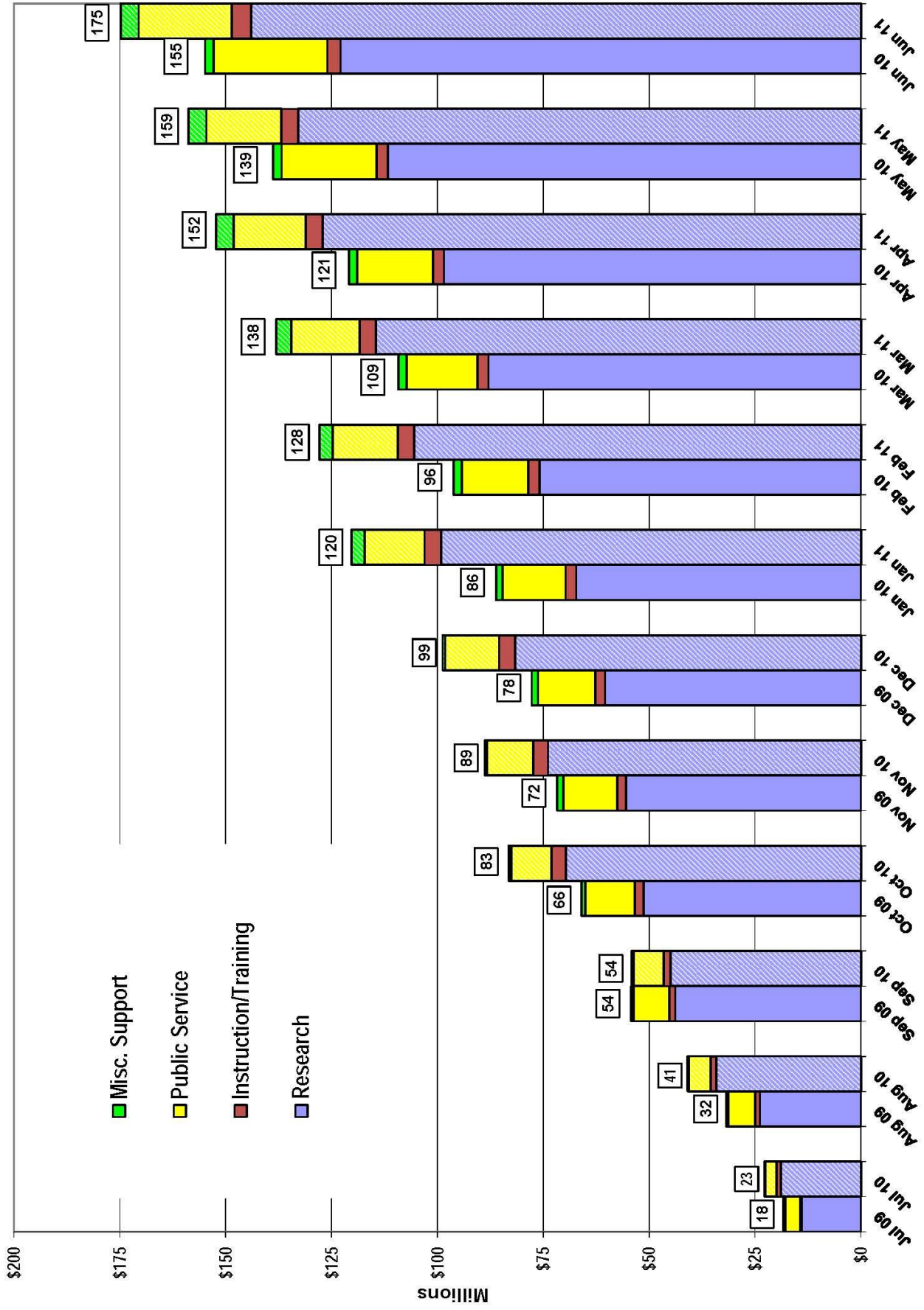
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Grand Total **2,618,400.00**

* Only awards from the listed filters are included in this report. If you believe that you should have access to information about additional departments, colleges, or research centers, please submit a support request on the Electronic-Office website or email Keith Paskett: Keith.Paskett@usurf.usu.edu.

Utah State University Sponsored Program Awards FY 2010, FY 2011



12 August 2011

ITEM FOR ACTION

RE: Contract/Grant Proposals and Awards (July, 2011)

The summary of the Status of Sponsored Program Awards, prepared by our Sponsored Programs Office for July, 2011, is submitted for the Trustees' consideration. They have received the appropriate administrative review and approval.

EXECUTIVE SUMMARY

The awards for the month of July, 2011 amounted to \$20,722,752 versus \$22,815,286 for July, 2010. The current year's July awards figure was (-9.2%) less than the July, 2010 figure.

The comparative graph, "Utah State University Sponsored Program Awards" indicates that July, 2011 cumulative awards were 9.2% less than last year for the same time period. Scholarships, fellowships, and state appropriations for research are not included in either figure.

The value of proposals submitted by faculty increased from \$54,862,406 in July, 2010 to \$73,393,149 in July, 2011 (+33.8%). The number of current year proposals (156) is less than last year (169) for the same time period.

RECOMMENDATION

The President and Vice President for Research recommend that the Board of Trustees approve the contract and grant status report for July, 2011.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, The attached lists of contract/grant proposals and awards (July, 2011) are recommended by the President and the Vice President for Research to the Board of Trustees:

NOW THEREFORE, BE IT NOW RESOLVED, That the USU Board of Trustees hereby approves the recommendation of the President and the Vice President for Research.

RESOLUTION APPROVED BY BOARD OF TRUSTEES:

Date

UTAH STATE UNIVERSITY
 SPONSORED PROGRAMS OFFICE
 PROPOSALS BY COLLEGE
 FOR PERIOD: JULY 2011

FY 2011/2012

COLLEGE	CURRENT MONTH					CUMULATIVE TOTALS						
	PROPOSALS FY 2010/2011	PROPOSALS FY 2011/2012	TOTAL \$ CHANGE	# 10/11	# 11/12	TOT CHG	PROPOSALS FY 2010/2011	PROPOSALS FY 2011/2012	TOTAL \$ CHANGE	# 10/11	# 11/12	TOT CHG
AGRICULTURE	\$ 5,518,959.07	\$ 3,348,035.95	\$ (2,170,923.12)	34	19	(15)	\$ 5,518,959.07	\$ 3,348,035.95	\$ (2,170,923.12)	34	19	(15)
ARTS	\$ -	\$ 199,794.23	\$ 199,794.23	-	4	4	\$ -	\$ 199,794.23	\$ 199,794.23	-	4	4
BUSINESS	\$ -	\$ -	\$ -	-	-	-	\$ -	\$ -	\$ -	-	-	-
CEU	\$ -	\$ 1,196,740.50	\$ 1,196,740.50	-	10	10	\$ -	\$ 1,196,740.50	\$ 1,196,740.50	-	10	10
EDUCATION	\$ 13,404,663.06	\$ 21,553,186.18	\$ 8,148,523.12	34	22	(12)	\$ 13,404,663.06	\$ 21,553,186.18	\$ 8,148,523.12	34	22	(12)
ENGINEERING	\$ 4,068,358.40	\$ 6,533,502.58	\$ 2,465,144.18	24	26	2	\$ 4,068,358.40	\$ 6,533,502.58	\$ 2,465,144.18	24	26	2
HaSS	\$ 402,056.37	\$ 146,684.63	\$ (255,371.74)	2	4	2	\$ 402,056.37	\$ 146,684.63	\$ (255,371.74)	2	4	2
NAT. RESOURCES	\$ 2,218,291.55	\$ 3,056,186.77	\$ 837,895.22	11	26	15	\$ 2,218,291.55	\$ 3,056,186.77	\$ 837,895.22	11	26	15
SCIENCE	\$ 17,173,926.82	\$ 7,236,961.60	\$ (9,936,965.22)	25	23	(2)	\$ 17,173,926.82	\$ 7,236,961.60	\$ (9,936,965.22)	25	23	(2)
MISCELLANEOUS	\$ 381,109.66	\$ 4,752,093.71	\$ 4,370,984.05	18	12	(6)	\$ 381,109.66	\$ 4,752,093.71	\$ 4,370,984.05	18	12	(6)
USURF TOTALS	\$ 11,695,040.78	\$ 25,369,962.62	\$ 13,674,921.84	16	10	(6)	\$ 11,695,040.78	\$ 25,369,962.62	\$ 13,674,921.84	16	10	(6)
GRAND TOTAL	\$ 54,862,405.71	\$ 73,393,148.77	\$ 18,530,743.06	164	156	(8)	\$ 54,862,405.71	\$ 73,393,148.77	\$ 18,530,743.06	164	156	(8)
PERCENTAGE CHANGE :	DOLLARS		NUMBERS		TOTAL DOLLARS		TOTAL NUMBERS					
	JULY 2010 to 2011		JULY 2010 to 2011		FY 10/11 to FY 11/12		FY 10/11 to 11/12					
	33.78%		-4.88%		33.78%		-4.88%					

Notes : This report no longer includes Scholarship, Fellowship, State Legislative Research, or IOT/IOT funds.
 : The Miscellaneous line includes the Cooperative Extension, Information Technology, Library Services, Provost, Regional Campuses & Distance Education, School of Graduate Studies, Student Services, University & Community Relations, VP for Administrative Affairs, VP for Research, & VP for Strategic Ventures and Economic Development
 : On July 1, 2010 Utah State University merged with the College of Eastern Utah (CEU), and their numbers will be reflected in these reports from that date forward.
 : On July 1, 2010 Departments were removed from the College of HaSS, and were moved to the newly created Caine College of the Arts.

Selected List of Proposals Greater than \$1,000,000 from 07-01-2011 to 07-31-2011

Filters Applied*: No Filter

Proposal # 1: New

Control Number	120058	Agency	15,446,402.00
Proposal ID	T00030011 Revision: 0	USU	2,947,919.00
Funding Agency	Department of Education	Other	12,498,483.00
Department	PSYCHOLOGY	Total	30,892,804.00
College	College of Education & Human Servic		
Research Center	University Research and Training		
Research Function	Other Assistance		
Principal Investigator(s)	YOLANDA FLORES NIEMANN;		
Co-PI(s)	Eric PACKENHAM;		
Period of Performance	10-01-2011 -- 09-30-2018		
Proposal Date	07-14-2011		
Program Name	STARS! (SCIENCE, TECHNOLOGY, ARITHMETIC, READING STUDENTS) GEAR UP		
Statement	THIS PROJECT REPRESENTS A PARTNERSHIP INCLUDING UTAH STATE UNIVERSITY, SEVEN UTAH SCHOOL DISTRICTS AND FOUR COMMUNITY ORGANIZATIONS AIMED AT ADDRESSING THE NEEDS OF LOW-INCOME AND AT-RISK STUDENTS, WITH THE GOAL OF INCREASING THE NUMBER OF STUDENTS GRADUATING HIGH SCHOOL WHO WILL BE PREPARED FOR ENTRY INTO AND SUCCESS IN POSTSECONDARY EDUCATION. THE PROGRAM WILL SERVE 2,793 STUDENTS FROM MIDDLE SCHOOL GRADUATION INTO THEIR FIRST YEAR OF COLLEGE.		

Proposal # 2: New

Control Number	120082	Agency	2,650,000.00
Proposal ID	T00030038 Revision: 0	USU	0.00
Funding Agency	National Science Foundation	Other	0.00
Department	CIVIL & ENVIRONMENTAL ENGINEERING	Total	2,650,000.00
College	College of Engineering		
Research Center	Utah Water Research Laboratory		
Research Function	Sponsored Research-Basic		
Principal Investigator(s)	David G TARBOTON;		
Co-PI(s)	Jeffery S HORSBURGH;		
Period of Performance	01-01-2012 -- 12-31-2017		
Proposal Date	07-14-2011		
Program Name	S12-SSI: AN INTERACTIVE SOFTWARE INFRASTRUCTURE FOR SUSTAINING COLLABORATIVE COMMUNITY INNOVATION IN		
Statement	THE GOALS OF THIS PROJECT ARE TO PROVIDE SUSTAINABLE CYBER INFRASTRUCTURE FOR BETTER ACCESS TO DATA AND MODELS AND TO ENABLE THE HYDROLOGIC AND OTHER COMMUNITIES TO COLLABORATE AND COMBINE DATA AND MODELS FROM MULTIPLE SOURCES AND TRANSFORM THE WAY HYDROLOGIC KNOWLEDGE IS CREATED AND APPLIED.		

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Proposal # 3: New

Control Number	120084	Agency	2,000,000.00
Proposal ID	T00030040 Revision: 0	USU	0.00
Funding Agency	Administration for Children and Families (ACF)	Other	0.00
Department	CENTER FOR PERSONS WITH DISABILITIE	Total	2,000,000.00
College	College of Education & Human Servic		
Research Center	Center for Persons with Disabilitie		
Research Function	Sponsored Research-Applied		
Principal Investigator(s)	Lori A ROGGMAN;		
Co-PI(s)	Lisa K BOYCE; Mark S. INNOCENTI;		
Period of Performance	10-01-2011 -- 09-30-2012		
Proposal Date	07-15-2011		
Program Name	DEVELOPMENTAL PARENTING TO BUFFER CHILDREN FROM TOXIC STRESS		
Statement	A RANDOMIZED EXPERIMENTAL DESIGN WILL TEST THE IMPLEMENTATION AND EFFECTIVENESS OF A DEVELOPMENTAL PARENTING INTERVENTION TO HELP PARENTS PREVENT AND REDUCE TOXIC STRESS EFFECTS ON INFANTS AND TODDLERS. TRAINING ON EVIDENCE-BASED HOME-VISITING PRACTICES WILL HELP 11 EARLY HEAD START PROGRAMS INCREASE PARENTING THAT SUPPORTS EARLY DEVELOPMENT AND RESILLENCE TO STRESS.		

Proposal # 4: New

Control Number	120146	Agency	4,401,263.00
Proposal ID	T00030116 Revision: 0	USU	1,014,033.00
Funding Agency	Government of the Dominican Republic	Other	0.00
Department	INTERNATIONAL PROGRAM & STUDIES	Total	5,415,296.00
College	Provost		
Research Center	Provost Office		
Research Function	Other Assistance		
Principal Investigator(s)	MARY HUBBARD;		
Co-PI(s)	Shelly Colleen HERNANDEZ;		
Period of Performance	03-11-2011 -- 06-30-2015		
Proposal Date	07-29-2011		
Program Name	AGREEMENT FOR TECHNICAL COOPERATION BETWEEN THE GOVERNMENT		
Statement	PHASE VII (2011-2015) DOMINICAN REPUBLIC PRESIDENTIAL HIGHER EDUCATION SCHOLARSHIP PROGRAM FOR SUPERIOR STUDENTS. THIS SCHOLARSHIP PROGRAM BRINGS HIGHLY QUALIFIED GRADUATE AND UNDERGRADUATE STUDENTS TO UTAH STATE UNIVERSITY.		

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Proposal # 5: New

Control Number	S00000909	Agency	19,795,710.00
Proposal ID	T00001369 Revision: 0	USU	0.00
Funding Agency	DigitalGlobe, Inc.	Other	0.00
Department	USURF	Total	19,795,710.00
College	USURF		
Research Center	USU Research Foundation		
Research Function	Sponsored Research-Applied		
Program Manager(s)	Kirk D. LARSEN;		
Co-PI(s)			
Period of Performance	10-01-2011 -- 10-31-2013		
Proposal Date	07-22-2011		
Program Name	Cloud Aerosol Water Vapor Ice Snow (CAVIS) WorldView 3		
Statement	The Space Dynamics Laboratory will provide the CAVIS instrument to DigitalGlobe. CAVIS is a pushbroom sensor with 10 individual wavelenghts that covers visible to SWIR. The purpose of CAVIS is to provide image enhancement data for DigitalGlobe's World View 3 Earth Imager.		

Proposal # 6: New

Control Number	S00001087	Agency	4,811,549.39
Proposal ID	T00001567 Revision: 0	USU	0.00
Funding Agency	Air Force	Other	0.00
Department	USURF	Total	4,811,549.39
College	USURF		
Research Center	USU Research Foundation		
Research Function	Sponsored Research-Applied		
Program Manager(s)	Glen H. WADA;		
Co-PI(s)			
Period of Performance	08-21-2011 -- 07-31-2012		
Proposal Date	07-21-2011		
Program Name	Air Force Distrubuted Common Ground System (AF DCGS) Virtual Imagery Processing System (VIP-C)		
Statement	The purpose of this effort is to develop/modify the Vantage product to support fielding of a virtualized imagery processing capability within the Air Force Distributed Common Ground System (AF DCGS) weapon system as outlined in the Virtual Imagery Processing System (VIP-C) Technical Requirements Document.		

Agency Total	49,104,924.39
USU Total	3,961,952.00
Other Total	12,498,483.00
Grand Total	65,565,359.39

* Only proposals from the listed filters are included in this report. If you believe that you should have access to information about additional departments, colleges, or research centers, please submit a support request on the Electronic-Office website or email Keith Paskett: Keith.Paskett@usurf.usu.edu.

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UTAH STATE UNIVERSITY
 SPONSORED PROGRAMS OFFICE
 AWARDS BY COLLEGE
 FOR PERIOD: JULY 2011

FY 2011/2012

COLLEGE	CURRENT MONTH					CUMULATIVE TOTALS				
	AWARDS FY 2010/2011	AWARDS FY 2011/2012	TOTAL \$ CHANGE	# 10/11	TOT # 11/12 CHG	AWARDS FY 2010/2011	AWARDS FY 2011/2012	TOTAL \$ CHANGE	# 10/11	# 11/12 CHG
AGRICULTURE	\$ 5,063,074.60	\$ 525,138.57	\$ (4,537,936.03)	15	7 (8)	\$ 5,063,074.60	\$ 525,138.57	\$ (4,537,936.03)	15	7 (8)
ARTS	\$ 10,000.00	-	\$ (10,000.00)	1	(1)	\$ 10,000.00	-	\$ (10,000.00)	1	(1)
BUSINESS	\$ -	\$ -	\$ -	-	-	\$ -	\$ -	\$ -	-	-
CEU	\$ -	\$ 1,054,894.35	\$ 1,054,894.35	-	10	\$ -	\$ 1,054,894.35	\$ 1,054,894.35	-	10
EDUCATION	\$ 2,895,116.91	\$ 4,994,376.52	\$ 2,099,259.61	22	30	\$ 2,895,116.91	\$ 4,994,376.52	\$ 2,099,259.61	22	30
ENGINEERING	\$ 2,378,844.79	\$ 1,493,140.00	\$ (885,704.79)	16	17	\$ 2,378,844.79	\$ 1,493,140.00	\$ (885,704.79)	16	17
HaSS	\$ 52,287.20	\$ 232,663.00	\$ 180,375.80	3	4	\$ 52,287.20	\$ 232,663.00	\$ 180,375.80	3	4
NAT. RESOURCES	\$ 845,024.01	\$ 1,358,122.32	\$ 513,098.31	15	16	\$ 845,024.01	\$ 1,358,122.32	\$ 513,098.31	15	16
SCIENCE	\$ 5,134,889.00	\$ 4,986,273.36	\$ (148,615.64)	9	13	\$ 5,134,889.00	\$ 4,986,273.36	\$ (148,615.64)	9	13
MISCELLANEOUS	\$ 1,152,654.20	\$ 973,545.49	\$ (179,108.71)	19	36	\$ 1,152,654.20	\$ 973,545.49	\$ (179,108.71)	19	36
USURF TOTALS	\$ 5,283,395.36	\$ 5,104,588.20	\$ (178,797.16)	26	31	\$ 5,283,395.36	\$ 5,104,588.20	\$ (178,797.16)	26	31
GRAND TOTAL	\$ 22,815,286.07	\$ 20,722,751.81	\$ (2,092,534.26)	126	164	\$ 22,815,286.07	\$ 20,722,751.81	\$ (2,092,534.26)	126	164

PERCENTAGE CHANGE : JULY 2010 to 2011 -9.17% DOLLARS NUMBERS TOTAL DOLLARS TOTAL NUMBERS
 JULY 2010 to 2011 -9.17% JULY 2010 to 2011 30.16% FY 10/11 to FY 11/12 -9.17% FY 10/11 to 11/12 30.16%

Notes : This report no longer includes Scholarship, Fellowship, State Legislative Research, or IOT/FIOT funds.
 : The Miscellaneous line includes the Cooperative Extension, Information Technology, Library Services, Provost, Regional Campuses & Distance Education, School of Graduate Studies, Student Services, University & Community Relations, VP for Administrative Affairs, VP for Research, & VP for Strategic Ventures and Economic Development
 : On July 1, 2010 Utah State University merged with the College of Eastern Utah (CEU), and their numbers will be reflected in these reports from that date forward.
 : On July 1, 2010 Departments were removed from the College of HaSS, and were moved to the newly created Cairns College of the Arts.

**Selected List of Awards Greater than \$1,000,000 from 07-01-2011
to 07-31-2011**

Filters Applied*: No Filter

Award # 1

Control Number	100114	Agency	1,300,000.00
Funding Agency	DEPARTMENT OF EDUCATION	USU	0.00
Flow Through Agency	NONE	Total	1,300,000.00
Department	CENTER FOR PERSONS WITH DISABILITIE		
College	COLLEGE OF EDUCATION & HUMAN SERVIC		
Admin. Center	CENTER FOR PERSONS WITH DISABILITIE		
Research Function	PUBLIC SERVICE		
Principal Investigator(s)	JOHN COPENHAVER;		
Co-PI(s)			
Period of Performance	06-01-2009 -- 05-31-2014		
Original Award Date	10-01-2009		
Change/Mod Effective Date	07-07-2011		
Program Name	TO OPERATE A REGIONAL RESOURCE CENTER REGION NO. 5		
Statement	SERVE REGION 5 IN PROVIDING FLEXIBLE, DATA-DRIVEN TECHNICAL ASSISTANCE FOCUSED ON EFFORTS THAT LEAD TO AND SUPPORT SUSTAINED CHANGE OF THE STATE AND LOCAL LEVELS BY WORKING COLLABORATIVELY WITH STATE EDUCATION AGENCIES, LEAD AGENCIES, OSEP, AND OTHER PARTNERS TO DEVELOP AND IMPLEMENT ACTIVITIES THAT SUPPORT SYSTEMIC CHANGE.		

Award # 2

Control Number	111247	Agency	1,100,000.00
Funding Agency	UTAH DEPARTMENT OF WORKFORCE SERVICES	USU	0.00
Flow Through Agency	NONE	Total	1,100,000.00
Department	FAMILY, CONSUMER & HUMAN DEV.		
College	COLLEGE OF EDUCATION & HUMAN SERVIC		
Admin. Center	UNIVERSITY RESEARCH AND TRAINING		
Research Function	PUBLIC SERVICE		
Principal Investigator(s)	ANN AUSTIN;		
Co-PI(s)			
Period of Performance	07-01-2011 -- 06-30-2012		
Original Award Date	06-17-2011		
Change/Mod Effective Date	07-13-2011		
Program Name	CHILD CARE PROFESSIONAL DEVELOPMENT INSTITUTE (CCPDI)		
Statement	THE CHILD CARE PROFESSIONAL DEVELOPMENT INSTITUTE (CCPDI) PROCESSES PROFESSIONAL DEVELOPMENT AWARDS AND PROVIDES TECHNICAL ASSISTANCE TO CHILD CARE PROVIDERS STATEWIDE. CCPDI IS ALSO HOME OF THE STATEWIDE CHILD CARE INFORMATION TOLL FREE NUMBER AND RUNS THE QUALITY RECOGNITION AND INFORMATION SYSTEM (QRIS) FOR THE STATE OF UTAH.		

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Award # 3

Control Number	120146	Agency	4,401,263.00
Funding Agency	GOVERNMENT OF THE DOMINICAN REPUBLIC	USU	1,014,033.00
Flow Through Agency	NONE	Total	5,415,296.00
Department	GEOLOGY		
College	COLLEGE OF SCIENCE		
Admin. Center	UNIVERSITY RESEARCH AND TRAINING		
Research Function	OTHER ASSISTANCE		
Principal Investigator(s)	MARY HUBBARD;		
Co-PI(s)	SHELLY HERNANDEZ;		
Period of Performance	03-11-2011 -- 06-30-2015		
Original Award Date	07-29-2011		
Change/Mod Effective Date	07-29-2011		
Program Name	AGREEMENT FOR TECHNICAL COOPERATION BETWEEN THE GOVERNMENT		
Statement	PHASE VII (2011-2015) DOMINICAN REPUBLIC PRESIDENTIAL HIGHER EDUCATION SCHOLARSHIP PROGRAM FOR SUPERIOR STUDENTS. THIS SCHOLARSHIP PROGRAM BRINGS HIGHLY QUALIFIED GRADUATE AND UNDERGRADUATE STUDENTS TO UTAH STATE UNIVERSITY.		

Agency Total	6,801,263.00
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USU Total	1,014,033.00
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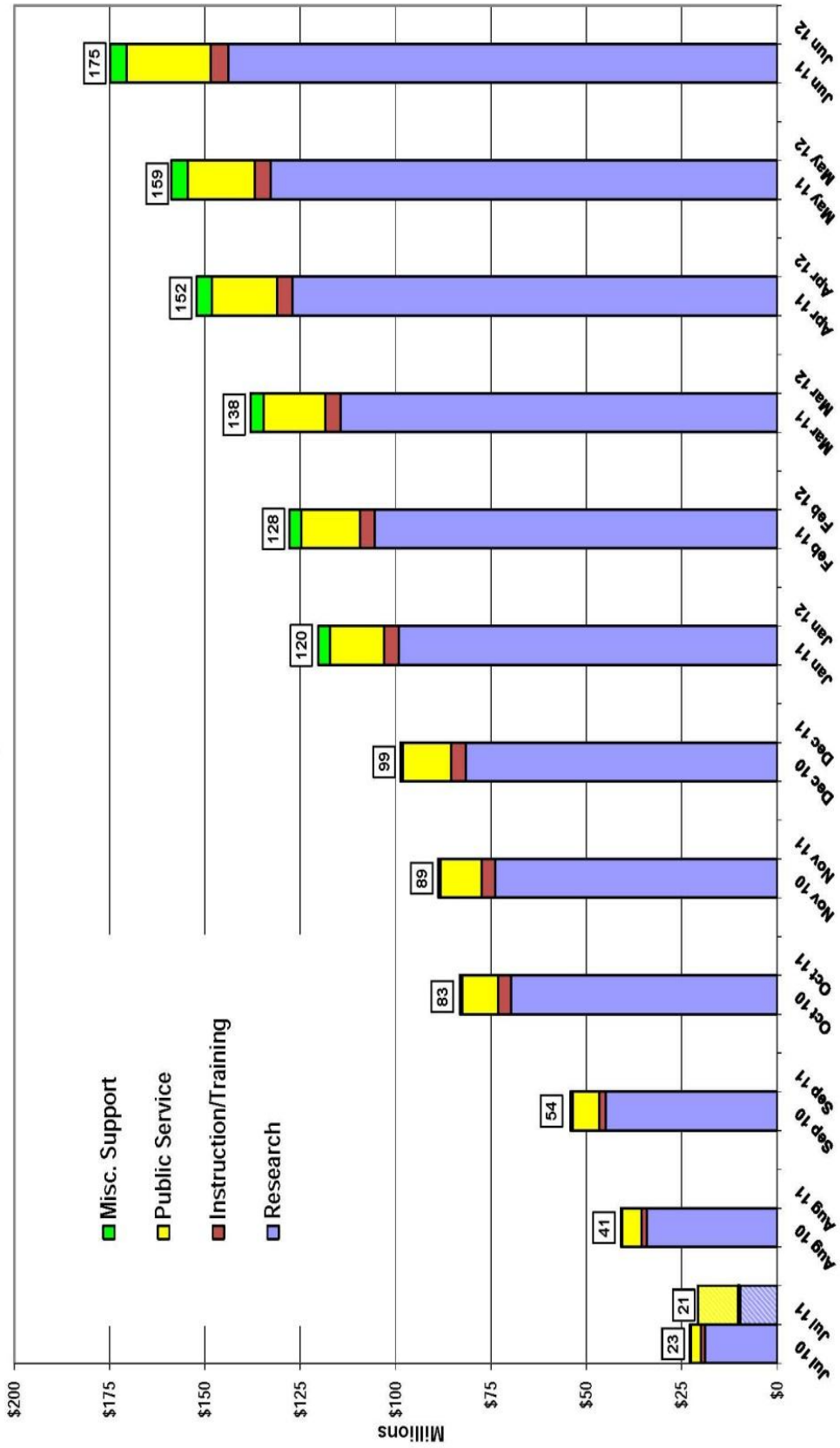
Grand Total	7,815,296.00
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* Only awards from the listed filters are included in this report. If you believe that you should have access to information about additional departments, colleges, or research centers, please submit a support request on the Electronic-Office website or email Keith Paskett: Keith.Paskett@usurf.usu.edu.

For Official Use Only

Prepared: 08-08-2011 23:14

Utah State University Sponsored Program Awards FY 2011, FY 2012



26 August 2011

ITEM FOR CONSENT

RE: A proposed amendment to the Utah State University Policy Manual, Section 303 Affirmative Action/Equal Opportunity.

EXECUTIVE SUMMARY

A proposed change to the Utah State University Policy Manual, Section 303 Affirmative Action/Equal Opportunity, was forwarded by the Affirmative Action/Equal Opportunity Office in order to update the policy in accordance with federal and state rules and regulations. The AA/EO Director met with the USU Executive Committee, the Faculty Senate, the Professional Employees Association (PEA) and the Classified Employees Association (CEA). Recommendations were made and approved (see attached).

RECOMMENDATION

Based on the above proposal and approvals as indicated, the President and Provost recommend that the Board of Trustees approve the proposed amendment to the Utah State University Policy Manual, Section 303 Affirmative Action/Equal Opportunity.

RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES

WHEREAS, The proposal to amend the Utah State University Policy Manual, Section 303 Affirmative Action/Equal Opportunity, and

WHEREAS, There is a need to update the policy in accordance with federal and state rules and regulations, and

WHEREAS, The proposal has been approved by the USU Executive Committee, the Faculty Senate, the Professional Employees Association (PEA) and the Classified Employees Association (CEA), and

WHEREAS, The proposal has the approval of the President and Provost of Utah State University;

NOW THEREFORE BE IT RESOLVED, That the Utah State University Board of Trustees hereby approve the proposed amendment to the Utah State University Policy Manual, Section 303 Affirmative Action/Equal Opportunity.

RESOLUTION APPROVED BY THE BOARD OF TRUSTEES

DATE

POLICY MANUAL

GENERAL

Number 303

Subject: Affirmative Action/Equal Opportunity

Date of Origin: January 24, 1997

Effective Date of Last Revision:

303.1 REFERENCES

- 1.1 The Equal Pay Act of 1963, as amended
- 1.2 The Civil Rights Act of 1964 (Title VI & Title VII) as amended
- 1.3 The Age Discrimination in Employment Act (ADEA) of 1967
- 1.4 Title IX of the Educational Amendments of 1972
- 1.5 The Rehabilitation Act of 1973 (Sections 503 and 504)
- 1.6 The Age Discrimination Act of 1974
- 1.7 Section 402 of the Vietnam Era Veterans' Readjustment Act (VEVRAA) of 1974 as amended by the Jobs for Veterans Act (JVA) of 2002
- 1.8 The Americans with Disabilities Act (ADA) of 1990 as amended by the Americans with Disabilities Act Amendments Act (ADAAA) of 2008
- 1.9 The Uniformed Services Employment and Reemployment Rights Act (USERRA) of 1994
- 1.10 Title II of the Genetic Information Nondiscrimination Act (GINA) of 2008
- 1.11 U.S. Presidential Executive Order 11246, as amended
- 1.12 Utah Antidiscrimination Act (Utah Code - Title 34A - Chapter 05)
- 1.13 Governor's Executive Order 206-0012: Prohibiting Unlawful Harassment, Issued December 13, 2006

303.2 POLICY

2.1 Equal Opportunity

Equal Opportunity in employment and education is an essential priority for Utah State University (USU) and one to which the University is deeply committed. Utah State University is dedicated to providing an equal opportunity climate and an environment free from discrimination and harassment for faculty, staff, students, applicants and participants in all programs/activities sponsored by USU. In accordance with established laws and University policies, the University prohibits discrimination and harassment based on race; color; religion; sex (including sexual harassment, pregnancy, childbirth, or pregnancy-related conditions); national origin; age; genetic information; sexual orientation or gender identity/expression; disability; ~~or~~ status as a protected veteran; or any other status protected by University policy or local, state, or federal law. ~~veteran's status. In addition, discrimination on the basis of sexual orientation for employees in all aspects of employment and for students in academic programs and activities is prohibited.~~

2.2 Affirmative Action

Affirmative Action is designed to redress imbalances of minorities and women in the workforce. U.S. Presidential Executive Order 11246 (as amended) requires federal contractors to take "affirmative" steps to recruit, hire, and advance minorities and women when incumbency is significantly less than estimated availability they are underrepresented in the University and are qualified and available in the labor force. Utah State University proactively undertakes good faith efforts to reach affirmative action goals.

While affirmative action goals are not established for protected veterans or people with disabilities, the University undertakes affirmative steps to recruit, hire, and advance people with disabilities and certain protected veterans (disabled veterans, other protected veterans, recently separated veterans, and Armed Forces Service Medal veterans), as required by the Rehabilitation Act of 1973 and the Vietnam Era Veteran's Readjustment Assistance Act of 1974 (VEVRAA) as amended by the Jobs for Veterans Act (JVA) of 2002.

The University's Affirmative Action Plan Programs, including goals, ~~and timetables~~, is are available for review at the Merrill-Cazier Library's ~~reference~~ reserve desk or the USU Affirmative Action/Equal Opportunity (AA/EO) Office.

303.3 RESPONSIBILITIES

3.1 Affirmative Action/Equal Opportunity Office (AA/EO)

Responsible for developing annual Affirmative Action Programs (AAPs), monitoring affirmative action efforts and for providing equal opportunity counseling and training when needed, as well as investigating complaints of illegal discrimination and/or harassment under this policy.

3.2 Office of Human Resources

Responsible for collecting data to assist in the affirmative action/equal opportunity effort. This office is also responsible for ensuring that all personnel policies and actions are in accordance with AA/EO laws and guidelines.

3.3 Department Heads, Supervisors, Deans, and Vice Presidents

Responsible for ensuring that ~~their~~ employment decisions and treatment of students and participants in programs/activities sponsored by USU comply with principles outlined in this policy.

3.4 Employees, Students, Applicants and Participants in Programs/Activities Sponsored by USU

Responsible for bringing to the attention of the AA/EO Office any decisions, actions or behaviors felt to conflict with this policy.

POLICY MANUAL

GENERAL

Number 303

Subject: Affirmative Action/Equal Opportunity

Date of Origin: January 24, 1997

Effective Date of Last Revision:

303.1 REFERENCES

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303.3 POLICY

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Equal Opportunity in employment and education is an essential priority for Utah State University (USU) and one to which the University is deeply committed. Utah State University is dedicated to providing an equal opportunity climate and an environment free from discrimination and harassment for faculty, staff, students, applicants and participants in all programs/activities sponsored by USU. In accordance with established laws and University policies, the University prohibits discrimination and harassment based on race; color; religion; sex (including sexual harassment, pregnancy, childbirth, or pregnancy-related conditions); national origin; age; genetic information; sexual orientation or gender identity/expression; disability; status as a protected veteran; or any other status protected by University policy or local, state, or federal law.

2.2 Affirmative Action

Affirmative Action is designed to redress imbalances of minorities and women in the workforce. U.S. Presidential Executive Order 11246 (as amended) requires federal contractors to take "affirmative" steps to recruit, hire, and advance minorities and women when incumbency is significantly less than estimated availability in the labor force. Utah State University proactively undertakes good faith efforts to reach affirmative action goals.

While affirmative action goals are not established for protected veterans or people with disabilities, the University undertakes affirmative steps to recruit, hire, and advance people with disabilities and certain protected veterans (disabled veterans, other protected veterans, recently separated veterans, and Armed Forces Service Medal veterans), as required by the Rehabilitation Act of 1973 and the Vietnam Era Veteran's Readjustment Assistance Act of 1974 (VEVRAA) as amended by the Jobs for Veterans Act (JVA) of 2002.

The University's Affirmative Action Programs, including goals, are available for review at the Merrill-Cazier Library's reserve desk or the USU Affirmative Action/Equal Opportunity (AA/EO) Office.

303.3 RESPONSIBILITIES

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Responsible for developing annual Affirmative Action Programs (AAPs), monitoring affirmative action efforts and for providing equal opportunity counseling and training, as well as investigating complaints of illegal discrimination and/or harassment under this policy.

3.2 Office of Human Resources

Responsible for collecting data to assist in the affirmative action/equal opportunity effort. This office is also responsible for ensuring that all personnel policies and actions are in accordance with AA/EO laws and guidelines.

3.3 Department Heads, Supervisors, Deans, and Vice Presidents

Responsible for ensuring that employment decisions and treatment of students and participants in programs/activities sponsored by USU comply with principles outlined in this policy.

3.4 Employees, Students, Applicants and Participants in Programs/Activities Sponsored by USU

Responsible for bringing to the attention of the AA/EO Office any decisions, actions or behaviors felt to conflict with this policy.

**ACTION AGENDA
AUGUST 26, 2011**

	<u>Page</u>
1. Utah State University Mission and Core Themes	1
2. USU Research Foundation Appointments to Research Foundation Board	

ITEM FOR ACTION

RE: Utah State University Mission and Core Themes

Utah State University's Mission Statement and Core Themes are being presented for the Board of Trustees' consideration. The Mission and Core Themes, as well as the objectives and indicators that derive from them, have been developed through the campus-wide deliberations of the University Assessment Coordinating Council, and after careful review and discussion, by the President's Executive Committee and the Faculty Senate.

EXECUTIVE SUMMARY

Utah State University is accredited by the Northwest Commission on Colleges and Universities (NWCCU). This accreditation was most recently reaffirmed February 12, 2010. Effective 2011, NWCCU adopted new accreditation standards, eligibility requirements, and a new accreditation cycle. In anticipation of the new cycle, which requires USU to submit a *Year One* report covering *Mission, Core Themes, and Expectations*, the University Assessment Coordinating Council (UACC) was convened to review the new requirements. The UACC met four times (twice in November 2010, once in December 2010, and in March 2011) to discuss USU's mission, core themes, objectives, indicators, and expectations.

The UACC is charged, per USU code (Section 105.2), to: *coordinate and represent the university's efforts in education and program assessment at the university, college, department and unit levels...this council will also coordinate the university's preparations for periodic evaluations of the university's regional accreditation*. The UACC has representation from all colleges and administrative units as well as two members appointed by the Faculty Senate. It is effectively representative of USU as a whole regarding assessment and accreditation.

The draft result of the UACC's work was presented to administrators and faculty across campus, including presentation and review by the President's Executive Committee and Faculty Senate. The proposed accreditation structure was adjusted from feedback, and the final version, which encompasses Mission—Core Themes—Objectives—Indicators of Success is presented herein.

NWCCU's new *Accreditation Standards* call for the Mission and Core Themes of each institution to be reviewed and formally adopted by its governing board(s) consistent with its legal authorization.

RECOMMENDATION

That the Mission and Core Themes of Utah State University be approved as presented.

**RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES**

WHEREAS, Utah State University is accredited by the Northwest Commission on Colleges and Universities (NWCCU), said accreditation most recently reaffirmed February 12, 2010; and

WHEREAS, NWCCU is recognized by the United States Department of Education and empowered to validate institutional accreditation on behalf of the federal government and in the public's interest; and

WHEREAS, NWCCU has published new *Standards of Accreditation and Eligibility Requirements*, effective starting 2011; and

WHEREAS, new *Standards for Accreditation, 1.A.1* states: *The institution has a widely published mission statement—approved by its governing board—that articulates a purpose appropriate for an institution of higher learning, gives direction for its efforts, and derives from, and is generally understood by, its community;* and

WHEREAS, new *Standards for Accreditation, 1.B.1* states: *The institution identifies core themes that individually manifest essential elements of its mission and collectively encompass its mission;* and

WHEREAS, new *Eligibility Requirements for Candidates for Accreditation and Accredited Higher Education Institutions, #3: MISSION AND CORE THEMES*, states: *The institution's mission and core themes are clearly defined and adopted by its governing board(s) consistent with its legal authorization, and are appropriate to a degree-granting institution of higher education:*

NOW, THEREFORE, BE IT RESOLVED, That the Utah State University Board of Trustees hereby approves the Mission and Core Themes of Utah State University as presented.

RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES

Date



June 1, 2011

Utah State University's *Year One Self-Evaluation Report* will be due to NWCCU on September 15, 2011. This report, the first in NWCCU's new accreditation sequence, includes the following items:

- Institutional overview, changes, and responses to topics previously identified by NWCCU
- Mission, core themes, objectives and indicators/measures of success (see below)
- Mission fulfillment, including articulation of an acceptable threshold/extent of mission fulfillment
- Rationale for selection of each indicator/measure of success associated with *core theme objectives*

Process

In preparation for this report, the University Assessment Coordination Council (UACC) met in fall 2010 and spring 2011 to review and discuss past accreditation work and self-studies, and to debate and propose a structure: **mission > core themes > objectives > indicators** – that would conform with NWCCU's new requirements while maintaining continuity with prior accreditation work and USU's current goals and objectives. The result of that work is attached. The work of the UACC was fully documented through minutes, notes, and pictures that capture the active and participatory nature of the discussions. The UACC's composition and charge, as set forth in USU's code, Section 105.2 (9), is intended to be inclusive of all campus stakeholders. It includes leaders from all colleges, the graduate school, research, student services, extension, administrative services, university studies, the faculty senate, the provost's office, RCDE (including CEU), and representation from AAA.

Summary of UACC's Work

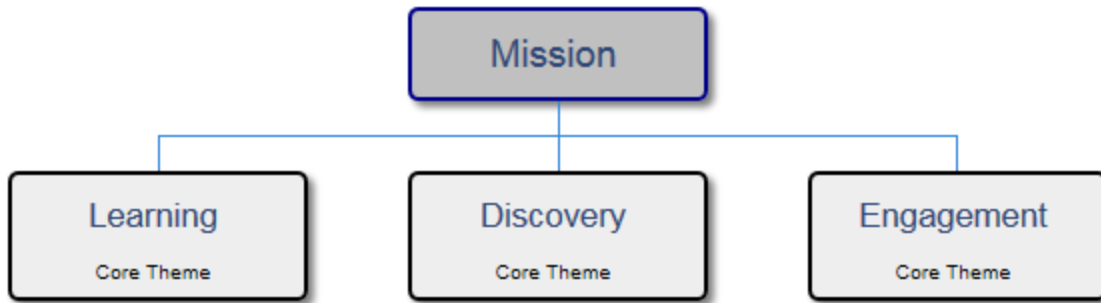
USU's *mission* is well established, and was taken as given by the UACC. *Core Themes* are a new concept that was not part of the previous accreditation process. *Objectives* and *indicators* are self-explanatory. After careful review the UACC selected three core themes directly from the mission statement:

- Learning
- Discovery
- Engagement

Once these had been identified, the group proposed and debated a set of objectives that flow logically from the three themes. Nine objectives were ultimately chosen. Work then moved to the set of indicators that would be used to assess progress on each objective.

Next Steps

I have attached a summary of the UACC's work. Once this work has been ratified and approved by the President's Council and the Faculty Senate, I will begin drafting our NWCCU Year One Report. USU's Mission and *Core Themes* will be reviewed and affirmed by the USU Board of Trustees this summer.



OBJECTIVES

- | | | |
|---|--|--|
| <ul style="list-style-type: none"> • Teaching • Student Success • Access • Cultivating Citizen Scholars/Diversity | <ul style="list-style-type: none"> • Faculty Productivity & Excellence • Student Research & Creative Endeavors | <ul style="list-style-type: none"> • Economic Development • Quality of Life • Human Capital |
|---|--|--|

INDICATORS/MEASURES

Teaching

- Faculty teach well and grow as effective teachers
- Assessment is used to improve outcomes

Student Success

- Student retention rates are strong and improving
- Completion/graduation rates are strong and improving
- Post-graduation success: jobs, post-graduate education, certifications, professional exams, GREs, awards, honors, etc.

Access

- Broad access, reaching across communities and offering opportunities to citizens throughout Utah, the broader United States, and the world
- Increase in state-wide infrastructure/learning opportunities

Cultivating Citizen-Scholars/Diversity

- Creation of citizen-scholars with global awareness and knowledge as demonstrated by course selection, student activities, language study, study abroad, events and programs.
- Pursuit of diversity in multiple domains: cultural, economic, geographic, and intellectual

Faculty Productivity & Excellence

- Grow a high-quality, engaged faculty with productive programs of research and creative endeavors
- USU faculty demonstrates a strong record of peer-reviewed work, with critical recognition of scholarship through service, leadership, awards, and honors.
- Strong and growing portfolio of external support for faculty and student research; percent of faculty with external research support

Student Research & Creative Endeavors

- Strong research and creative programs that demonstrate growing student participation and success (e.g. publications, awards, honors, etc.)
 - Creative output by students (evidence of scholarly activities created or co-created by students that lead to the advancement of knowledge and/or to contributions in the arts and humanities)
 - Increasing number of plan A/B graduate students, especially PhD's
-

Economic Development

- Create business partnerships and applied research collaborations that leverage university assets
- Grow income through licensing agreements and businesses "spin-ins" and "spin-outs"
- Measure the financial impact of USU as an employer and economic engine

Quality of Life

- Improve health, the environment, and sustainability
- Increase social and cultural experiences through arts, culture, athletics, and international activities
- Develop community programs and partnerships

Human Capital

- Encourage lifelong learning through public classes, conferences, workshops, performances and lectures
- Provide an educated and skilled workforce
- Build human capital through Utah Extension work

For an interactive version of the NWCCU Year One reporting structure, please link to:

http://usu.edu/aaa/Accreditation_Working_Group.cfm

MISSION:

Utah State University is one of the nation's premier student-centered land-grant and space-grant universities. We foster the principle that academics come first; we cultivate diversity of thought and culture; and we serve the public through learning, discovery, and engagement.

VISION:

Utah State University, as a state-wide multi-campus system, will be internationally recognized for its exceptional learning opportunities and world-class research. We strive to achieve the highest level of excellence in learning, discovery, and engagement in an environment of trust and respect.

We believe that innovations in research provide students with opportunities for developing critical thinking skills and outstanding scholastic and creative achievement that will help ensure future success.

VALUES:

We are a thriving intellectual community achieving excellence in the pursuit of knowledge both through learning and inquiry. Utah State University will be internationally recognized for our exceptional teaching and learning opportunities, while expanding educational access to diverse communities.

We believe that innovations in teaching provide students with opportunities for developing critical thinking skills and outstanding scholastic and creative achievement that will help ensure future success. We accept each learner as unique and full of promise for intellectual and personal growth. We foster individual success and self-determination, and believe that educating the whole person builds character, promotes active involvement in the world, and produces better citizens. USU graduates are able to read widely, think deeply, and articulate their thinking.

VALUES:

As the State's land-grant university, we are committed to reaching across the state and offering opportunities to its citizens. We value connections that benefit and improve the quality of life for individuals, families, and communities and that invigorate the university.

We seek to enhance the quality of life for individuals and communities, by promoting arts and cultural programming, by building capacity in public welfare and education, by promoting environmental sustainability, and by developing the technologies of tomorrow to drive economic development in Utah and in the global marketplace.

ADDENDUM TO ACTION AGENDA

ITEM FOR ACTION

Re: Utah State University Research Foundation

- Recommendation for appointments to Research Foundation Board

EXECUTIVE SUMMARY

Utah State University Research Foundation (USURF) Board submits to the President of Utah State University and the Utah State University Board of Trustees recommendations to appoint individuals to the USURF Board. These recommendations are consistent with Article VI of the Amended and Restated Articles of Incorporation and Article II, Sections 4 and 5, and Article III, Sections 1 and 2, of the Amended Bylaws of USURF. These recommendations were made by a resolution of the majority of the USURF Board after appropriate review and discussion.

RECOMMENDATIONS

- 1) The USURF Board recommends the appointment of Mr. Jeffery B. Edwards and Mr. Richard L. Shipley as Trustees to the Utah State University Research Foundation Board for a three (3) year term each beginning with the November 2011 Board Meeting.

RESOLUTION
UTAH STATE UNIVERSITY
BOARD OF TRUSTEES

WHEREAS, the Utah State University Research Foundation has vacancies on the Foundation Board.

NOW, THEREFORE, BE IT RESOLVED, that the President of Utah State University and the Utah State University Board of Trustees hereby approves Jeffery B. Edwards and Richard L. Shipley to be appointed to the Utah State University Research Foundation Board.

RESOLUTION APPROVED BY THE USU BOARD OF TRUSTEES:

_____ Date

Jeffery B. Edwards

975 Military Drive
Salt Lake City, Utah 84108 USA
(801) 583-9461 / 583-3879 fax /jedwards@xmission.com

EXPERIENCE

Economic Development Corporation of Utah, Salt Lake City, Utah

President & CEO (2004 - present)

Leadership of public-private non-profit corporation established to attract new business and job growth to Utah. Responsible for all operations of the company including national recruiting, research, economic modeling, relationships with private business and public officials and fundraising. Work closely with state and local government especially governor's office, trade associations, higher education, real estate, land use planning, and technology groups to assist in creating new jobs for the state. Provide policy input to state government, international development organizations, transit and local governments. Responsible for creation of more than 25,000 new jobs and \$6B in new capital investment in Utah during his tenure. Directly supervise 17 staff members and annual budget of \$2.5M. Selected as Non-Profit CEO of the year in 2008 by Utah Business Magazine.

See www.edcutah.org.

Vice President of Client Services (2001 - 2004)

Manage all aspects of client recruitment, responsible for all national recruiting, research, economic modeling and services for existing businesses. Lead international recruiting programs in Europe. Specialize in technology companies, their attraction and retention. Directly supervise client services department and serve as second in command for corporation.

Consonus Inc., Salt Lake City, Utah

Vice President of Marketing (2000 - 2001)

Responsible for creating and launching all marketing activity for pre-IPO Internet infrastructure company, a spin off of Questar Corporation. The company provides data center services, network engineering and Application Service Provider (ASP) software development. Initiated major strategic planning project and re-branding of the company after 3 acquisitions. Managed all marketing communications, channel marketing and corporate communications, including advertising, collateral, web, media relations, PR, competitive analysis and research, as well as trade shows/events, internal communications and analyst relations. Responsible for \$3.5 million budget and for all advertising, marketing consulting and PR agency contracts as well as government relations and community affairs. See www.consonus.com.

Simpata Inc., Alameda, California

Director of Corporate Communications (1999 - 2000)

Directed public relations for pre-IPO Internet Application Service Provider (ASP), offering solutions in HR, benefits and payroll systems for small to mid sized businesses. Managed all media relations, competitive analysis and research, investor relations, trade shows/events and analyst relations. Also responsible for all internal communications for 125+ employees in diverse, fast

moving, “new economy” environment. Handled major agency contracts as well as government relations and community affairs. See www.simpata.com .

Western Governors University, Salt Lake City, Utah

Director of Marketing (1997 - 1999)

Directed marketing and public relations for innovative new virtual university created by the governors of 18 states, offering on-line degree and certificate programs. Managed public relations, marketing communications, product development, competitive analysis, international relations and corporate development. Worked closely with governors, their staffs, federal agencies and major corporations who supported the university, including AT&T, Sun, 3Com, Cisco, IBM, Microsoft and Novell. This project has had great influence in the development of on-line higher education and has created one of the largest catalogs of educational content in the world, through a state-of-the-art e-commerce portal. See www.wgu.edu .

3Com Corporation, Mobile Communications Division, Salt Lake City, Utah

Director of Public Relations (1996 - 1997)

Managed all aspects of public relations for \$350 million laptop computer modem business (formerly the Megahertz division of U.S. Robotics). Responsible for relations with trade, national and international media, financial analysts, and product testing laboratories. Served as company spokesman for all media including broadcast. Also managed government relations and community affairs.

The MODUS Group, Salt Lake City, Utah

Director of Creative Services (1995 - 1996)

Managed creation of marketing strategies, public relations and media production for diverse client base in exhibit design and production firm. Involved in all aspects of client interface, sales, creative team member, producer, and trade show presenter. Produced multimedia titles for use in trade shows and sales presentations. Extensive Internet publishing and marketing experience. Producer for 1993, 1995, 1996, 1997 and 1998 Utah Governor’s Spring Gala. Creative advisor for 1995 ‘McKeown for Mayor’ and 1996 ‘Leavitt Re-election’ campaigns.

Evans & Sutherland Computer Corporation, Salt Lake City, Utah

Marketing Manager, Entertainment & Education Group (1993 - 1995)

Developed new markets for advanced real-time computer graphics systems. Handled national and international public relations for entertainment products. Created marketing plans, conducted market research, launched new products and negotiated joint ventures with new business partners. Co-developed virtual reality hang glider simulator for entertainment applications. Authored papers and served as company spokesman at industry panels and seminars.

Communications Manager (1989 - 1993)

Responsible for creation of communications department with \$2 million annual budget. Performed public relations and functioned as company spokesman to local, trade and international print and broadcast media. Created highly effective, customer-focused advertising program targeted at vertical markets. Principal creative for all company communications projects. Directed trade show

program including major international exhibits in Asia and Europe. Managed advertising agency as well as in-house video and photographic production departments.

Hercules Aerospace Company, Wilmington, Delaware

Manager, Advertising & Creative Services (1987 - 1989)

Responsible for the development and execution of corporate advertising program. Served as company spokesman for foreign and domestic media as well as with local communities. Managed agency work and production of collateral materials for corporate and marketing use and managed exhibit program including overall responsibility for 1989 Paris Air Show. Produced multi-language videotapes and multi-image slide shows for exhibit and marketing applications.

Hercules Aerospace Company, Magna, Utah

Marketing Manager, Space Programs (1984 - 1988)

Directed company-sponsored research into the manufacturing of industrial materials in space as part of joint venture with NASA. Served as company spokesman to the local community for space projects.

Engineering Group Supervisor (1981 -1984)

Managed testing laboratory and directed efforts of engineers, scientists and technicians in hazard studies solid rocket motor manufacturing operations.

Granite School District, Salt Lake City, Utah

Secondary Teacher (1977 -1981)

Taught Advanced Placement (AP) chemistry, AP physics, astronomy, meteorology, earth science and mathematics in large high school.

EDUCATION

BA., Chemistry, University of Utah, 1977

Fluent in speaking, reading and writing French.

AFFILIATIONS

Chairman, Advisory Council, Utah Science, Technology & Research (USTAR) Agency,
2006 – Present

Board of Trustees, Salt Lake Community College, 2003 – present; School of Applied Technology
Advisory Council

Board of Trustees, Salt Lake Convention and Visitors Bureau, 2004 – present

Board of Governors, Salt Lake Chamber, 2004 – present

Board of Trustees, Utah Technology Council, 2002 - present

Board of Trustees, World Trade Center Utah, 2009 - present

Advisory Board, The Children's Museum of Utah, 1999 - present

Former chairman, 1996-99; Board Member 1993-1999. Initiated \$20 million project to build new museum in downtown Salt Lake City.

Board of Trustees, This is the Place Heritage Park, 2002 - 2005

Governor's Special Projects Committee, 1992 – present

Governor's Transition Team, 2000-2001, Natural Resources Department

Envision Utah, Steering Committee member, 2003 - present

Fellow, International Asset Management Council – 2002 – present

Governor's Mansion Foundation member, Artist Series Committee member and event producer, 2003 – present

Silicon Valley Alliance member, Telecommunications and Branding Committees, 2000-2001

Faculty member at Salt Lake Art Center School in photography, 1985-1999

Women & Business Committee, Salt Lake Area Chamber of Commerce, 1997-98

Boy Scouts of America, District Commissioner, 1998 – present; Scoutmaster, 1990-1998

AWARDS

Selected as CEO of the Year for Non-Profit Corporations, Utah Business Magazine, 2008

Salt Lake Chamber of Commerce, Chamber Champion, 2006

Eagle Scout, Boy Scouts of America

Award of Merit, American Institute of Aeronautics & Astronautics, 1985, Awarded for leading program to put high school science experiments on Space Shuttle flights from 1981-84

PERSONAL

Married, with 4 children, two dogs, one cat, and into photography, skiing, and hiking

March 2010

Richard L. Shipley Resume

Richard L. Shipley is past president and chief executive officer of Shipley Associates. Richard founded Shipley Associates, a national communication training firm, in August 1972.

Currently Richard serves as Special Assistant to the Governor of Utah and has chaired several executive search committee for the State of Utah. In 1998 Richard was asked by Governor Leavitt to put the Utah Foster Care Foundation together. The Foundation is a public/private partnership dedicated to finding, educating and nurturing Utah's foster families. He chairs the trustees for The Utah Foster Care Foundation.

Richard served on the regional board of directors for Key Bank Corp. He is also active in the Boy Scouts of America and serves as a SCORE counselor. To support teaching excellence in English and language arts, Richard has been involved for the past ten years with the Utah State Division of Education's excellence in English/Language Arts Awards. He is also active in Utah's Junior Achievement, sat on the Governor's Health Policy Commission, and is a member of the Northern Utah Wildlife Advisory Committee.

In 1992, Richard was named Utah's Small Business Person of the Year by the U.S. Small Business Administration. He represented the state during Small Business Week at the White House.

A native of Preston, Idaho, Mr. Shipley has both a B.S. and a M.A. from Utah State University. In 1991, he completed the 3-year owner/president management program at Harvard Graduate School of Business.

Shipley Associates was located in Bountiful, Utah. The company served the majority of the Fortune 500 companies, along with many major government agencies. S.A. provided training and consulting seminars for organizations with written communication needs. Shipley Associates also conducted extensive business abroad, providing services to clients in England, Switzerland, Germany, France and South America. In 1993, it employed 200 people and had sales of \$16 million.

On February 1, 1994, Shipley Associates was sold to Franklin Quest Co. and Richard retired. He now actively manages Shipley Enterprises, consisting of real estate activities and select small business ventures.

Richard lives with his wife and family in Farmington, Utah.